

THE OHIO STATE UNIVERSITY  
OFFICIAL PROCEEDINGS OF THE  
ONE THOUSAND THREE HUNDRED AND SIXTY-EIGHTH MEETING  
OF THE BOARD OF TRUSTEES

Columbus, Ohio, December 1, 2000

The Board of Trustees met at its regular monthly meeting on Friday, December 1, 2000, at The Ohio State University Longaberger Alumni House, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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December 1, 2000 meeting, Board of Trustees

The Chairman, Mr. Skestos, called the meeting of the Board of Trustees to order on December 1, 2000, at 10:45 a.m. He requested the Secretary to call the roll.

Present: George A. Skestos, Chairman, David L. Brennan, James F. Patterson, Zuheir Sofia, Tamala Longaberger, Daniel M. Slane, Karen L. Hendricks, Dimon R. McFerson, Jaclyn M. Nowakowski, and Kevin R. Filiatraut.

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## **PRESIDENT'S REPORT**

President William E. Kirwan: [PowerPoint Presentation]

My report this morning will be devoted primarily to the Academic Plan and its importance not only to Ohio State, but also to the State of Ohio and its citizens.

First, however, I feel it is important to report briefly on the campus area disturbances in the neighborhood east of High Street following the Michigan football game. Throughout that night, some 125 fires were set, four automobiles were badly damaged or demolished, and many car windows were broken. One student was stabbed as he tried to get people to leave a party in his apartment. Thankfully, he is recovering. Eleven students were arrested on a variety of charges and several more may be arrested before the Columbus police close this matter. What compounds the problem is that there was a similar event in the neighborhood just a few weeks prior to this one, so we can't think of these events as a one-time aberration.

As I'm sure you are aware, accounts of the events appeared on television and in newspapers across Ohio and around the country, if not the world. My office and E-mail have been flooded with letters and messages from parents, students, faculty, alumni, prospective students, and friends of the University. Almost without exception, these messages have supported the strong public stand we have taken. Many have suggested constructive steps we might try in order to moderate these kinds of behaviors.

We have to face the fact that as a university community, we are at risk of developing an image that is antithetical to our goals and aspirations for academic excellence. A visitor to our campus after that weekend wrote to me with the remark, "You never get a second chance to make a first impression."

What do we do about this? There are no easy solutions. In the short run, I felt it was critical to send a message that the University takes responsibility for dealing with student behavior of this nature. I have stated publicly that it was my intention to discipline any of these students where there is clear and compelling evidence that they were involved in acts of arson, destruction of property, or physical violence against another person. Bill Hall is interviewing all arrested students and will make recommendations to me in the near future on what disciplinary action should be taken.

For the longer term, the University Senate is considering extending the Code of Student Conduct to serious offenses that occur off-campus. Both the Undergraduate Student Government and the Council of Graduate Students have expressed support for this change in the Code. I think such action by the Senate would be a very constructive step.

In addition to a process that allows for strong punishment, I think we all agree that we need proactive measures as well. Measures that encourage and nurture more constructive behavior in our community. One of the positive things to happen in the aftermath of the disturbances -- a silver lining, if you will -- is the extent to which people have begun talking about new strategies. I want to thank

## **PRESIDENT'S REPORT (contd)**

President Kirwan: (contd)

B.J. Schuerger, president of the Undergraduate Student Government, Ron Meyers, president of the Council of Graduate Students, Bill Hall, interim vice president for Student Affairs, and Vernon Baisden, assistant vice president for Safety and Security, for the very constructive roles they are playing in bringing people together to develop ideas that hold promise for the future.

Some of the things under consideration include a security service available for large parties in the area on the weekends and increased presence of University police in the University district, and more weekend programming in the Union. I also think that our major investment and commitment to Campus Partners and completion of the Gateway Project will be part of the solution because it will provide a wide variety of alternative entertainment activities.

It's also important to emphasize that, as with prior incidents, the recent problem was caused by a small group of individuals. The vast majority of our students are law-abiding and behave appropriately.

Let me conclude by repeating that there are no easy answers to this vexing problem. Clearly, we all need to work together as a community over a sustained period of time to find and implement effective solutions. It's essential that we do so, since nothing less than the quality of life for our student body and the reputation of our University are at stake.

Mr. Chairman, before I move on to the Academic Plan, I will pause to see if there are any questions or comments on this matter.

Mr. Skestos:

Mr. President, I believe that I can speak for the Board when I say that we're unanimous in our support for your position on these campus student disturbances. It is a situation that we all find intolerable and we will not let it continue. Any other comments or thoughts?

Dr. Kirwan:

I see a lot of nods, Mr. Chairman. Thank you very much for the comments and the support.

## **ACADEMIC PLAN PRESENTATION**

Now on to the Academic Plan. We will proceed as follows: first, I will make a brief presentation; then two distinguished individuals, whom I'll introduce in a few moments, will comment on the plan and its importance. After that, several others will comment in a brief video and, finally, Provost Ed Ray will offer comments on how the plan is being implemented.

I think the first question we want to address is, "Why have an Academic Plan?" Why go to the trouble and the effort that we have gone to to produce this document? An effort that has involved hundreds, maybe even thousands, of people in our community and over 18 months of effort. In my mind there are three reasons: 1) we need to provide a clear strategic direction to elevate the University's quality; 2) we need to rigorously align resources with our University goals; and 3) we need to provide a context in which to measure progress of our University.

**PRESIDENT'S REPORT (contd)**

**ACADEMIC PLAN PRESENTATION (contd)**

President Kirwan: (contd)

Why does Ohio State need a truly great teaching and research university? I said a moment ago that the purpose of the plan was to elevate the quality of our University and why is it that this is a matter that should be of concern to the entire State of Ohio? Any state would want a great university within its boundaries, that's always desirable. But there's a new dynamic in our society that moves it beyond desirable to what I would call essential, and that is that our society and the world have entered the information age.

The information age is dependent upon two pillars. The first pillar is an abundance of well-educated graduates flowing from universities. The vast majority of jobs in the new economy require at least a college education. We need to become a magnet for the best-prepared students out of the high schools of Ohio and, quite frankly, across the country. As you probably know, as the State of Ohio, we are an exporter of our most talented students. We will not succeed in the information age if we don't reverse that situation. We need to be a magnet for outstanding students and that will occur when we have a university in Ohio that is seen as one of the nation's very best.

The other pillar of the information age is the innovation in intellectual property that comes out of the great research universities of America. I was so taken by a *New York Times* article that was written a little over a year ago, in which they surveyed the country to try to understand what regions of our nation were being successful in this new economy. They identified those regions and tried to come up with some of the common elements. I'll never forget the phrase in this article that said, "The one never-absent ingredient was a research university willing to change from an ivory tower to a revving economic engine." If Ohio is going to have success in this new information age, we must build in Ohio a great teaching and research university -- hopefully, more than one.

In setting the stage for the Academic Plan, we have to be honest with ourselves and analyze where we are? What is the perception of our University? How are we viewed? If you ask somebody on Madison Avenue in New York or in San Francisco what they think about Ohio State, probably the first thing that would come to their mind is a great athletic program. They'd probably also say good but not outstanding academics; big -- our size is certainly one of our distinguishing characteristics, but also a strong and spirited alumni.

What are our strengths? As we said about implementing this Academic Plan, we certainly have an improving reputation for our programs. For example, we are all pleased that in the fall issue of *U.S. News and World Report* the University jumped six places in the rankings. We see the reputation of many of our graduate programs steadily rising in the rankings and have an extraordinarily dedicated faculty and staff. I think I've mentioned it to you before, but one indication of this is that we have the most successful faculty and staff campaign in the entire nation, an indication of the way people feel about this University.

We have a steadily improving student body. Over the last three or four years we have gone from being near the bottom in the Big Ten -- in terms of the profile of our entering students -- to right at the median in the Big Ten. We have enormously enthusiastic alumni and strong support. We have the phenomenal success of our fundraising efforts under Jerry May's leadership and the spectacular \$1.23 billion capital campaign that we just completed. We have a growing recognition within our state that changes have to occur in the economy

**PRESIDENT'S REPORT (contd)**

**ACADEMIC PLAN PRESENTATION (contd)**

President Kirwan: (contd)

of Ohio. I think the bad news is Ohio is not well positioned in the new economy. The good news is our state and business leaders recognize this fact and realize that some things need to change, and greater investments need to be made in our universities.

Finally -- I was impressed by this from the day I walked on this campus and I continue to be impressed by the high aspirations this University has -- there's enormous pride in the position to which we've arrived as a University, but no one is satisfied. Everybody wants to see this place become even better.

What challenges do we face to realize our high aspirations? Well, we obviously have an inadequate resource base. I think Ohio ranks somewhere around 40<sup>th</sup> in per capita support for higher education. I think we have to acknowledge that our resources are not adequately aligned with our priorities. We've been discussing with you the budget restructuring underway, under the leadership of Ed Ray and Bill Shkurti. The main purpose of that is to better align our resources with the University's goals and aspirations. Of course, we're talking about a change and whenever you change, it's easier in a small organization. We are a very large place with a broad mission and very complex University, and this presents us with some special challenges.

What must we do? I think without any doubt the essence of this plan must be to build academic excellence. That's what this Academic Plan is all about. What do we need to do? We need a strategic plan. We call it an Academic Plan, but in the private sector we would refer to this as a strategic plan. In creating this plan, we went back to some very basic thinking. What is the purpose of our University? What is our vision? Our purpose is easy to state. It's to advance the well-being of the people of Ohio and the global community through the creation and the dissemination of knowledge. Our vision is to become one of the world's truly great universities.

I'd just like to comment briefly on what it would mean for us to realize this vision: it would mean that we were a place that had graduation and retention rates that compared favorably with the best public universities in America; that we had renowned faculty that were recognized around the world as leaders in their disciplines; that we had a campus whose diversity reflected the diversity of Ohio and the United States; that we were seen as a university that was truly engaged in addressing the major social issues of our day; and that we were a university that was universally admired for the quality of our work and enjoyed high levels of support from our alumni, the state and, indeed, the nation.

Our plan is built around six strategies and I want to say a word about these. The first strategy -- and really it's first for a good reason -- will depend upon the quality of the faculty here at the University. So Strategy One is to build a world-class faculty at Ohio State.

Under this strategy there are basically two initiatives. One of those initiatives is to begin a concerted effort to recruit over the next three to five years, 12 to 15 faculty of extraordinary stature -- people who are recognized world-wide as being absolute leaders in the field -- National Academy members, Nobel Prize winners, Pulitzer Prize winners, faculty of that ilk. We have begun that initiative already.

**PRESIDENT'S REPORT (contd)**

**ACADEMIC PLAN PRESENTATION (contd)**

President Kirwan: (contd)

The second initiative is to build support -- a support and compensation structure for our faculty that will compare favorably with our aspirational peers. An infrastructure within the University that will enable our faculty to realize their full potential.

Strategy Two is to develop academic programs that define Ohio State as the nation's leading public land grant university. I think there's a lot captured in this phrase because so much of what we're trying to do here as a university is to meet our responsibility to the state and the nation, and no expression better captures that than this notion of the land grant university. When you ask those same people on Madison Avenue or in San Francisco about Ohio State, we want them to say Ohio State is a leading public land grant university in America.

We're going to do this by continuing our very selective and effective program of Selective Investment. You've been enormously supportive in leading us into this strategy. As we go forward in the future, we're going to put much more emphasis on Selective Investment in multidisciplinary efforts. Also a part of this strategy is to address the significant deficiency we have in high quality research space. So we are looking to invest something like \$250 million over the next five years to build the quality and quantity of the research space at the University.

Strategy Three is to improve the quality of the teaching and learning environment at our university. Under this strategy, we want to better serve the educational and learning environment for our students by building state-of-the-art classrooms. This will provide our students and faculty with the latest information technology, so that we can deliver the highest quality state-of-the-art learning to our students. Also included in this strategy is a major renovation of our library to make it a 21<sup>st</sup> century learning resource for our University.

Strategy Four is to enhance and better serve the student body. We want to change our admission strategy to make it have high standards for admission throughout the year, for both entering and transfer students. We want to build better support services for our students -- better advising, better career counseling. We want to increase our capabilities through technology for distance education and distance learning. We want to think of our students as not necessarily being those located on one of our campuses, but students that we can serve through distance education in Ohio, and across the nation -- indeed, around the world.

Strategy Five is to create a more diverse University community. There are two initiatives under this strategy. The first initiative is to have a very focused recruitment effort to recruit five to 10 senior women faculty and five to 10 senior minority faculty, leaders in their fields, over the next five years at the University. If we are successful in doing that, we will significantly enhance the diversity of our faculty. The second initiative under this is to do a better job at recruiting able minority students to our University with the goal of having our graduation rate of our minority students be on a par with that of the University as a whole.

Strategy Six is to help build Ohio's future. Again, this comes back to our heritage as a land grant university. Under this strategy, there are two major initiatives. The first initiative is a significant effort in P-12 education. Ohio State is well positioned to become not just a leader for our state, but a leader in the nation in

## **PRESIDENT'S REPORT (contd)**

### **ACADEMIC PLAN PRESENTATION (contd)**

President Kirwan: (contd)

addressing the issues we face in P-12 education. We're going to develop a new teacher preparation educational track for future teachers, involving the College of Education and the Colleges of the Arts and Sciences. We are going to build initiatives with the Columbus City Schools to see if we can't build some model schools in the University district in partnership with the Columbus City Schools. We are developing a Principals Academy here at Ohio State where we intend to train principals for schools throughout the State of Ohio and we hope that the Glenn Institute will become a real focal point for policy development related to P-12 education, both in Ohio and in the nation.

The second element of this strategy is to be the catalyst for building Ohio's economy in the information age. Here, you've already made a significant investment in Sci-Tech as an outlet for the intellectual property and innovation coming from our faculty. We want to expand those efforts to develop stronger partnerships with the private sector to make Sci-Tech a real hub of activity and source of innovation in building Ohio's economy for the future.

One of the things that I think distinguishes our Academic Plan from those that are produced at many other universities is that we have gone to the effort to actually identify the costs for these various initiatives. This is not just a document that expresses aspirations. We have identified initiatives and we know what these initiatives will cost, and we have laid out these costs. We're talking about a five-year period, starting with this year. So you see that in the Six Strategies we've identified the continuing ongoing cost and the one-time funds necessary to carry out these initiatives.

Let me just say a word about the last one, "Help Build Ohio's Future." We have a placeholder there because we are at work right now developing the plans for our P-12 initiative, as well as with the Sci-Tech and the Tech Partners. So there will be funds necessary to add to the bottom line here. We do think most of the support for these two initiatives can come from sources outside of the University.

The point is that we're talking about an initiative that over a five-year period would cost between \$700-900 million. Taking \$100 million for the five years, plus the one-time cost, you can see this is a very substantial investment of resources.

Where will we get the money? We've gone through quite an exercise trying to make realistic estimates of where we can generate the funds necessary to support this plan. I've indicated here some of the items that we will be looking to to generate these funds. I would describe these estimates as somewhere between realistic and optimistic -- maybe about halfway in between. But when you add this all up, we get a range of continuing funds on the low side -- the more pessimistic side -- of \$54 million and \$400 million of one-time funds. On the high side, there is \$117 million of continuing funds and \$555 million of one-time funds.

This means that we're talking about -- even in a pessimistic scenario -- being able to generate an expenditure of around \$600 million. Remember, the investment we called for was between \$700-900 million. So we believe we have a realistic plan here, and that we will be able to generate a significant amount of resources to invest in this plan. Perhaps we will not get all of the resources necessary, but, hopefully, a substantial part of them.

**PRESIDENT'S REPORT (contd)**

**ACADEMIC PLAN PRESENTATION (contd)**

President Kirwan: (contd)

We intend to measure progress. This is a plan that's going to be a living document. We're going to come back to it every year and give you a report card. We've identified measures. We're going to measure the academic and program reputation by the rankings of our programs. We're going to look at our market share of research funds. We're going to look at the academic preparation of our new students – how good are we at attracting well-prepared students to the University? We're going to look at our four-year and six-year graduation rates. I hate the fact that the U.S. Department of Education measures these in terms of six-year graduation rates, but we have to do that because that's the national standard. But we are going to also put into our plan the four-year graduation rate as an important benchmark. We're going to look at our success in the recruitment and the retention of minorities, both students and faculty, and we're going to look at our success in economic development. How successful are we in making a difference in the economy of Ohio?

My concluding thought is that I really do feel that this is a special moment for Ohio State. A lot of things have come together at this particular time – a tremendous planning effort, a highly successful capital campaign, a renewed interest in the state and in the importance of higher education, and, in particular, the importance of Ohio State. I truly think that this is the moment when Ohio State can step up and reach a higher level of excellence within higher education.

That is an outline of the plan and I would thank you for your attention to it.

I would now like to turn and introduce some colleagues who will speak to our strategy with this Academic Plan. We're very fortunate to be able to begin this morning by hearing from Dr. Marye Anne Fox, chancellor of North Carolina State University and a distinguished scientist and university administrator. You'll be interested to know that she is a native of Canton, Ohio. She received a Bachelor of Science degree from Notre Dame, a Master of Science degree from Cleveland State, and a Ph.D. degree from Dartmouth.

She was a post-doctoral fellow at that distinguished university, the University of Maryland – an outstanding credential, in my view – and spent more than two decades at the University of Texas, where she held a number of prestigious positions before being named vice president for research. Her exceptional record in research has led to her election to membership in the National Academy of Sciences, the American Academy of Arts and Sciences, and the American Philosophical Society.

Two years ago, Dr. Fox assumed her current position, as I say, as the chancellor of North Carolina State University. She is well versed, not only in higher education and research universities, but in economic development as well. I think it's no accident that she was the vice president for research at the University of Texas during this period where it made this meteoric rise as a major force in that state's economy.

She's also had some influence on the State of North Carolina because the voters in North Carolina – I want everybody in Ohio to know this – just passed, by 74 percent, a \$3 billion revenue bond to rebuild the infrastructure of their higher education institutions in the State of North Carolina. We're talking about a state that already has some pretty wonderful universities.



**PRESIDENT'S REPORT (contd)**

**ACADEMIC PLAN PRESENTATION (contd)**

President Kirwan: (contd)

I think all of this indicates that Marye Anne has observed first-hand the close relationship between universities and economic development, and she's going to speak a bit about her experiences in Texas and North Carolina, and share her thoughts on the synergy between research universities and economic development and how our Academic Plan might fit into this.

Please welcome Marye Anne Fox.

**ECONOMIC DEVELOPMENT IN TEXAS AND NORTH CAROLINA**

Dr. Marye Anne Fox: [Overhead Presentation]

I thought I'd start out by telling you a little bit about the motivation for driving economic growth, and I had the privilege of serving Governor Bush on his Council of Advisors of Science and Technology when I was at Texas.

I wanted to point to a transparency that was shown just a few years ago in Austin. Although there is some distortion of this transparency, what is clear is that there is a different typeface in Number One than all the rest of the things that appear on here. That is that the thing that was viewed then, as today, as limiting the growth of economic development in the State of Texas, that they were behind in labor supply and skill development. This was judged as much more important than the other things that have been traditionally suggested as important for growth – business climate issues, public sector role, research and development resources, particularly from the federal sector, a change in the mix of agricultural and natural resources -- read in that statement oil, oil growth in Texas.

Even further down the list what you find are some of the questions that people have focused on – capital, venture capital in particular; the lack of an infrastructure that allows these assets to be developed; and the lack of an international perspective. So, again, what I'm trying to show on those two slides is that educational issues, having a truly effective means by which students can move from the public schools into a position of leadership for commercialization was regarded as the most important factor in revving the economic engine, as Brit put it.

The next thing that I would like to point out to you is that high tech employment has been flourishing around the country. I'll point out that Texas, at the time, had the second-largest number of high tech employees in the United States. You can look at Ohio, and see that Ohio is also one that is moving, especially compared to Michigan and Wisconsin, who are investing heavily in an academic of the sort you're talking about.

You can also see other growth in various places where there has been major investment by the state. Georgia, for example, is having spectacular growth, Florida has a lot of growth – I think they're still counting the number that they have there, but they are indeed growing very well.

Here's another question that is of significant concern and that is that it is clearly recognized that the need for growth is predicated on having people who understand applications, and that has very effectively correlated with the number of engineering bachelor's degrees.

**PRESIDENT'S REPORT (contd)**

**ECONOMIC DEVELOPMENT IN TEXAS AND NORTH CAROLINA (contd)**

Dr. Fox: (contd)

It was regarded with alarm that in Texas, in the decade from 1986 to 1996, that the number of engineering bachelor's degrees had declined. If you look in contrast to Ohio, the decline is even worse. That should be an alarm to you that you need to make an investment. You'll see, in contrast, that North Carolina has a positive sign and this was one of the factors of why I left Texas and went to North Carolina.

One of the things that Texas did very effectively was to work with the private sector in developing collaborations at the research level that led to spinouts and creations of new industries. I think many of you are familiar with one of the principal industries, which can evolve independent from that – that's Dell Computer, in which we had a University of Texas dropout go to the private sector with an idea that has been spectacularly successful. So it is true that there can be businesses that evolve without the involvement of universities, but having done so, I can tell you that Michael Dell relies very significantly on the university for people who provide leadership to his company.

One of the successes that happened in the early '90s is that SemiTech created a facility which was jointly sponsored by the university, the federal government, and a number of industries. In fact, that was formed in response to SemiTech – the Semiconductor Industry Association. Together with all of these inputs, it generated a technology road map which has transformed the hardware industry and has made the United States a competitor.

If you look back to the late '80s, it was thought that the United States was losing that business completely. It's because of this cooperation, particularly between the government, university, and industry, that that was turned around and, in fact, has driven much of the recovery in the semiconductor industry – partly because of that collaboration with industries and universities.

If you think about these as spectacular successes and as challenges, you can see that it was viewed in Texas, as it is in North Carolina, that it is essential that establishing workable contacts between university and industry is exceedingly important. Partnerships have to be an important part of technological development and you have to recognize the potential for opportunity in new areas. You have to facilitate the interaction structurally and that requires public as well as private investment, and you need to benchmark what is accomplished in the Academic Plan and in the Ohio Plan. The same list is essentially what drove North Carolina to invest in the Research Triangle Park in the early '50s, and has led to the major success that that has had.

We have outgrown the Research Triangle Park; almost all of the land is committed. What they have told us very clearly is that if that growth is to continue, what we have to have is a means by which easier communication between the university, industry, and government needs to take place.

During our centennial year at North Carolina State, we have received what we consider the second kind of land grant. NC State is a land grant institution, as is Ohio State, and so we have a traditional mission in agricultural extension around the state, which has been updated to include urban planning, engineering extension, and social services around the state. But what we have done uniquely

## **PRESIDENT'S REPORT (contd)**

### **ECONOMIC DEVELOPMENT IN TEXAS AND NORTH CAROLINA (contd)**

on our Centennial Campus is we've provided a means by which companies can start and can collaborate by co-locating research, by sponsoring graduate fellowships, by having undergraduate co-ops, and by having research sponsorship agreements with the faculty in a new and useful way.

These partnerships are unique, and it's important to recognize that this Centennial Campus – 1,000 acres devoted to this kind of collaboration – is not a real estate development. Companies can only come onto our campus if they have an existing partnership with the university's program, if they are actively participating in technology transfer, and if they are actively involved in economic development with the state. When they have done so, we have had really spectacular growth.

I've got a photo here of what has happened since 1990 on our Centennial Campus. The buildings at the forefront represent the university's expansion – the buildings in the back are downtown Raleigh, so you can see that it's very close to the state capital. The buildings represent both contributions from the state budget, state allocations -- the College of Textiles, for example, is one of those buildings – and many of them are private sector buildings in which companies rent anything from one small office to an entire building. We have companies that range from start-ups, having two or three employees, all the way to Lucent Technologies, which has 500 graduate scientists and engineers working on our campus.

It's had the effect of increasing the industrial support by about 20 percent over the last three years. We have grown from having 22 industrial partners when I joined the campus in August 1998 -- about the same time Brit came here -- to now having over 70 industrial partners. And we have about 1,500 students who are supported either full or part-time by collaborations with them, working toward their degrees.

I have to admit that this is not without problems and challenges. As we promote these contributions, we have industry concern about flexibility in handling intellectual property. We have been able to provide a physical location for these on-campus activities, and to integrate many of them into our academic degree programs. That, in turn, leverages the private sector investment in making public commitment and advances.

This is, as is true here, a very large university, and therefore system inertia to making these changes. It is difficult for industry to think about the time scale that traditionally universities work on. The graduation times for undergraduates have typically gone from four years to a little bit longer than five for engineering. Graduate programs typically are considered short, if students are finished in four years. But industry thinks of four years as a lifetime, particularly in cycling of products. The question of time is really one that is really important, in terms of the ability to come together in a meaningful way.

What you need to do to exploit the same kind of achievements that we've been able to accomplish on our Centennial Campus, and what was accomplished indirectly in Austin, is to make sure you have faculty who are interested and committed to commercialization and to collaboration. You have to have a means by which land is used and which bonding authority can be put together in identifying serious partners who participate in the collaboration.

**PRESIDENT'S REPORT (contd)**

**ECONOMIC DEVELOPMENT IN TEXAS AND NORTH CAROLINA (contd)**

Dr. Fox: (contd)

My judgment is that the Academic Plan that you've assembled here addresses all four of these issues, both in terms of your capital investment, as well as identification of faculty leaders. I don't know about your bonding authority, but I think without the first two, it's very difficult to identify serious partners who can drive the economic engine that you need to succeed.

I hope I've been able to tell you a little bit about the thought process that goes into collaboration between universities and industries -- why it's a significant part of economic growth, and why I believe that both the Ohio Plan and the Academic Plan that you've assembled are really vital to letting Ohio State participate in the kind of growth that I've been discussing.

Thank you for letting me visit with you. My mother will appreciate it -- it's her 80<sup>th</sup> birthday next week, so you gave me an excuse to come home. Thank you.

Dr. Kirwan:

Marye Anne, thank you very much for an excellent presentation.

It's now our privilege to hear from Priscilla Mead. As most of you know, Priscilla has represented Upper Arlington -- where she was once Mayor -- and other nearby areas in the State House of Representatives for eight years, and was recently elected, overwhelmingly, to the Ohio State Senate. In the House, she has served as vice chair of the Finance and Appropriations Committee.

Representative Mead is a superb legislator who happens to have a number of important connections with Ohio State. She and her husband are both graduates of Ohio State, and she represents more of our faculty and staff in her district than any other state legislator. In addition, she has a special interest in technology, and serves on the board of the Science and Technology Campus Corporation -- Sci-Tech -- which oversees our Science and Technology Center.

Priscilla, we're delighted to have you with us to share your thoughts on the importance of having a top-tier research university in Ohio.

**IMPORTANCE OF HAVING A TOP-TIER RESEARCH UNIVERSITY**

Representative Priscilla Mead:

Thank you so much, President Kirwan. I would like to touch briefly on four points: 1) the need for a nationally recognized research institution or university in Ohio; 2) the challenge of recruiting world-class faculty; 3) the importance of research and investment in technology to our local economy; and 4) what it means to Columbus and to Central Ohio to have an excellent research university here.

My predecessor has just described the ladder of success that we see in North Carolina. I'm reminded that life is much more like a board game than it is a ladder of success, because anytime we land on somebody else's piece, somebody gets sent home. We are in a great competition at this point to bring the kind of investment to Ohio and the kind of recognition to the talent that is here and that we are going to attract here.

**PRESIDENT'S REPORT (contd)**

**IMPORTANCE OF HAVING A TOP-TIER RESEARCH UNIVERSITY (contd)**

Representative Mead: (contd)

We are in a blood sport and it is extremely important at this time for the State of Ohio, the University, and our research institutions that are University-related to embrace what we need to do in order to change the kinds of investments that we make in people and in ideas. It is a very difficult time to be able to make those kinds of changes, but it is critical to the University to make those changes in the coming budget and in the budgets in the very near future. We, indeed, have a very difficult board on which to play. The need for a nationally recognized, truly great research in Ohio has never been more at stake. It really is the determination of what Ohio's economic future is going to be and what Ohio's economic development policies will be.

We've moved to brain from brawn in the workplace. We are beginning to change our investments, and The Ohio State University remains the major catalyst for the State of Ohio to know that we need to embrace a future where success is measured by the ideas that we nurture and implement. That is a very difficult concept to explain to people, and one that Ohio State is very important in expressing that kind of change.

When we talk about recruiting world-class faculty, we can always talk about financial commitment and facilities that we can use to recruit. But, without this University's commitment to student excellence -- which is a difficult concept in this coming time -- and without the private and public investment that must come to the University from throughout Ohio and the region, we will not be able to land on a spot and send somebody else home. We need to have the advantage of all of those parts in working together to have the strength to be able to move toward home.

I think that we do talk about the importance of research and investment at the local economy, but I almost want to reverse that. It's the importance of a local economy in investing in what's happening at the University that is so key in recruiting world-class faculty, and also in making sure that the Ohio region and the Midwest is competitive with other areas of the country and the world. It's very much a symbiotic relationship to have the private investment walking with the University and, without that partnership, we will not be able to accomplish the goals which seem so reachable when we make investments up front in bringing good research and facilities to this state.

We are the host community in the Central Ohio area to this wonderful institution, and Ohio State certainly relies on the Greater Columbus area for quality of life and for the opportunity for development of research and technology as businesses are nurtured. This community sustains a certain spirit that makes it a very attractive place to live. But the region relies just as importantly on Ohio State to bring the kind of people to our area that enrich us all and to help us set the standards that we do enjoy in Central Ohio. With the wonderful intellect and the experience of the University community in the Central Ohio area, we have an opportunity to present ourselves in a way that will attract others to join the intellectual community that already is here.

The public investment in a land grant university is a very precious investment indeed. We have heard that North Carolina has made their commitment to embrace a new concept for the land grant university. And I think that we are already, because of the work of The Ohio State University Board, faculty, and

**PRESIDENT'S REPORT (contd)**

**IMPORTANCE OF HAVING A TOP-TIER RESEARCH UNIVERSITY (contd)**

Representative Mead: (contd)

staff – we are ready to embrace that in Ohio, as well. I think there is no better example of our willingness to work together – locally, regionally, university, community, private investor, public money – than the transformation of our West Campus, our Science and Technology Campus.

It was, a very short time ago, largely cornfields and auxiliary buildings with activities that did not necessarily have the exposure in the larger community. But today it has become a hub – a hub for ideas, a hub for people. We now have a place in which we can draw those ideas and people together and create a synergy that will help to sustain the effort of this University to recruit world-class people, to bring world-class opportunities to our state.

Thank you for your investment in time and in teaching us, as a community, what we need to know to be able to move ahead tomorrow. Thank you.

Dr. Kirwan:

Priscilla, thank you so much for all you do for our state and our University. We are indebted to you. Thank you very much.

Several others are going to share their views on the Academic Plan through a very brief video. You will see Alex Shumate, board chair of the Greater Columbus Chamber of Commerce; B.J. Schuerger, president of the Undergraduate Student Government; Susan Fisher, secretary of the University Senate; and Representative Jim Trakas, whose district includes eastern portions of Cuyahoga County. Jim is a graduate of Ohio State and was recently elected Majority Whip of the incoming House of Representatives.

[Video Presentation]

Dr. Kirwan:

I want to thank all of our presenters today -- those who were here with us, and those who made comments for the video. To conclude our presentation of the Academic Plan, I would like to ask Provost Ed Ray to say a few words about the plan implementation. Ed --

**ACADEMIC PLAN IMPLEMENTATION**

Provost Edward J. Ray:

Thank you, Mr. President. I want to make a couple of observations about the next steps. But first, as you've already indicated, we're initiating specific actions to implement the plan. Some examples: the Senate and the Arts and Sciences faculty have already begun discussions of possible changes in the General Education Curriculum and the issue of whether we should move from quarters to semesters; we've put out a call for proposals to seed activities that could lead, ultimately, to the development of multi-cultural centers; we've also put out a call for proposals for hiring exceptional senior faculty and those are elements of the Academic Plan.

More broadly, we're developing what one might call the "work orders" for actually implementing the Academic Plan. For each of the priority and facilitating actions

**PRESIDENT'S REPORT (contd)**

**ACADEMIC PLAN IMPLEMENTATION (contd)**

Provost Ray: (contd)

that are part of the Academic Plan, there are a number of questions that have to be asked. First, what must be done? Who is responsible? What is the process required for implementation? What are the resource requirements and the time profile for action? How will we measure our success?

We're now working in collaboration with the Senate, the Council of Deans, the Planning Cabinet, the faculty, staff, and students in general, in a sense, to put together these work orders as the next major step in detailing how we move forward with the Academic Plan. Thank you.

President Kirwan:

Thank you very much, Ed. Mr. Chairman, members of the Board, this completes the presentation on the Academic Plan. I'd like to see if you have any questions at this time.

Mr. Brennan:

I'd like to ask Dr. Fox a question, if I may. I'm speaking for all of us, we're very impressed with your personal track record in this regard. Recently, I've had occasion to understand that Texas has spent a lot of effort trying to improve K-12 education. I know North Carolina is doing its efforts as well, but, specifically, North Carolina about 20 years ago started the North Carolina School of Science and Mathematics in Durham, and that effort intrigues me in light of what you do. Has that had an impact on your program, the students coming from that program?

Dr. Fox:

Absolutely. The students graduating from that program are exceptionally strong students, and they are distributing themselves around institutions in the state. But as you can imagine, a lot of them come to NC State because of our focus on science and technology.

I have to say as well that one of the challenges we've faced in North Carolina is really a burgeoning population in K-12. We expect to have 35 percent more students seeking higher education 10 years from now than we do today. It's really very clear that that's going to happen. There are 65 percent more kids in the first grade this fall than there are in the 12<sup>th</sup> grade in North Carolina.

We're already at a stage where our research universities are over capacity, much the same problem that you have at Ohio State. We had to reject 5,000 students this last fall who would have been admitted just two years ago. We are at a stage where the incoming high school grade point average of our student body was 3.94. When I told my board of trustees that, I asked them how many of them could have gotten in. I won't ask you the same question.

It's really a stage where that was really the driving force for much of the bond referendum that Dr. Kirwan referred to. We have to expand opportunity, especially at a stage where North Carolina is one of the worst states – it's in the bottom quintile in terms of college-going rate. Now Ohio is a little bit better, but the report that came out yesterday about measuring progress says that

**PRESIDENT'S REPORT (contd)**

**ACADEMIC PLAN PRESENTATION (contd)**

Dr. Fox: (contd)

affordability is a serious problem in Ohio. And I think that's another thing that can be potentially addressed by the Ohio Plan, as you go forward.

Mr. Brennan:

Thank you, Dr. Fox.

Mr. Patterson:

Quick comment. I certainly cannot attest to the accuracy of my thought, but it's my perception that what I think we're aspiring to with our Academic Plan is exactly what we should be doing. Our challenges are great; the rewards will be just as great. But it seems to me -- and I capsule it, and this is where I may be erroneous -- we are trying to be the University of Michigan -- in many ways we are better than them; Michigan State; we're trying to be a University of California-Berkeley; and a University of California-Davis. I'm not sure about North Carolina, but we're trying to be a Duke and a North Carolina State, too. I don't know how many universities are doing this, or perhaps have ever done it, but I think you folks are to be commended for Ohio State trying to do this and aspiring to these standards of excellence.

Dr. Kirwan:

That's a very perceptive comment and one that I am so glad you made, because this Academic Plan is about so much more than just high rankings. It's how we can turn the intellectual prowess of our faculty and student body to benefit the state in real and significant ways by focusing energies and our efforts on addressing issues and problems that truly matter to the state. That is captured the way you described what we are. We're a combination of a University of Michigan and a Michigan State, or a North Carolina State and a Chapel Hill. There is a unique character about this University and something that is a source of pride to us, and that we must never forget.

Any other questions? If not, Mr. Chairman, there is a resolution before you for approval.

**APPROVAL OF THE ACADEMIC PLAN**

Resolution No. 2001-62

Synopsis: Approval of The Ohio State University Academic Plan to set a vision for the University for the 21<sup>st</sup> Century and to endorse strategies and initiatives for achieving that vision is recommended.

WHEREAS The Ohio State University exists to serve the people of the State of Ohio and the world through teaching, research, and service; and

WHEREAS The Ohio State University aspires to become one of the world's great public research and teaching universities in order to better serve the people of Ohio; and



**APPROVAL OF THE ACADEMIC PLAN (contd)**

WHEREAS the presence of a top-tier teaching and research university is essential if Ohio is to transform itself into a New Economy leader; and

WHEREAS achieving the highest levels of academic excellence will benefit all those who study and work here; and

WHEREAS the President and the Provost, following broad consultation with faculty, staff, students, friends, and supporters of the university, have created an Academic Plan with a vision and strategies to enhance academic excellence and move the University to the top tier of teaching and research universities:

NOW THEREFORE

BE IT RESOLVED, that upon the recommendation of the President, the Board of Trustees approves the vision and strategies articulated in the current Academic Plan documents; and

BE IT FURTHER RESOLVED that the President and Provost should move forward with the implementation of the vision and strategies articulated in the current Academic Plan documents; and

BE IT FURTHER RESOLVED that the President and Provost are authorized to modify the Academic Plan documents as needed in furtherance of the vision, and should report back annually on their progress.

Upon motion of Mr. Skestos, seconded by Mr. Brennan, the Board of Trustees adopted the foregoing resolution by unanimous roll call vote, cast by Messrs. Skestos, Brennan, Patterson, Sofia, Slane, and McFerson, Ms. Longaberger, and Ms. Hendricks.

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**CONSENT AGENDA**

President William E. Kirwan:

We have 16 resolutions on the Consent Agenda today and I would like to ask that numbers 4, 10, 11, and 15 be held for separate vote. Unless there are any objections, I would like to recommend the remaining twelve resolutions on the consent agenda to the Board:

**NAMING OF JACK NICKLAUS DRIVE**

Resolution No. 2001-63

Synopsis: The naming of Jack Nicklaus Drive, located on the Columbus campus, is proposed.

WHEREAS the Jack Nicklaus Museum is located on the road which connects Borror Drive to the Woody Hayes Athletic Center on The Ohio State University campus; and

WHEREAS Jack Nicklaus has brought international recognition and credit to The Ohio State University through his extraordinary career as a professional golfer and businessperson; and

WHEREAS the life of Jack Nicklaus is entwined with The Ohio State University as a student, varsity athlete, alumnus, donor, parent of students and volunteer; and

December 1, 2000 meeting, Board of Trustees

**NAMING OF JACK NICKLAUS DRIVE (contd)**

WHEREAS Jack and Barbara Nicklaus have been very loyal and supportive of Ohio State and the central Ohio community; and

WHEREAS Jack Nicklaus is one of the greatest golfers who have ever played the game:

NOW THEREFORE

BE IT RESOLVED, That in accordance with paragraph (F) of rule 3335-1-08 of the Administrative Code, the university road which runs from the Woody Hayes Athletic Center to Borror Drive be named "Jack Nicklaus Drive."

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**ADMINISTRATIVE NAMING OF FACILITY**

Resolution No. 2001-64

Synopsis: Approval of the administrative naming of the Floriculture Research and Biocontainment Facility, located at 670 Tharp Street, as the Ornamental Plant Germplasm Center is proposed.

WHEREAS the Biocontainment Facility was originally placed on campus as part of Ameriflora '92; and

WHEREAS a cooperative agreement was signed in July 1995 between The Ohio State University and the United States Department of Agriculture to establish the Ornamental Plant Germplasm Center; and

WHEREAS this research center will be located at 670 Tharp Street on The Ohio State University campus:

NOW THEREFORE

BE IT RESOLVED, That the facility located at 670 Tharp Street be named the "Ornamental Plant Germplasm Center."

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**NAMING OF THE PARKER FOOD SCIENCE AND TECHNOLOGY BUILDING**

Resolution No. 2001-65

Synopsis: The naming of the new Food Science and Technology building, located at 2015 Fyffe Road on the Columbus campus, is proposed.

WHEREAS The Ohio State University is completing a new Food Science and Technology building on the Columbus campus to improve our ability to teach students, conduct research and provide corporate outreach; and

WHEREAS this new building was a partnership between the State of Ohio and donors to the College of Food, Agricultural, and Environmental Sciences, with \$6.2 million raised to help complete and equip the facility; and

WHEREAS Thomas L. Parker, an Ohio State alumnus, business leader and active community citizen made a major gift to complete the funding of the new building and assure the future programs of the Department of Food Science and Technology; and

December 1, 2000 meeting, Board of Trustees

**NAMING OF THE PARKER FOOD SCIENCE AND TECHNOLOGY BUILDING (contd)**

WHEREAS this gift is a capstone to many years of generous support given by Mr. Parker to the department, the college and The Ohio State University:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees, as set forth in paragraph (F) of rule 3335-1-08 of the Administrative Code, approves the building located at 2015 Fyffe Road be named the "Parker Food Science and Technology Building."

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**DEGREES AND CERTIFICATES  
AUTUMN QUARTER COMMENCEMENT**

Resolution No. 2001-66

Synopsis: Approval of Degrees and Certificates for Autumn Quarter is proposed.

WHEREAS pursuant to paragraph (E) of rule 3335-1-06 of the Administrative Code, the Board has authority for the issuance of degrees and certificates; and

WHEREAS the faculties of the colleges and schools shall transmit, in accordance with rule 3335-9-29 of the Administrative Code, for approval by the Board of Trustees the names of persons who have completed degree and certificate requirements:

NOW THEREFORE

BE IT RESOLVED, That the degrees and certificates be conferred on December 8, 2000, to those persons who have completed the requirements for their respective degrees and certificates and are recommended by the colleges and schools, and that the names of those persons awarded degrees and certificates be included in the minutes of this meeting.

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**PERSONNEL ACTIONS**

Resolution No. 2001-67

BE IT RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the November 3, 2000 meeting of the Board, including the following Appointments/Reappointments, Appointment of Chairperson, Professional Improvement Leave, and Emeritus Titles, as detailed in the University Budget be approved; and

BE IT FURTHER RESOLVED, That the Medical Staff Appointments/Reappointment (The Ohio State University Medical Center and The Ohio State University Hospitals East) approved October 26, 2000, by The Ohio State University Hospitals Board, and the Medical Staff Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute) approved October 4, 2000, by The James Cancer Hospital Board, be ratified.

## **PERSONNEL ACTIONS (contd)**

### Appointments

Name: ALLAN R. MILLETT  
Title: Professor (The Major General Raymond E. Mason, Jr. Professorship of Military History)  
Center: Mershon Center  
Term: July 1, 1998, through June 30, 2003

Name: JOHN F. SHERIDAN  
Title: Professor (The George C. Paffenbarger – Alumni Chair in Dental Research)  
College: Dentistry  
Term: January 1, 2001, through December 31, 2005

Name: HENK VERWEIJ  
Title: Professor (The Edward Orton, Jr., Chair in Ceramic Engineering)  
Department: Materials Science and Engineering  
Term: October 1, 2001, through September 30, 2006  
Present Position: Professor, Inorganic Materials Science, University of Twente, The Netherlands

### Reappointments

Name: MARVIN T. BATTE  
Title: Professor (The Fred N. VanBuren Professorship in Farm Management)  
Department: Agricultural, Environmental, and Development Economics  
Term: October 1, 1998, through June 30, 2001

Name: JOSEPH W. RICH  
Title: Professor (The Ralph W. Kurtz Chair in Mechanical Engineering)  
Department: Mechanical Engineering  
Term: July 1, 2000, through June 30, 2004

Name: THOMAS L. SPORLEDER  
Title: Professor (The Farm Income Enhancement Endowed Chair in Agricultural Policy, Trade and Marketing)  
Department: Agricultural, Environmental, and Development Economics  
Extended Term: July 1, 1994, through June 30, 2001

### Appointment of Chairperson

April 1, 2001, through September 30, 2001

East Asian Languages and Literatures

Mari Noda\*

\*Acting

### Professional Improvement Leave

THOMAS L. BEAN, Professor, Department of Food, Agricultural and Biological Engineering, effective Winter Quarter, Spring Quarter, Summer Quarter, and Autumn Quarter 2001.

### Emeritus Titles

ROBERT E. ROTH, School of Natural Resources, with the title Professor Emeritus, effective February 1, 2001.

## **PERSONNEL ACTIONS (contd)**

### Emeritus Titles (contd)

PHILIP E. WIGEN, Department of Physics, with the title Professor Emeritus, effective January 1, 2001.

ANDREW A. WOJCICKI, Department of Chemistry, with the title Professor Emeritus, effective January 1, 2001.

### Medical Staff Appointments (The Ohio State University Hospitals)

#### October 2000

Marjorie J. Arca, M.D., Pediatric Surgery, Attending, 10/11/00-06/30/01  
Wendy L. Brooks, M.D., General Medicine, PGY-2 Limited, 07/01/00-06/30/01  
Eric E. Coris, M.D., Family Medicine, Attending, 10/11/00-06/30/01  
Charles F. Eisenbeis, M.D., Hematology/Oncology, PGY-5 Limited, 07/01/00-06/30/01  
Andrea C. Feilner, M.D., Anesthesiology, PGY-1 Limited, 09/13/00-06/30/01  
Robert W. Galloway, M.D., Diagnostic Radiology, PGY-2 Limited, 07/01/00-06/30/01  
John S. Haid, M.D., Anesthesiology, PGY-2 Limited, 07/01/00-06/30/01  
Robert S. Houser, D.O., Transplant Surgery, PGY-3 Limited, 10/11/00-11/30/00  
Satyanarayana V. Kadim, M.D., Cardiology, Fellow 1<sup>st</sup> Year, 10/01/00-06/30/01  
Patrick M. Killian, M.D., Dermatology, PGY-2 Limited, 07/01/00-06/30/01  
Christopher T. Kirkup, DDS, MS Oral/Maxillofacial Surgery, PGY-1 Limited, 07/01/00-06/30/01  
Ellen K. Kumler, M.D., General Pediatrics, PGY-2 Limited, 07/01/00-06/30/01  
Cynthia H. Ledford, M.D., Internal Medicine/Pediatrics, Community Affiliate, 09/14/00-06/30/01  
Bingren Liu, M.D., Radiation Oncology, PGY-2 Limited, 07/01/00-06/30/01  
Jaswant Madhavan, M.D., Surgery, Fellow 1<sup>st</sup> Year, 09/01/00-06/30/02  
Cynthia M. Magro, M.D., Dermatopathology, Attending, 06/15/00-06/30/02  
Daniel T. Malleske, M.D., General Medicine, PGY-1 Limited, 07/01/00-06/30/02  
Ryan J. Malone, M.D., General Surgery, PGY-1 Limited, 07/01/00-06/30/02  
Janet R. Mason, M.D., Family Medicine, Attending, 10/11/00-06/30/02  
John W. McGrail, M.D., Orthopaedic Surgery, Attending, 10/11/00-06/30/02  
Joseph A. Mendiola, M.D., Diagnostic Radiology, PGY-2 Limited, 07/01/00-06/30/02  
Arthur Molina, M.D., Hematology/Oncology, Attending, 09/18/00-06/30/02  
Ronald G. Morford, M.D., Cardiology, PGY-4 Limited, 07/01/00-06/30/02  
Michael D. Morocco, M.D., Endocrinology, PGY-4 Limited, 07/01/00-06/30/02  
Kirstin J. Nelson, M.D., Diagnostic Radiology, PGY-2 Limited, 07/01/00-06/30/02  
Manisha J. Ogale, M.D., Neurology, PGY-2 Limited, 07/01/00-06/30/02  
Eric M. Orndorff, M.D., Anesthesiology, PGY-2 Limited, 07/01/00-06/30/02  
James R. Otworth, D.O., Anesthesiology, Attending, 09/01/00-06/30/01  
Asha S. Prakash, M.D., General Medicine, PGY-1 Limited, 07/01/00-06/30/02  
Ming Hong J. Pun, DDS, General Dentistry, PGY-1 Limited, 07/01/00-06/30/02  
Allen Rafael, M.D., Cardiology, Fellow 1<sup>st</sup> Year, 07/01/00-06/30/02  
Abdolreza Raissi, M.D., Orthopaedic Surgery, Attending, 10/11/00-06/30/02  
Luis M. Reyes, M.D., General Surgery, PGY-5 Limited, 07/05/00-06/30/02  
Richard E. Schlanger, M.D., General Surgery, Attending, 10/11/00-06/30/02  
Daryl R. Sybert, D.O., Orthopaedic Surgery, Courtesy, 10/11/00-06/30/02  
Rajive Tandon, M.D., Pulmonary, Fellow 1<sup>st</sup> Year, 07/01/00-06/30/02  
Melvin W. Thomas, M.D., Family Medicine, Courtesy, 10/11/00-06/30/02  
Vivek K. Trivedi, M.D., Anesthesiology, Fellow 1<sup>st</sup> Year, 09/05/00-06/30/02  
Allan L. Truax, M.D., Diagnostic Radiology, PGY-6 Limited, 07/01/00-06/30/02  
Jayraj Unni-Revi, M.D., General Medicine, PGY-1 Limited, 07/01/00-06/30/02  
Joseph F. Wilcox, M.D., Orthopaedic Surgery, Community Affiliate, 10/11/00-06/30/02  
Sherif F. Yacoub, M.D., Ph.D., Radiation Oncology, PGY-2 Limited, 07/01/00-06/30/02

December 1, 2000 meeting, Board of Trustees

### **PERSONNEL ACTIONS (contd)**

#### Medical Staff Reappointment (The Ohio State University Hospitals)

##### October 2000

Terry Olejko, DDS, Dentistry/ Oral Maxillofacial Surgery, Courtesy, 07/01/00-06/30/02

#### Requests for Additional Privileges (The Ohio State University Medical Center)

##### October 2000

Greg Christoforidis, MD, Radiology, Conscious Sedation, Vertebroplasty, 10/11/2000-06/30/2001  
Melinda Coesfeld, PA-C, Surgery; Cardiothoracic; Endoscopic Vein Harvesting (authorized by virtue of license currently), 10/11/2000-06/30/2001  
Pablo Gamboa, MD, Radiology, Conscious Sedation, 10/11/2000-06/30/2001  
John Goodhart, PA-C, Surgery; Cardiothoracic; Endoscopic Vein Harvesting (authorized by virtue of license currently), 10/11/2000-06/30/2001  
Janet Mason, MD, **(New applicant)**, Family Medicine, Joint Injection (steroid), Nerve Block, I&D, 10/11/2000-06/30/2002  
Kannan Natarajan, MD, Radiology, Conscious Sedation, 10/11/2000-06/30/2002  
James Otworth, DO, **(New applicant)**, Anesthesia, Conscious Sedation, 10/11/2000-09/01/2001 (1 yr. Provisional)  
Anjama Sammadder, MD, IM/Digestive Diseases, Endoscopy: -EGD, -ERCP, -Endo. Sphin., -Flex. Sigmoid,-Colonoscopy, -Colonoscopic, Polypectomy, -Endo. Electro-surgical, -PEG, 09/13/2000-06/30/2002  
Rodney Tomczak, DPM, Orthopaedics, CO2 Laser, 10/11/2000-06/30/2002  
Kuldeep Vaswani, MD, Radiology, Conscious Sedation, 10/11/2000-06/30/2002  
John Winston, MD, Surgery, General Conscious Sedation, 10/11/2000-06/30/2002

#### Requests for Change in Medical Staff Category (The Ohio State University Medical Center)

##### October 2000

Michelle Miller, M.D., Phys. Med. & Rehab., From Attending, To Courtesy  
Ellen Kaitz, MD, Phys. Med. & Rehab., From Attending, To Courtesy

#### Medical Staff Appointments (The Ohio State University Hospitals East)

##### October 2000

Sachin M. Apte, M.D., Surgery, Division of Surgery, PGY4, 10/16/2000 - 06/30/2001  
Mary Y. Armanios, M.D., Family Medicine, Division of MMT, PGY5, 10/16/2000 - 06/30/2001  
James P. Bacon, M.D., Internal Medicine, Division of Cardiology, Active, 10/16/2000 - 06/30/2001  
Michael L. Blumenfeld, M.D., Surgery, Division of Surgery, Active, 10/16/2000 - 06/30/2001  
Christina L. Charles-Beery, M.D., Surgery, Division of Surgery, PGY2, 10/16/2000 - 06/30/2001  
Curt J. Daniels, M.D., Internal Medicine, Division of Cardiology, Active, 10/16/2000 - 06/30/2001  
Andrea C. Feilner, M.D., Anesthesiology, Division of Anesthesiology, PGY2, 10/16/2000 - 06/30/2001  
Angela B. Gantt, M.D., Surgery, Division of OB/GYN, PGY3, 10/16/2000 - 06/30/2001  
Genevieve B. Hagerty, M.D., Surgery, Division of OB/GYN, PGY3, 10/16/2000 - 06/30/2001  
John S. Haid, M.D., Anesthesiology, Division of Anesthesiology, PGY2, 10/16/2000 - 06/30/2001  
David P. Healy, D.O., Family Medicine, Division of MMT, PGY4, 10/16/2000 - 06/30/2001  
Norma J. Jackson, D.O., Anesthesiology, Division of Anesthesiology, PGY2, 10/16/2000 - 06/30/2001  
Terry Kim, M.D., Anesthesiology, Division of Anesthesiology, PGY3, 10/16/2000 - 06/30/2001  
Allen J. LeBlanc, Jr., M.D., Ph.D., Surgery, Division of Surgery, PGY3, 10/16/2000 - 06/30/2001  
Jennifer L. Lew, M.D., Surgery, Division of Surgery, PGY4, 10/16/2000 - 06/30/2001  
Cynthia M. Magro, M.D., Pathology, Division of Pathology, Active, 10/16/2000 - 06/30/2002

**PERSONNEL ACTIONS (contd)**

Medical Staff Appointments (The Ohio State University Hospitals East) (contd)

October 2000 (contd)

Janet R. Mason, M.D., Family Medicine, Division of Family Medicine, Active, 10/16/2000 - 06/30/2002  
Peter B. Maurus, M.D., Musculoskeletal Dis., Division of Musculoskeletal Dis., PGY1, 10/16/2000 - 06/30/2002  
John W. McGrail, M.D., Musculoskeletal Dis., Division of Musculoskeletal Dis., Active, 10/16/2000 - 06/30/2002  
Brian J. McGuinness, M.D., Surgery, Division of Surgery, PGY4, 10/16/2000 - 06/30/2002  
Eric M. Orndorff, M.D., Anesthesiology, Division of Anesthesiology, PGY2, 10/16/2000 - 06/30/2002  
James R. Otworth, D.O., Anesthesiology, Division of Anesthesiology, Active, 10/16/2000 - 09/01/2001  
Rodney V. Pozderac, M.D., Radiology, Division of Radiology, Active, 10/16/2000 - 06/30/2002  
Jeffrey G. Poulos, M.D., Family Medicine, Division of MMT, Active, 10/16/2000 - 06/30/2002  
Joseph A. Rodrigo, D.O., Anesthesiology, Division of Anesthesiology, PGY2, 10/16/2000 - 06/30/2002  
Andrew M. Shirk, D.O., Anesthesiology, Division of Anesthesiology, PGY2, 10/16/2000 - 06/30/2002  
Brian D. Singstock, M.D., Anesthesiology, Division of Anesthesiology, PGY2, 10/16/2000 - 06/30/2002  
Jonathan P. Smerek, M.D., Musculoskeletal Dis., Division of Musculoskeletal Dis., PGY1, 10/16/2000 - 06/30/2002  
Vivek K. Trivedi, M.D., Anesthesiology, Division of Anesthesiology, PGY5, 10/16/2000 - 06/30/2002  
Allan L. Truax, M.D., Radiology, Division of Radiology, PGY5, 10/16/2000 - 06/30/2002  
Scott E. Van Aman, M.D., Musculoskeletal Dis., Division of Musculoskeletal Dis., PGY1, 10/16/2000 - 06/30/2002  
Peter Wang, M.D., Anesthesiology, Division of Anesthesiology, PGY2, 10/16/2000 - 06/30/2002  
Joseph F. Wilcox, M.D., Musculoskeletal Dis., Division of Musculoskeletal Dis., Active, 10/16/2000 - 06/30/2002

Requests for Additional Privileges (The Ohio State University Hospitals East)

October 2000

Michael Blumenfeld, M.D., Surgery/OB/GYN, Use of a laparoscope, CO2 laser, 10/16/00 to 06/30/01  
Richard Cavender, M.D., Emergency Medicine, Hyperbaric medicine/wound care, 10/16/00 to 06/30/01  
John McGrail, M.D., Musculoskeletal Diseases, Arthroscopy – Shoulder, knee, ankle and elbow, 10/16/00 to 06/30/02  
John Winston, III, M.D., Surgery, Conscious Sedation, 10/16/00 to 06/30/02

Medical Staff Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute)

August/September 2000

Initial Appointments – Faculty

Marjorie Arca, M.D., Pediatric Surgery, Associate Attending  
Arthur Molina, M.D., Internal Medicine, Hematology/Oncology, Attending

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**RESOLUTION IN MEMORIAM**

Resolution No. 2001-68

Synopsis: Approval of one Resolution in Memoriam.

RESOLVED, That the Board adopt the following Resolution in Memoriam and that the President be requested to convey a copy to the family of the deceased.

Agnes Marshall Gordon

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on August 30, 2000, of Dr. Agnes M. Gordon, Professor Emeritus in the Department of Accounting and Management Information Systems.

Dr. Gordon was born in Columbus on November 2, 1904, and remained a life-long resident. She received her B.A. and M.A. degrees in sociology at Ohio State, and her Ph.D. in accounting at Ohio State. Dr. Gordon was considered a pioneer in her field, being the first woman to receive a Ph.D. in accounting and appointed as a professor in accounting. Upon her retirement in 1972, she became Professor Emeritus.

Professor Gordon was elected to the honorary societies Phi Beta Kappa, Mortar Board, Beta Alpha Psi, and Beta Gamma Sigma. As a three-time president of Mortar Board, a scholarship was named in her honor in 1992. She received the Pauline Hershey Award for outstanding service from the Alpha Xi Delta Sorority. She also prepared a club history from 1897 to 1997 for Sorosis, a Columbus women's literary club.

Upon retirement, Dr. Gordon utilized her CPA with a specialty in tax and maintained a tax practice until she was 91 years old.

Friends and colleagues applauded her integrity and interest in promoting education at any level, especially for women.

On behalf of the University community, the Board of Trustees expresses to the family and friends of Professor Agnes Marshall Gordon its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to her family as an expression of the Board's heartfelt sympathy.

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**REPORT OF RESEARCH CONTRACTS AND GRANTS**

Resolution No. 2001-69

Synopsis: The reports on research and other sponsored program contracts and grants and the summaries for October 2000 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the months of October 2000 be approved.

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**AMENDMENTS TO MINIMUM ENDOWED FUNDING LEVELS**

Resolution No. 2001-70

Synopsis: New minimum funding levels for named endowed funds that support the Presidential, Distinguished, Medalist and University Scholarships, is proposed.

WHEREAS it is prudent policy to periodically adjust minimum funding levels of named endowed funds and current-use equivalent funds to meet existing needs; and

WHEREAS the minimum funding levels for the Presidential, Distinguished, Medalist and University Scholarships, awarded annually as University-wide merit scholarships, have not been adjusted since July 1, 1995; and

WHEREAS it is recognized and understood that existing agreements with donors to establish the above noted named endowed funds will be honored and the minimum funding level will remain that which was in effect at the time of the original agreement; and

WHEREAS the Office of University Development, the University Honors and Scholars Center and the Office of Student Financial Aid agree to the following new minimum levels:

	<u>Endowed Amount</u>	<u>Current Use</u>
University Scholars	\$30,000	
Medalist Scholars	\$100,000	\$5,000/yr X 4 yrs
Distinguished Scholars	\$200,000	\$10,000/yr X 4 yrs
Presidential Scholars	\$300,000	\$15,000/yr X 4 yrs

NOW THEREFORE

BE IT RESOLVED, That the foregoing minimum levels for named endowed and current use funds supporting the Presidential, Distinguished, Medalist and University Scholarship be approved effective July 1, 2000.

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**WAIVERS OF COMPETITIVE BIDDING REQUIREMENTS**

Resolution No. 2001-71

July – September 2000

Synopsis: Acceptance of the quarterly report on waivers of competitive bidding requirements is proposed.

WHEREAS the Purchasing Policy of The Ohio State University adopted by the Board of Trustees on September 7, 1984, and revised on February 7, 1992, July 8, 1994, and November 7, 1997, provides that the President and/or the Vice President for Business and Finance, or for the Hospitals of the University and their related facilities, Vice President for Health Services, may grant a waiver from competitive bidding in the event of an emergency, when a sufficient economic reason exists or when the goods or services can be purchased from only a single source, with a report on such waivers to be made quarterly to this Board; and

WHEREAS the Vice President for Business and Finance has submitted a report on waivers of competitive bidding requirements granted for the period of July - September 2000; and

**WAIVERS OF COMPETITIVE BIDDING REQUIREMENTS (contd)**

WHEREAS during the period covered, the Vice President for Business and Finance, at the requests of the departments making the purchases and upon the recommendation of the Purchasing Department, granted 42 waivers of competitive bidding requirements for annual purchases totaling approximately \$10,432,600 as shown on the enclosed exhibit; and

WHEREAS during the period covered, the Vice President for Health Services, at the requests of the Hospitals of the University and their related facilities making purchases, granted 23 waivers of competitive bidding requirements for annual purchases totaling approximately \$6,552,300 as shown on the enclosed exhibit:

NOW THEREFORE

BE IT RESOLVED, That the report on waivers of competitive bidding requirements for the period of July - September 2000, is hereby accepted.

(See Appendix XX for background information, page 493.)

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**EMPLOYMENT OF ARCHITECTS/ENGINEERS AND  
REQUEST FOR CONSTRUCTION BIDS**

Resolution No. 2001-72

**POSTLE HALL – ORAL AND MAXILLOFACIAL SURGERY**

Synopsis: Authorization to employ architect/engineering firms and request construction bids for the Postle Hall – Oral and Maxillofacial Surgery project, is requested.

WHEREAS the University desires to renovate an area on the 2<sup>nd</sup> floor of Postle Hall to provide for the oral and maxillofacial surgical services; and

WHEREAS the total estimated project cost is \$1,031,405 and the total estimated construction cost is \$830,6000, with funding provided by the College of Dentistry:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Vice President for Business and Finance be authorized to select qualified architectural/engineering firms as necessary for the Postle Hall – Oral and Maxillofacial Surgery project and that the fees for these services be negotiated between the firm selected and the University; and

BE IT FURTHER RESOLVED, That the President and/or Vice President for Business and Finance be authorized to request construction bids for the Postle Hall – Oral and Maxillofacial Surgery project in accordance with established University and State of Ohio procedures, and if satisfactory bids are received, to issue contracts with all actions to be reported to this Board at the appropriate time.

(See Appendix XXI for map, page 495.)

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**REPORT OF AWARD OF CONTRACTS**

Resolution No. 2001-73

1314 KINNAR ROAD – BUILDING IMPROVEMENTS  
1314 KINNAR ROAD CHILLER REPLACEMENT  
1315 KINNAR ROAD ROOF REPLACEMENT  
ADA COMPLIANT RESTROOMS 1997  
BIOLOGICAL SCIENCES COOLING TOWER REPLACEMENT  
BIOLOGICAL SCIENCES LAB RENOVATION, PHASE I  
CALDWELL LAB – ASBESTOS ABATEMENT  
CAMPUS GROUNDS – EXTERIOR LIGHTING PHASE VI  
COOKE CASTLE RENOVATION  
CURL DRIVE MILL AND OVERLAY  
DODD HALL AND DAVIS CENTER – ROOF REPLACEMENTS  
DRINKO HALL – AIR CONDITIONING UPGRADE  
FRENCH FIELD HOUSE – GLASS REPLACEMENT  
LARKINS HALL – WINDOW REPLACEMENTS  
LIMA CAMPUS – REED COOLING TOWER  
MANSFIELD CAMPUS – FOUNDERS AUDITORIUM RENOVATION  
MANSFIELD CAMPUS – ROADWAY AND TENNIS COURT PAVING  
MRI – CLINICAL FACILITY EXPANSION  
NEIL AVENUE TUNNEL – ASBESTOS ABATEMENT  
OARDC – POULTRY RESEARCH FACILITY – COLUMBUS  
OHIO UNION PARKING GARAGE – ELEVATOR RENOVATION  
REMOVAL OF BARRIERS FOR THE PHYSICALLY IMPAIRED  
RHODES HALL – OPERATING ROOMS 14 AND 15 RENOVATION  
THOMPSON LIBRARY – HVAC UPGRADE  
UTILITIES STEAM LINE UPGRADE

Synopsis: Acceptance of the report of award of contracts and establishment of contingency funds for the projects indicated by the Fiscal Affairs Committee is requested.

WHEREAS resolutions adopted by the Board of April 2, 1993, May 7, 1993, December 2, 1994, December 6, 1996, February 5, 1999, May 7, 1999, June 4, 1999, September 1, 1999, October 1, 1999, December 3, 1999 and March 3, 2000 authorized the President and/or Vice President for Business and Finance to request construction bids in accordance with established University procedures, and if satisfactory bids were received to award contracts for the following projects:

(\* Recommended alternates included in these amounts)

1314 Kinnear Road – Building Improvements

This project renovates office, office support, laboratory, laboratory support, and publications space to accommodate the increased program needs of the Center for Lake Erie Area Research program. The total project cost is \$658,486; funding is provided by House Bill 790 (\$78,900), House Bill 850 (\$540,016), House Bill 904 (\$34,570) and Office of Research (\$5,000). The completion date is February 2001. The contracts awarded are as follows:

Design:	J. L. Bender, Columbus, Ohio
General Contract:	C & N Construction, Columbus, Ohio
Amount:	\$312,200 *
Estimate:	\$366,018 *
Mechanical Contract:	Gateway Mechanical, Grove City, Ohio
Amount:	\$162,000 * +
Estimate:	\$122,194 * +

**REPORT OF AWARD OF CONTRACTS (contd)**

1314 Kinnear Road – Building Improvements (contd)

Electric Contract:	Royal Electric Construction, Columbus, Ohio
Amount:	\$67,600 * +
Estimate:	\$63,326 * +
Asbestos Contract:	Columbus Abatement, Columbus, Ohio
Amount:	\$12,000 *
Estimate:	\$13,950 *
Total All Contracts:	\$553,800 *
Contingency Allowance:	\$53,682
Total Project Cost:	\$658,486

+ The Mechanical and Electric Contracts are in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

1314 Kinnear Road – Chiller Replacement

This project replaces the chiller and air conditioning condenser system serving 1314 Kinnear Road building. The total project cost is \$660,000; funding is provided by House Bill 790. The completion date is December 2000. The contracts awarded are as follows:

Design:	Motz Consultants, Cincinnati, Ohio
HVAC Contract:	R. F. Mlasofsky, Delaware, Ohio
Amount:	\$377,490 *
Estimate:	\$468,200 *
General Contract:	Inca Enterprises, Columbus, Ohio
Amount:	\$78,700 *
Estimate:	\$82,000 *
Electric Contract:	Hatzel & Buehler, Circleville, Ohio
Amount:	\$100,730 * +
Estimate:	\$46,500 * +
Total All Contracts:	\$556,920 *
Contingency Allowance:	\$32,251
Total Project Cost:	\$660,000

+ The Electric Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

1315 Kinnear Road Roof Replacement

This project replaces the existing roof system and expansion joints and improves drainage of the surface. The total project cost is \$788,902; funding is provided by House Bill 850 (\$680,000) and Department of Physical Facilities (\$108,902). The completion date is November 2000. The contract awarded is as follows:

Design:	The Ohio State University
General Contract:	DeMarco, Inc., Columbus, Ohio
Amount:	\$742,621
Estimate:	\$800,447
Total All Contracts:	\$742,621
Contingency Allowance:	\$37,131
Total Project Cost:	\$788,902

## **REPORT OF AWARD OF CONTRACTS (contd)**

### ADA Compliant Restrooms 1997

This project provides ADA compliant restrooms in Cunz, Dulles, and Kottman Halls and Lincoln Tower. The total project cost is \$338,194; funding is provided by House Bill 748. The completion date was September 2000. The contracts awarded are as follows:

Design:	Schorr & Associates, Columbus, Ohio
General Contract:	C & N Construction, Columbus, Ohio
Amount:	\$158,000
Estimate:	\$210,000
Plumbing Contract:	L.T.M. Plumbing & Heating, Columbus, Ohio
Amount:	\$87,142
Estimate:	\$115,000
Electric Contract:	Knight Electric, Columbus, Ohio
Amount:	\$17,970
Estimate:	\$37,000
Total All Contracts:	\$263,112
Contingency Allowance:	\$26,311
Total Project Cost:	\$338,194

### Biological Sciences – Cooling Tower Replacement

This project replaces the worn out, undersized cooling tower with a new 4-cell tower, sized to handle all of the building's chillers. The total project cost is \$499,866; funding is provided by House Bill 850. The completion date is January 2001. The contracts awarded are as follows:

Design:	The Ohio State University
HVAC Contract:	R. F. Mlasofsky, Delaware, Ohio
Amount:	\$380,800 *
Estimate:	\$425,000 *
Electric Contract:	Knight Electric, Inc., Columbus, Ohio
Amount:	\$37,600 *
Estimate:	\$50,000 *
Total All Contracts:	\$418,400
Contingency Allowance:	\$41,840
Total Project Cost:	\$499,866

### Biological Sciences Lab. Renovation, Phase I

This project rehabilitates 14 cold rooms and replaces 6 autoclaves. The total project cost is \$580,000; funding is provided by House Bill 748. The completion date was September 2000. The contracts awarded are as follows:

Design:	Phillip Absi, Columbus, Ohio
Asbestos Abatement:	Columbus Abatement, Columbus, Ohio
Amount:	\$17,000
Estimate:	\$25,000
General Contract:	Corna/Kokosing, Columbus, Ohio
Amount:	\$26,059
Estimate:	\$29,390
HVAC Contract:	Synergy Mechanical, Hilliard, Ohio
Amount:	\$419,000 +
Estimate:	\$410,290
Electric Contract:	Knight Electric, Columbus, Ohio
Amount:	\$28,500
Estimate:	\$30,320

## **REPORT OF AWARD OF CONTRACTS (contd)**

### Biological Sciences Lab. Renovation, Phase I (contd)

Total All Contracts:	\$490,559
Contingency Allowance:	\$36,483
Total Project Cost:	\$580,000

+ The HVAC Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

### Caldwell Lab – Asbestos Abatement

This project abates the sprayed-on asbestos-containing material on a portion of the exposed ceiling area of the basement and the area above ceilings in designated areas on the second and third floors and the installation of new ceilings and lights. The total project cost is \$670,000; funding is provided by House Bill 790 (\$272,750) and Ohio Board of Regents Asbestos Abatement (\$397,250). The completion date is December 2000. The contracts awarded are as follows:

Design:	The Ohio State University
Asbestos Contract:	Keen and Cross, Inc., Cincinnati, Ohio
Amount:	\$185,000
Estimate:	\$417,000
General Contract:	Bomar Construction Co., Inc., Grove City, Ohio
Amount:	\$150,900 *
Estimate:	\$265,000 *
Electric Contract:	Fetter Electrical Contractors, Granville, Ohio
Amount:	\$58,230 *
Estimate:	\$104,000
Total All Contracts:	\$394,130 *
Contingency Allowance:	\$242,358
Total Project Cost:	\$670,000

### Campus Grounds Exterior Lighting Phase VI

This project continues the replacement of existing exterior lights with energy efficient lights that improve light levels and safety without increasing electrical consumption. This phase of the project completes lighting upgrades in the area bounded by 10<sup>th</sup> Avenue, College Road, 12<sup>th</sup> Avenue, and Neil Avenue. The total project cost is \$672,874; funding is provided by House Bill 850 (\$500,000), Department of Physical Facilities (\$110,000), and Housing, Food Service, and Event Centers (\$62,874). The completion date is March 2001. The contract awarded is as follows:

Design:	The Ohio State University
Electric Contract:	Jess Howard Electric Company, Blacklick, Ohio
Amount:	\$599,650 * +
Estimate:	\$586,000 * +
Total All Contracts:	\$599,650 *
Contingency Allowance:	\$34,479
Total Project Cost:	\$672,874

+ The Electric Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

## **REPORT OF AWARD OF CONTRACTS (contd)**

### Cooke Castle Renovation

This project provides exterior masonry restoration and porch reconstruction at Cooke Castle on Gibraltar Island. The total project cost is \$509,376; funding is provided by House Bill 850 (\$500,000) and Department of Physical Facilities (\$9,376). The completion date is November 2000. The contract awarded is as follows:

Design:	Renouveau Design Inc., Columbus, Ohio
General Contract:	Feick Contractors, Inc., Sandusky, Ohio
Amount:	\$409,376
Estimate:	\$455,244
Total All Contracts:	\$409,376
Contingency Allowance:	\$48,549
Total Project Cost:	\$509,376

### Curl Drive Mill and Overlay

This project mills and overlays Curl Drive with new asphalt from Woodruff Avenue to Neil Avenue. The total project cost is \$221,170; funding is provided by House Bill 850. The completion date was September 2000. The contract awarded is as follows:

Design:	The Ohio State University
General Contract:	Decker Construction Company, Columbus, Ohio
Amount:	\$185,018
Estimate:	\$211,788
Total All Contracts:	\$185,018
Contingency Allowance:	\$18,502
Total Project Cost:	\$221,170

### Dodd Hall and Davis Center – Roof Replacements

This project replaces the roofing on both buildings. The total project cost is \$990,100; funding is provided by University Hospitals. The completion date is November 2000. The contract awarded is as follows:

Design:	Robert H. Fuller & Associates, Columbus, Ohio
General Contract:	The Childers Corporation, Columbus, Ohio
Amount:	\$865,709
Estimate:	\$865,710
Total All Contracts:	\$865,709
Contingency Allowance:	\$43,541
Total Project Cost:	\$990,100

### Drinko Hall – Air Conditioning Upgrade

This project replaces air units #1, 2 and 3, the 225-ton centrifugal chiller that contains obsolete refrigerant R11 and the cooling tower. The total project cost is \$610,200; funding is provided by House Bill 748 (\$98,815) and House Bill 850 (\$511,385). The completion date is August 2001. The contracts awarded are as follows:

Design:	The Ohio State University
HVAC Contract:	Ro-Dan Construction, Columbus, Ohio
Amount:	\$423,600
Estimate:	\$450,000
Electric Contract:	Knight Electric, Inc., Columbus, Ohio
Amount:	\$96,980
Estimate:	\$125,000

## **REPORT OF AWARD OF CONTRACTS (contd)**

### Drinko Hall – Air Conditioning Upgrade (contd)

Total All Contracts:	\$526,080 *
Contingency Allowance:	\$52,061
Total Project Cost:	\$610,200

### French Field House – Glass Replacement

This project removes the existing corrugated glass panels at the roofline on the north and south elevation of the building and replaces them with new aluminum frames and a combination of sheet glass and insulated translucent panels. The total project cost is \$372,039; funding is provided by House Bill 850. The completion date is December 2000. The contract awarded is as follows:

Design:	Schorr and Associates, Columbus, Ohio
General Contract:	JMD Architectural Products, Inc., Hilliard, Ohio
Amount:	\$304,070
Estimate:	\$330,000
Total All Contracts:	\$304,070
Contingency Allowance:	\$30,408
Total Project Cost:	\$372,039

### Larkins Hall – Window Replacements

This project replaces the original steel sash windows in the older Physical Education part of the building, which are energy inefficient and a high maintenance problem. The total project cost is \$221,927; funding is provided by House Bill 904 (\$221,545) and Department of Physical Facilities (\$382). The completion date was October 2000. The contract awarded is as follows:

Design:	Berardi & Partners Architects, Columbus, Ohio
General Contract:	Koch Corp., Louisville, Kentucky
Amount:	\$191,950 *
Estimate:	\$206,416 *
Total All Contracts:	\$191,950
Contingency Allowance:	\$9,598
Total Project Cost:	\$221,927

### Lima Campus – Reed Cooling Tower

This project replaces the existing, deteriorated cooling tower in the Reed Hall Student Activities Center. The total project cost is \$43,479; funding is provided by House Bill 850 (\$38,431) and Senate Bill 351 (\$5,048). The completion date is December 2000. The contract awarded is as follows:

Design:	Roger D. Fields, Columbus, Ohio
HVAC Contract:	Richard Schumm Plumbing and Heating, Van Wert, Ohio
Amount:	\$31,551 *
Estimate:	\$40,530 *
Total All Contracts:	\$43,479
Contingency Allowance:	\$3,155
Total Project Cost:	\$43,479



## **REPORT OF AWARD OF CONTRACTS (contd)**

### Mansfield Campus – Founders Auditorium Renovation

This project renovates the auditorium in Ovalwood Hall. The total project cost is \$1,278,447; funding is provided by House Bill 748 (\$14,000), House Bill 850 (\$850,000), Mansfield Campus funds (\$164,447), and gifts to the Mansfield Campus (\$250,000). The completion date is September 2001. The contracts awarded are as follows:

Design:	Myers Associates Architects, Medina, Ohio
General Contract:	Studer-Obringer Construction Company, New Washington, Ohio
Amount:	\$562,400 *
Estimate:	\$622,132 *
Plumbing Contract:	M. W. Mielke, Inc., Medina, Ohio
Amount:	\$100,170 * +
Estimate:	\$96,132 *
HVAC Contract:	Rhodes Heating & Air Conditioning, Marion, Ohio
Amount:	\$340,000 +
Estimate:	\$233,526 +
Electric Contract:	Spring Electrical Construction Company, Inc., Mansfield, Ohio
Amount:	\$110,602 *
Estimate:	\$111,174 *
Total All Contracts:	\$1,113,172 *
Contingency Allowance:	\$74,202
Total Project Cost:	\$1,278,447

+ The Plumbing and HVAC Contracts are in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

### Mansfield Campus – Roadway and Tennis Court Paving

This project repaves the roadway from the Fallerius Building, Health Sciences Building and from Lot 9 to the entrance at Lexington Springmill Road. The total project cost is \$122,000; funding is provided by House Bill 850. The completion date was October 2000. The contract awarded is as follows:

Design:	Richland Engineering, Ashland, Ohio
General Contract:	Mansfield Asphalt Paving Company, Mansfield, Ohio
Amount:	\$100,947 *
Estimate:	\$138,815 *
Total All Contracts:	\$100,947
Contingency Allowance:	\$9,868
Total Project Cost:	\$122,000

### MRI – Clinical Facility Expansion

This project constructs a one-story addition of approximately 1,500 gross square feet to the existing MRI center to house a new magnet room, control room, changing rooms, and patient holding area. The total project cost is \$956,625; funding is provided by University Hospitals. The completion date is January 2001. The contract awarded is as follows:

Design:	DSI Architects, Columbus, Ohio
General Contract:	The Childers Corporation, Columbus, Ohio
Amount:	\$769,998
Estimate:	\$770,000

## **REPORT OF AWARD OF CONTRACTS (contd)**

### MRI – Clinical Facility Expansion (contd)

Total All Contracts:	\$769,998
Contingency Allowance:	\$77,002
Total Project Cost:	\$956,625

### Neil Avenue Tunnel – Asbestos Abatement

This project abates the asbestos pipe insulation on the steam line and water piping in the utility tunnel under Neil Avenue. The total project cost is \$119,868; funding is provided by the Ohio Board of Regents Asbestos funding (\$64,583) and Department of Physical Facilities (\$55,285). The completion date was March 2000. The contract awarded is as follows:

Design:	The Ohio State University
Asbestos Abatement:	Columbus Abatement, Columbus, Ohio
Amount:	\$54,500
Estimate:	\$85,000
Total All Contracts:	\$54,500
Contingency Allowance:	\$60,735
Total Project Cost:	\$119,868

### OARDC – Poultry Research Facility - Columbus

This project renovates an existing 5,600 square feet, single-story structure used for poultry research purposes. The total project cost is \$338,470; funding is provided by House Bill 808 (\$166,334) and House Bill 904 (\$172,136). The completion date was August 2000. The contracts awarded are as follows:

Design:	The Ohio State University
General Contract:	C & N Construction, Columbus, Ohio
Amount:	\$130,400 *
Estimate:	\$160,663 *
Combined Plumbing/ HVAC Contract:	General Temperature Control, Canal Winchester, Ohio
Amount:	\$110,000
Estimate:	\$133,200
Electric Contract:	Brush Contractors, Inc., Columbus, Ohio
Amount:	\$40,025 *
Estimate:	\$49,867 *
Total All Contracts:	\$280,425
Contingency Allowance:	\$33,845
Total Project Cost:	\$338,470

### Ohio Union Parking Garage – Elevator Renovation

This project upgrades the east elevator. The total project cost is \$280,778; funding is provided by Transportation and Parking. The completion date was October 2000. The contract awarded is as follows:

Design:	Stacy & Asebrook Inc., Columbus, Ohio
General Contract:	William M. Patton Co., Columbus, Ohio
Amount:	\$218,850
Estimate:	\$225,000
Total All Contracts:	\$218,850
Contingency Allowance:	\$33,505
Total Project Cost:	\$280,778

## **REPORT OF AWARD OF CONTRACTS (contd)**

### Removal of Barriers for the Physically Impaired

This project involves the upgrading or replacing of pay telephones at several Columbus Campus locations to TDD/TTY compatible condition. The total project cost is \$119,482; funding is provided by House Bill 904. The completion date is December 2000. The contract awarded is as follows:

Design:	Milhoan Architects, Columbus, Ohio
Electric Contract:	Royal Electric, Columbus, Ohio
Amount:	\$96,800 +
Estimate:	\$88,650 +
Total All Contracts:	\$96,800
Contingency Allowance:	\$9,680
Total Project Cost:	\$119,482

+ The Electric Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

### Rhodes Hall – Operating Rooms 14 and 15 Renovation

This project renovates two existing surgeries in Rhodes Hall to provide for minimal invasive surgical equipment. The total project cost is \$599,059; funding is provided by University Hospitals. The completion date is March 2001. The contract awarded is as follows:

Design:	Andrews Architects, Columbus, Ohio
General Contract:	The Childers Corporation, Columbus, Ohio
Amount:	\$500,477 +
Estimate:	\$460,000 +
Total All Contracts:	\$500,477
Contingency Allowance:	\$44,325
Total Project Cost:	\$599,059

+ The General Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

### Thompson Library – HVAC Upgrade

This project extends the chilled water lines from McCracken Power Plant to the Thompson Library. The total project cost is \$602,872; funding is provided by House Bill 850. The completion date is November 2000. The contracts awarded are as follows:

Design:	The Ohio State University
HVAC Contract:	J. A. Guy, Inc., Dublin, Ohio
Amount:	\$487,000
Estimate:	\$535,000
Electric Contract:	Fetter Electrical Contractors, Inc., Newark, Ohio
Amount:	\$28,760
Estimate:	\$75,000
Total All Contracts:	\$515,760
Contingency Allowance:	\$51,576
Total Project Cost:	\$602,872

**REPORT OF AWARD OF CONTRACTS (contd)**

Utilities Steam Line Upgrade

This project replaces approximately 1,700 feet of 4" high pressure steam line and companion condensate line in the South Neil tunnel with 8" lines. The total project cost is \$936,676; funding is provided by House Bill 748 (\$228,270), House Bill 850 (\$700,000) and Department of Physical Facilities (\$8,406). The completion date is December 2000. The contract awarded is as follows:

Design:	The Ohio State University
HVAC Contract:	Kirk Williams Company, Grove City, Ohio
Amount:	\$798,000
Estimate:	\$850,000
Total All Contracts:	\$798,000
Contingency Allowance:	\$88,206
Total Project Cost:	\$936,676

NOW THEREFORE

BE IT RESOLVED, That pursuant to the actions previously authorized by the Board of Trustees, the report of award of contracts and establishment of contingency funds for the projects listed is hereby approved.

(See Appendix XXII for maps, page 497.)

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**NON-MANDATORY TRANSFERS FROM CURRENT FUNDS**

Resolution No. 2001-74

Fiscal Year 1999-2000

Synopsis: Transfers from Current Funds to Endowment Principal Funds, Unexpended Plant Funds, Renewal and Replacement Funds and retirement of Indebtedness are submitted for approval.

WHEREAS non-mandatory transfers between current funds and non-current funds for the fiscal year 1999-2000 have been made; and

WHEREAS this account procedure is in compliance with requirements of the Auditor of State that all transfers among fund groups be reported:

NOW THEREFORE

BE IT RESOLVED, That the transfers as detailed in the accompanying report be approved.

(See Appendix XXIII for background information, page 523.)

Upon motion of Mr. Sofia, seconded by Ms. Longaberger, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Skestos, Brennan, Patterson, Sofia, Slane, and McFerson, Ms. Longaberger, and Ms. Hendricks.

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December 1, 2000 meeting, Board of Trustees

President Kirwan:

In addition, I would like to recommend:

**NAMING OF THE CENTER FOR EDUCATION  
AND ECONOMIC DEVELOPMENT**

Resolution No. 2001-75

Synopsis: The naming of the Center for Education and Economic Development, located on the Wooster Campus, is proposed.

WHEREAS Arden Shisler is president and CEO of K & B Transport in Dalton, Ohio, and serves as chair of Nationwide Mutual Insurance; and

WHEREAS he has been a member of the board of directors of Nationwide Mutual Insurance since 1984 upon nomination by the Ohio Farm Bureau, where he served as board vice president and president of its agriculture marketing subsidiary; and

WHEREAS Mr. Shisler serves on the Key Advisory Council of The Ohio State University Agricultural Technical Institute in Wooster, serves on campaign committee for the Center for Education and Economic Development, and is a donor to the campaign; and

WHEREAS the Nationwide Foundation has contributed a gift to name the Center for Education and Economic Development for Arden Shisler and his involvement with this project and ATI:

NOW THEREFORE

BE IT RESOLVED, That in accordance with paragraph (F) of rule 3335-1-08 of the Administrative Code, the Center for Education and Economic Development, located on the Wooster Campus, be named "The Arden Shisler Center for Education and Economic Development."

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**REPORT ON UNIVERSITY DEVELOPMENT**

Resolution No. 2001-76

Synopsis: The report on the receipt of gifts and the summary for October 2000 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of eleven (11) new named endowed funds:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of October 2000 be approved.

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**TOTAL UNIVERSITY PRIVATE SUPPORT**

July-October  
1999 Compared to 2000

GIFT RECEIPTS BY DONOR TYPE

	Dollars July through October		
	<u>1999</u>	<u>2000</u>	<u>% Change</u>
Individuals:			
Alumni (Current Giving)	\$7,105,543	\$6,057,114	(15)
Alumni (From Bequests)	<u>3,463,500</u>	<u>910,525</u>	(74)
Alumni Total	\$10,569,043	\$6,967,639	(34)
Non-Alumni (Current Giving)	\$4,096,360	\$3,074,837	(25)
Non-Alumni (From Bequests)	<u>1,990,720</u>	<u>2,221,455</u>	12
Non-Alumni Total	\$6,087,080	\$5,296,292	(13)
Individual Total	\$16,656,123	\$12,263,931	(26) <sup>A</sup>
Corporations/Corporate Foundations	\$8,748,061	\$9,919,288	13 <sup>B</sup>
Private Foundations	\$1,975,761	\$3,148,711	59 <sup>C</sup>
Associations and Other Organizations	<u>\$1,748,450</u>	<u>\$1,310,038</u>	(25) <sup>D</sup>
Total	\$29,128,395	\$26,641,968	(9)

NOTES

- A Individual giving is down 26% largely due to the fact that gifts of \$10,000 or more are down (125 gifts for \$12.7 million last year; 123 gifts for \$7.2 million this year).
- B Corporate giving is up 13%. Last year there were 154 corporate gifts providing private support of \$10,000 or more (\$6.5 million). This year private support at the \$10,000 level is \$7.8 million (185 gifts).
- C Foundation giving at the \$10,000 or more level is up for the first four months of the fiscal year (\$2.9 million from 49 gifts this year; \$1.6 million from 44 gifts last year).
- D Gifts from associations and other organizations are down 25% since giving at the \$10,000 or more level is \$396,042 less than last year for the same period.

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**TOTAL UNIVERSITY PRIVATE SUPPORT (contd)**

July-October  
1999 Compared to 2000

GIFT RECEIPTS BY PURPOSE

	Dollars July through October		
	<u>1999</u>	<u>2000</u>	<u>% Change</u>
Gift Receipts to Current Use and Endowment Funds:			
Buildings/Equipment	\$3,665,851	\$2,931,710	(20)
Faculty Support	\$5,266,333	\$3,013,697	(43)
Program Support	\$12,999,415	\$14,346,149	10
Student Financial Aid	\$4,723,033	\$3,512,211	(26)
Annual Funds-Colleges/Departments	\$2,209,490	\$2,662,440	21
Annual Funds-University	<u>\$264,273</u>	<u>\$175,761</u>	(33)
Total	\$ 29,128,395	\$26,641,968	(9)

GIFT ADDITIONS TO ENDOWMENT

Dollars  
July through October

<u>1999</u>	<u>2000</u>	<u>% Change</u>
\$13,569,271	\$6,693,489	(51)

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY DEVELOPMENT FUND**

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Endowed Fund</u>			
The Genevieve S. Doan Endowment Fund (Support for programs to enhance and improve leadership skills – College of Food, Agricultural, and Environmental Sciences; provided by gifts from Mrs. Genevieve Shaw Doan and the Doan Family)		\$33,568.25	\$33,568.25

**THE OHIO STATE UNIVERSITY FOUNDATION**

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Endowed Funds</u>			
The Will C. Hawk College of Food, Agricultural, and Environmental Sciences Endowment Fund (Support for educational programming in the College of Food, Agricultural, and Environmental Sciences; provided by gifts from Fred H. and Mary Jo Bryan in memory of Mary Jo's father, Will C. Hawk)		\$773,627.05	\$773,627.05
The Will C. Hawk Memorial Scholarship Fund (Scholarships - College of Food, Agricultural, and Environmental Sciences; provided by gifts from Fred H. and Mary Jo Bryan in memory of Mary Jo's father, Will C. Hawk)		\$773,627.05	\$773,627.05
The Dimon R. McFerson/Nationwide Foundation Innovation Fund (Support for innovative programs, projects and activities – Fisher College of Business; provided by a gift from The Nationwide Foundation)		\$200,000.00	\$200,000.00
The Raul A. Hernandez, Jr., M.D., Athletic Scholarship Fund (Scholarships – Department of Athletics; provided by gifts from Dr. Raul and Constance Hernandez and their children)		\$100,000.00	\$100,000.00
The Ralph M. and Annabel Miller Endowed Scholarship Fund in Optometry (Scholarships – College of Optometry; provided by a gift from Dr. and Mrs. Ralph Miller)		\$46,813.75	\$46,813.76



**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Ann Neu Tyler Endowment Fund for Breast Cancer Research (Support for breast cancer research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute; provided by a gift from Ann Neu Tyler)		\$40,000.00	\$40,000.00
The Walter L. Eppley Agricultural Excellence Fund (Program support for the College of Food, Agricultural, and Environmental Sciences; provided by gifts from the estate of Walter L. Eppley)		\$36,500.00	\$36,500.00
Tiney and Helena McComb Family 4-H Endowment Fund (Support for Ohio 4-H Youth Development Program; provided by a gift from Tiney and Helena McComb)		\$27,809.00	\$27,809.00
Secrest Arboretum Volunteers LTD Endowment Fund (Support for the Secrest Arboretum at the Ohio Agricultural Research and Development Center; provided by a gift from the Secrest Arboretum Volunteers and friends of the Secrest Arboretum)		\$25,325.00	\$25,325.00
The Larry G. Marston Scholarship Fund (Scholarships – Fisher College of Business; provided by gifts from Geraldine D. Marston in memory of her husband, Larry G. Marston)		\$25,000.00	\$25,000.00
Total		\$2,082,270.10	\$2,082,270.10

**THE OHIO STATE UNIVERSITY DEVELOPMENT FUND**

Establishment of Named Endowed Fund

The Genevieve S. Doan Endowment Fund

The Genevieve S. Doan Endowment Fund was established December 1, 2000, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from Mrs. Genevieve Shaw Doan, a 1928 graduate of The Ohio State University's College of Human Ecology, and the Doan family.

Genevieve Doan has had a distinguished career as a home economist, a homemaker, and an assistant to one of the nation's outstanding agricultural leaders - her husband, Maurice Doan, a former USDA official and leader in the navy bean industry.

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)**

Establishment of Named Endowed Fund (contd)

**The Genevieve S. Doan Endowment Fund (contd)**

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income will be used for programs to enhance and improve the leadership skills and abilities of men and women involved in farming, agribusiness, and other related food professions. The intent of this leadership program is to combine training, travel and instruction in these areas: (1) leadership skills; (2) agricultural and economic trends; (3) international trade and relations; (4) interpersonal relations and communication skills; (5) local, state, and national political processes; (6) development and welfare of local communities and neighborhoods; and (7) cultural awareness. Any unused income at the end of a fiscal year shall be reinvested into the principal account.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the dean of the College of Food, Agricultural, and Environmental Sciences in order to carry out the desire of the donor, with preference to the economic and leadership programs of the college.

\$33,568.25

**THE OHIO STATE UNIVERSITY FOUNDATION**

Establishment of Named Endowed Funds

**The Will C. Hauk College of Food, Agricultural, and  
Environmental Sciences Endowment Fund**

The Will C. Hauk College of Food, Agricultural, and Environmental Sciences Endowment Fund was established December 1, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts to the Foundation from Fred H. and Mary Jo Bryan, of South Charleston, Ohio, in memory of Mary Jo's father, Will C. Hauk (1901).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support educational programming in the College of Food, Agricultural, and Environmental Sciences as designated by the vice president for Agricultural Administration. Uses shall include, but not be exclusively for: student scholarships, laboratory equipment, visiting lecturers, recognition programs, college meetings and promotions, college publications, and student recruitment.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the vice president for Agricultural Administration, or their successor in order to carry out the desire of the donors.

\$773,627.05

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

Establishment of Named Endowed Funds (contd)

**The Will C. Hauk Memorial Scholarship Fund**

The Will C. Hauk Memorial Scholarship Fund was established December 1, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts to the Foundation from Fred H. and Mary Jo Bryan of South Charleston, Ohio, in memory of Mary Jo's father, Will C. Hauk (1901).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for scholarships for students enrolled in the College of Food, Agricultural, and Environmental Sciences at The Ohio State University. Annual selection shall be made by the college scholarship selection committee in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the vice president for Agricultural Administration, or their successor, in order to carry out the desire of the donors.

\$773,627.05

**The Dimon R. McFerson/Nationwide Foundation Innovation Fund**

The Dimon R. McFerson/Nationwide Foundation Innovation Fund was established December 1, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the Nationwide Foundation.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used, under the direction of the dean of the Fisher College of Business, to promote innovative programs, projects and activities in executive education and corporate interaction at The Max M. Fisher College of Business.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the dean of the Fisher College of Business, in consultation with Nationwide in order to carry out the desire of the donor.

\$200,000.00

**The Raul A. Hernandez, Jr., M.D., Athletic Scholarship Fund**

The Raul A. Hernandez, Jr., M.D., Athletic Scholarship Fund was established December 1, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Dr. Raul and Constance Hernandez and their children, Nashport, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

Establishment of Named Endowed Funds (contd)

**The Raul A. Hernandez, Jr., M.D., Athletic Scholarship Fund (contd)**

The annual income shall be used to supplement the grant-in-aid scholarship costs of a student who is a varsity football or baseball athlete pursuing an undergraduate degree at The Ohio State University. The first preference for the recipient of this award is a student-athlete from Mahoning, Stark, or Muskingum Counties. If a candidate cannot be found who meets the qualifications ascribed above, then the scholarship may be awarded to a student athlete who is pursuing studies in premed, nursing or one of the allied health professions. Recipients shall be selected by the director of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Athletics in order to carry out the desire of the donors.

\$100,000.00

**The Ralph M. and Annabel Miller Endowed Scholarship Fund in Optometry**

The Ralph M. and Annabel Miller Endowed Scholarship Fund in Optometry was established December 1, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Dr. and Mrs. Ralph Miller of Manitou Springs, Colorado. Dr. Miller graduated from the OSU College of Optometry in 1951 and practiced in the Sugarcreek, Ohio, area for 36 years from 1951 to 1987. Dr. and Mrs. Miller desire to help young optometry students stay in school and help to lower the level of student indebtedness in optometry through this generous endowment.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income from this endowed gift shall provide a scholarship to one or more third- or fourth-year optometry students each year based on need and/or merit. Recipients will be selected by the dean and the College's Admissions and Scholarship Advisory Committee, which is composed of faculty members, our academic counselors, and financial aid officer, in consultation with the University Committee on Student Financial Aid. The Millers will receive annual updates on their fund and will be sent biographical information on each Miller Scholar chosen.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Optometry and Dr. Miller or their children in order to carry out the desire of the donors.

\$46,813.76

**The Ann Neu Tyler Endowment Fund for Breast Cancer Research**

The Ann Neu Tyler Endowment Fund for Breast Cancer Research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established December 1, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Ann Neu Tyler of Hilliard, Ohio.

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

Establishment of Named Endowed Funds (contd)

**The Ann Neu Tyler Endowment Fund for Breast Cancer Research (contd)**

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support research in the field of breast cancer at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$40,000.00

**The Walter L. Eppley Agricultural Excellence Fund**

The Walter L. Eppley Agricultural Excellence Fund was established December 1, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the estate of Walter L. Eppley (B.S.Agr.E. 1948) of Cincinnati, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support programs identified by the vice president for Agricultural Administration as current and critical priorities in the College of Food, Agricultural, and Environmental Sciences. Uses of the fund shall include, but not be limited to: support of positive, creative work environment for students, faculty and staff, "outreach" to our external audiences, encouragement and promotion of student organizations, scholarships, professional development, college promotion and advancement, and other emerging college priorities. The use of the annual income shall be directed by the vice president for Agricultural Administration or their designee.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the vice president for Agricultural Administration, or their successor, in order to carry out the desire of the donor.

\$36,500.00

**Tiney and Helena McComb Family 4-H Endowment Fund**

The Tiney and Helena McComb Family 4-H Endowment Fund was established December 1, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Tiney and Helena McComb.

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

Establishment of Named Endowed Funds (contd)

**Tiney and Helena McComb Family 4-H Endowment Fund (contd)**

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income from this fund shall be used to improve the quantity and quality of the Ohio 4-H Youth Development Program in a similar manner as the Ohio 4-H Foundation Fund. This support may include but is not limited to funding 4-H program expansion, volunteer development, ongoing 4-H programs, and promoting 4-H positive youth development.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board with preference being given to the recommendations from the appropriate administrative official of The Ohio State University who is the director for the Ohio State University Extension in consultation with the committee representing the local 4-H donors.

\$27,809.00

**Secrest Arboretum Volunteers LTD Endowment Fund**

The Secrest Arboretum Volunteers LTD Endowment was established December 1, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the Secrest Arboretum Volunteers and friends of the Secrest Arboretum at the Ohio Agricultural Research and Development Center in Wooster, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the Secrest Arboretum, and the designation of the annual income shall be directed by the director of the Ohio Agricultural Research and Development Center in consultation with the curator of Secrest Arboretum and the Secrest Arboretum Volunteers.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$25,325.00

**The Larry G. Marston Scholarship Fund**

The Larry G. Marston Scholarship Fund was established December 1, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Geraldine D. Marston of Newark, Ohio, in memory of her husband, Larry G. Marston (B.S.Bus.Adm. 1960).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

Establishment of Named Endowed Funds (contd)

**The Larry G. Marston Scholarship Fund (contd)**

The annual income shall support a scholarship in the Fisher College of Business with preference for a student majoring in finance. Scholarship recipients will be selected in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the Fisher College of Business in order to carry out the desire of the donor.

\$25,000.00

Upon motion of Mr. Brennan, seconded by Mr. Sofia, the Board of Trustees adopted the foregoing resolutions with five affirmative votes cast by Messrs. Brennan, Sofia, and Slane, Ms. Longaberger, and Ms. Hendricks, and three abstentions cast by Messrs. Skestos, Patterson, and McFerson.

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President Kirwan:

We are also recommending:

**AUTHORIZATION, ISSUANCE AND SALE OF  
GENERAL RECEIPTS COMMERCIAL PAPER NOTES  
SERIES C OF THE OHIO STATE UNIVERSITY**

Resolution No. 2001-77

Synopsis: Providing for the authorization, issuance and sale of General Receipts Commercial Paper Notes, Series C (the "Series C Notes"), in a principal amount determined as provided herein, for the purpose of (i) (a) paying a portion of the costs of an addition to and renovations at Larkins Hall, (b) paying a portion of the costs of the acquisition, construction and installation of graduate and professional student housing, (c) paying a portion of the costs of the construction of a new residence hotel on the grounds of the Fisher College of Business, (d) renovation and improvement to various residence hall facilities, including (I) North Commons dining area upgrade, (II) Raney Commons remodeling, (III) renovation of the ASH Scholarship House, (IV) upgrade of the Ohio Union Conference Theater, (V) installation of card readers at residence hall entrances, (VI) renovation of Baker Hall, (VII) renovation of Mack/Canfield Hall, (VIII) South High-rise closet replacement, (IX) Jones Tower elevator modernization and HVAC unit replacement, (X) Scott House HVAC replacement, (XI) Lincoln Tower elevator modernization, (XII) Morrison Tower chiller replacement, and (XIII) Food Science and Technology Building (e) completion of Fred Taylor Drive widening and additional adjacent parking lot, (f) paying a portion of the costs of construction and installation of a Success Center, (g) paying a portion of the costs of the renovation and improvement of Ohio Stadium (h) renovation of approximately 12,800 square feet of warehouse space at the Technology Innovation Center (i) paying a portion of the costs of renovation and remodeling of the Ohio Union (j) construction a 6,000 square foot addition to 2560 Kenny Road and (k) paying a portion of the costs of the renovation of Woody Hayes Drive Bridge and installation of a high pressure steam line, (ii) currently refunding certain outstanding Obligations and (iii) paying costs and expenses associated with the issuance of the Series C Notes, authorizing a Third Supplement to Amended and Restated Trust Indenture to secure the payment of Debt Service Charges on the Series C Notes.

**AUTHORIZATION, ISSUANCE AND SALE OF  
GENERAL RECEIPTS COMMERCIAL PAPER NOTES  
SERIES C OF THE OHIO STATE UNIVERSITY (contd)**

WHEREAS pursuant to Sections 3345.11 and 3345.12 of the Ohio Revised Code (the "Act"), as enacted under authority of the Constitution of Ohio, particularly Section 2i of Article VIII thereof, The Ohio State University (the "University"), a state university of the State of Ohio (the "State"), created and existing under Chapter 3335 of the Ohio Revised Code, is authorized and empowered, among other things, (a) to issue, as provided herein, Obligations (as defined in the Indenture (as hereinafter defined)) of the University to pay the costs of certain capital facilities defined as "auxiliary facilities" in the Act (and herein called "University Facilities") and to refund, fund or retire bonds and other obligations previously issued for such purpose; (b) to pledge to the payment of the Obligations all or a specified part of the gross amount of the General Receipts of the University (as defined in the Indenture) in priority to all other expenses, claims or payments; (c) to covenant, as herein provided, that the University will make, fix, adjust and collect the fees, rates, rentals, charges and other items comprising General Receipts to produce General Receipts sufficient at all times to meet Debt Service Charges (as defined in the Indenture) on the Obligations, to establish and to maintain the required reserves and meet other requirements herein provided; and (d) to provide for a trust indenture and make further provisions for securing the payment of the Debt Service Charges; and

WHEREAS, the University, by resolution adopted by its Board of Trustees (the "Board") on November 1, 1985 (the "Series 1985 A Bond Resolution") and by a Trust Indenture dated as of November 15, 1985 (the "Original Indenture") between the University and The Huntington National Bank, as Trustee (the "Trustee"), provided for the issuance from time to time of General Receipts Bonds of the University; and

WHEREAS, the University, pursuant to the terms of a resolution adopted by the Board on October 1, 1999 (the "1999 General Bond Resolution") amended and restated the Original Indenture, as supplemented in accordance with the terms thereof by entering into the Amended and Restated Trust Indenture dated as of December 1, 1999 (the "Amended and Restated Trust Indenture") with the Trustee; and

WHEREAS, the Amended and Restated Indenture provides that Obligations, as defined therein, may be issued pursuant to the terms thereof, with each such issue to be authorized by a Series Resolution, as defined therein, adopted by the Board and secured pursuant to the terms of a Supplemental Indenture, as defined in the Amended and Restated Indenture with respect to such issue (the Amended and Restated Indenture and all Supplemental Indentures thereto being collectively referred to herein as the "Indenture"); and

WHEREAS, the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the resolution adopted by the Board on December 3, 1999 (the "Series 1999 A General Receipts Bond Resolution"), the Indenture and the First Supplement to Amended and Restated Trust Indenture, dated as of December 1, 1999 (the "First Supplement") between the University and the Trustee, \$83,585,000 The Ohio State University General Receipts Bonds, Series 1999 A (the "Series 1999 A Bonds") of which authorized amount \$83,585,000 is issued and outstanding as of the date hereof; and

WHEREAS, the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the resolution adopted by the Board on December 3, 1999 (the "Series 1999 A General Receipts Bond Resolution"), the Indenture and the Second Supplement to Amended and Restated Trust Indenture, dated as of December 1, 1999 (the "Second Supplement") between the University and the Trustee, \$108,000,000 The Ohio State University Variable Rate Demand General Receipts Bonds, Series 1999 B (the "Series 1999 B Bonds") of which authorized amount \$108,000,000 is issued and outstanding as of the date hereof; and

WHEREAS the Board has determined that it is in the best interests of the University to authorize the issuance of The Ohio State University General Receipts Commercial Paper Notes, Series C (the "Series C Notes") in the principal amount not to exceed \$116,000,000 for the purpose of



**AUTHORIZATION, ISSUANCE AND SALE OF  
GENERAL RECEIPTS COMMERCIAL PAPER NOTES  
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paying or reimbursing a portion of the costs of the Series C Project, currently refunding certain outstanding Obligations and paying costs and expenses associated with the issuance of the Series C Notes; and

WHEREAS the University desires to make provisions for the issuance of the Series C Notes and for the payment of the Debt Service Charges thereon and the securing thereof by this Resolution and a Third Supplement to Amended and Restated Trust Indenture (the "Third Supplement") herein authorized.

NOW THEREFORE

BE IT RESOLVED BY THE BOARD OF TRUSTEES OF THE OHIO STATE UNIVERSITY as follows:

Definitions and Interpretations. All words and terms defined in the Original Indenture and all interpretations therein provided shall have in this Resolution the same meanings, respectively, and be subject to the same interpretations as therein provided or used, unless the context or use clearly indicates another or different meaning or intent. Terms not appearing in the Original Indenture but used herein and not previously defined herein are defined as follows:

"Book Entry System" means a system under which (i) a physical Series C Note certificate in fully registered form is issued for each maturity of Series C Notes only to a Depository or its nominee as registered owner, with the Series C Notes held by and immobilized in the custody of the Depository; and (ii) a book entry record maintained by and the responsibility of the Depository and not maintained by or the responsibility of the University or the Trustee, is the record that identifies, and records the transfer of the interests of, the owners of book entry interests in such Series C Notes.

"Costs of Issuance Account" means the Costs of Issuance Account in the Note Program Fund created pursuant to Section 5 hereof.

"Dealer" or "Dealers" means Merrill Lynch & Co., or any successor or assigns permitted under the Dealer Agreement, and any other dealer or dealers for the Series C Notes which is appointed by the University and has entered into a Dealer Agreement.

"Dealer Agreement" or "Dealer Agreements" means respectively, the Dealer Agreement, dated as of December 1, 2000, by and between the University and Merrill Lynch & Co., and any and all modifications, alterations, amendments and supplements thereto, and such agreement and any other Dealer Agreement entered into by the University and a Dealer or Dealers with respect to the Series C Notes.

"Depository" means any securities depository that is a clearing agency under federal law operating and maintaining, with its participants or otherwise, a Book Entry System to record ownership of book entry interests in obligations, and includes and means initially as to the Series C Notes, The Depository Trust Company (a limited purpose trust company), New York, New York.

"Note Proceedings" means the 1999 General Bond Resolution, the Amended and Restated Indenture, any applicable Series Resolution, any applicable Supplemental Indenture and any other resolutions and agreements and amendments of and supplements to the foregoing, or any combination thereof, authorizing or providing for the terms and conditions applicable to, or providing for the security or sale of Notes, and the terms contained in such Notes.

**AUTHORIZATION, ISSUANCE AND SALE OF  
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"Note Program Fund" means the Note Program Fund held by the University and created in Section 5 hereof to be funded with the proceeds of the Series C Notes.

"Offering Memorandum" means, as to the Series C Notes, the Offering Memorandum relating to the original issuance of the Series C Notes, authorized pursuant to Section 3 hereof.

"Project Account" means the Project Account in the Note Program Fund created pursuant to Section 5 hereof.

"Series C Commercial Paper Resolution" or "this Resolution" as used herein means this Resolution, as the same may be amended from time to time.

"Series C Project" means, collectively, paying or reimbursing a portion of the costs of improvements, including: (i) (a) paying a portion of the costs of an addition to and renovations at Larkins Hall, (b) paying a portion of the costs of the acquisition, construction and installation of graduate and professional student housing, (c) paying a portion of the costs of the construction of a new residence hotel on the grounds of the Fisher College of Business, (d) renovation and improvement to various residence hall facilities, including (I) North Commons dining area upgrade, (II) Raney Commons remodeling, (III) renovation of the ASH Scholarship House, (IV) upgrade of the Ohio Union Conference Theater, (V) installation of card readers at residence hall entrances, (VI) renovation of Baker Hall, (VII) renovation of Mack/Canfield Hall, (VIII) South High-rise closet replacement, (IX) Jones Tower elevator modernization and HVAC unit replacement, (X) Scott House HVAC replacement, (XI) Lincoln Tower elevator modernization and (XII) Morrison Tower chiller replacement, (e) completion of Fred Taylor Drive widening and additional adjacent parking lot, (f) paying a portion of the costs of construction and installation of a Success Center, (g) paying a portion of the costs of the renovation and improvement of Ohio Stadium (h) renovation of approximately 12,800 square feet of warehouse space at the Technology Innovation Center (i) paying a portion of the costs of renovation and remodeling of the Ohio Union (j) construction a 6,000 square foot addition to 2560 Kenny Road and (k) paying a portion of the costs of the renovation of Woody Hayes Drive Bridge and installation of a high pressure steam line reimbursement, (ii) currently refunding certain outstanding Obligations and (iii) paying costs and expenses associated with the issuance of the Series C Notes, authorizing the Third Supplement to secure the payment of Debt Service Charges on the Series C Notes.

"Trustee" means The Huntington National Bank, and any successor Trustee as determined or designated under or pursuant to the Original Indenture.

Any reference herein to the University, the Board, or to any members or officers thereof or to other public boards, commissions, departments, institutions, agencies, bodies, entities or officers, shall include those which succeed to their functions, duties or responsibilities pursuant to or by operation of law or who are lawfully performing their functions. Any reference to a section or provision of the Ohio Revised Code or to the laws of Ohio shall include such section or provision and such laws as from time to time amended, modified, revised, supplemented, or superseded, provided that no such amendment, modification, revision, supplementation, or supersession shall alter the obligation to pay the Debt Service Charges in the amount and manner, at the times, and from the sources provided in the 1999 General Bond Resolution, this Resolution, the Amended and Restated Trust Indenture and the Third Supplement, except as otherwise herein permitted.

Unless the context shall otherwise indicate, words importing the singular number shall include the plural number, and vice versa, and the terms "hereof," "herein," "hereby," "hereto," "hereunder," and similar terms, mean this Resolution.

Authorization; Terms; Pledge; and Covenant.

**AUTHORIZATION, ISSUANCE AND SALE OF  
GENERAL RECEIPTS COMMERCIAL PAPER NOTES  
SERIES C OF THE OHIO STATE UNIVERSITY (contd)**

Authorization. The Bonds, to be designated and known as The Ohio State University General Receipts Commercial Paper Notes, Series C, shall be issued pursuant to and as authorized by the Act, Section 2i of Article VIII of the Ohio Constitution, the 1999 General Bond Resolution, this Resolution, the Amended and Restated Trust Indenture and the Third Supplement for the purposes of financing a portion of the costs of the University Facilities comprising the Series C Project, currently refunding certain outstanding Obligations and paying costs and expenses incidental to the issuance of the Series C Notes. The Series C Notes shall be issued from time to time as provided in the Third Supplement to finance and refinance the cost of the Series C Project. Proceeds of the Series C Notes may also be used to pay maturing Series C Notes.

Form and Numbering. The Series C Notes shall be issued only as fully registered Bonds. The Series C Notes shall be numbered as determined by the Trustee.

Denominations and Dates. The Notes shall be dated the date of their respective authentication and issuance; shall be issued in registered form, registered to bearer (subject to Section 2.06 of the Third Supplement) unless otherwise designated by a Dealer; and shall be issued in denominations of \$100,000 and in integral multiples of \$1,000 in excess thereof.

Principal Amount. The Series C Notes shall be issued in the principal amount specified in the Third Supplement, which amount shall not exceed \$116,000,000.

Delivery and Execution. The Treasurer is hereby authorized to make the necessary arrangements with the Dealer to establish the date, location, procedures and conditions for the delivery of the Series C Notes to the Dealer and to take all steps as necessary to effect due execution, authentication and delivery of the Series C Notes to the Dealer or to the persons whom the Dealer directs under the terms of this Resolution. The Series C Notes shall be signed by the the Treasurer in his official capacity (provided that such signature may be a facsimile) and may bear the corporate seal of the University or a facsimile thereof.

Interest. The Series C Notes shall bear interest from their respective dates, payable at maturity, at a rate not to exceed 12% per annum (calculated on the basis of a year consisting of 365/366 days and actual number of days elapsed).

Maturities. The Series C Notes (i) shall mature not more than 270 days after their respective dates, but in no event later than June 15, 2002, and (ii) shall mature on a Business Day. The stated interest rate, maturity date and other terms of each Note, so long as not inconsistent with the terms of the Third Supplement, shall be as set forth in the Instructions delivered to the Trustee pursuant to Section 2.07 of the Third Supplement.

Redemption. The Series C Notes shall not be subject to redemption prior to their stated maturities.

Appointment of Dealer. The Board hereby appoints Merrill Lynch & Co. as the initial Dealer for the Series C Notes.

Security. As provided in the Indenture, there is hereby pledged to the security of the Series C Notes, (i) the gross amount of General Receipts of the University (subject to the provisions for the partial release of a pledge of General Receipts contained in Section 4.10 of the Amended and Restated Trust Indenture) and (ii) the moneys contained in the Special Funds. Anything else to the contrary in the Indenture, the 1999 General Bond Resolution, the Third Supplement or this Resolution notwithstanding, the Series C Notes shall not have access to, any claim upon or be secured by, the Bond Reserve Fund or the Note Program Fund. This pledge of General Receipts shall be on parity with expenses, claims and payments relating to other Parity Obligations (as defined in the Original Indenture) and in priority to all other expenses, claims and

**AUTHORIZATION, ISSUANCE AND SALE OF  
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payments of the University. In accordance with the Act, all the General Receipts or portions thereof are immediately subject to the lien of the pledge upon receipt thereof by the University.

For the further security of the Series C Notes, and any Obligations, the University hereby covenants with the bondholders and the Trustee that so long as any Series C Notes or Obligations are outstanding, the University shall fix, make, adjust and collect fees, rates, rentals, charges, and other items of General Receipts, as will produce at all times General Receipts sufficient (i) to pay Debt Service Charges when due, (ii) together with other moneys lawfully available therefor, to pay all costs and expenses required to be paid under the Note Proceedings, and (iii) together with other moneys lawfully available therefor, to pay all other costs and expenses necessary for the proper maintenance and successful and continuous operation of the University.

Payment, Places of Payment, and Paying Agents . The principal of and interest on the Series C Notes shall be paid in federal or other immediately available funds in such coin or currency of the United States of America as, at the respective times of payment, is legal tender for the payment of public and private debts. The principal of and interest on the Series C Notes shall be payable at the principal office of the Trustee on or before the close of business on any Business Day upon which such Series C Notes have become due and payable, provided that such Series C Notes are presented and surrendered on a timely basis. Upon presentation of such a Series C Note to the Trustee no later than 3:00 p.m. (Columbus, Ohio time) on a Business Day, payment for such Series C Note shall be made by the Trustee in immediately available funds on such Business Day. If a Series C Note is presented for payment after 3:00 p.m. (Columbus, Ohio time) on a Business Day, payment therefor shall be made by the Trustee on the next succeeding Business Day, without the accrual of additional interest thereon.

Book Entry. Subject to the provisions of the immediately following paragraph, the Series C Notes shall be issued only to a Depository for holding in a Book Entry System in accordance with the provisions of Section 2.06 of the Third Supplement. Those Series C Notes shall be registered in the name of the Depository or its nominee, as registered owner, and immobilized in the custody of the Depository; and shall not be transferable or exchangeable, except for transfer to another Depository or to another nominee of a Depository, without further action by the University. Each maturity of the Series C Notes shall be evidenced by a single certificate in the aggregate principal amount of the Series C Notes maturing on such maturity date.

If any Depository determines not to continue to act as a Depository for the Series C Notes for holding in a Book Entry System, the University may attempt to have established a securities depository/Book Entry System relationship with another qualified Depository. If the University does not or is unable to do so, the University, after making provision for notification of the owners of book entry interests by appropriate notice to the then Depository and any other arrangements it deems necessary, shall permit the withdrawal of the Series C Notes from the Depository, and authenticate and deliver the Series C Note certificates, in fully registered form to the assigns of the Depository or its nominee (if such Bond was held by a nominee), all at the cost and expense (including costs of printing or otherwise preparing and delivering replacement Series C Notes) of the Bondholders.

Offering Memorandum. It is determined hereby that the manner of sale and the terms of the Series C Notes, as provided in this Resolution and the Third Supplement, are consistent with all legal requirements and will carry out the public purposes of the Act.

The use and distribution of the Offering Memorandum relating to the Series C Notes substantially in the form now on file with the Board by the Dealer is hereby approved and authorized. The Board has not confirmed, and assumes no responsibility for, the accuracy, sufficiency or fairness of any statements in the Offering Memorandum contained in Appendix B thereto.

**AUTHORIZATION, ISSUANCE AND SALE OF  
GENERAL RECEIPTS COMMERCIAL PAPER NOTES  
SERIES C OF THE OHIO STATE UNIVERSITY (contd)**

Allocation of Proceeds. The proceeds from the sale of the Series C Notes, including any accrued interest, shall be allocated, deposited and applied as follows:

- (i) To the Bond Fund, accrued interest, if any, received on the sale of the Series C Notes; and
- (ii) To the Note Program Fund, created pursuant to Section 5 of this Resolution, the entire remaining amount of the proceeds of the Series C Notes, to be applied to the purposes of that Fund, including transfers from that Fund authorized by the Act and to pay costs and expenses associated with the issuance of the Series C Notes.

Note Program Fund. There is hereby created by the a fund to be maintained in the custody of the Treasurer and designated the "Note Program Fund." The Note Program Fund shall be funded from the proceeds of the sale of the Series C Notes. Such proceeds shall be used for the payment or reimbursement of a portion of the costs and expenses relating to the Series C Project and costs and expenses associated with the issuance of the Series C Notes. The Note Program Fund shall not constitute a Special Fund and shall not be pledged to the payment of Debt Service Charges.

Moneys held in the Note Program Fund, including all investment earnings thereon, pending disbursement from the Note Program Fund shall be invested in Authorized Investments specified in Section VIII of the Non-Endowment Investments Policy of the University, as the same may be amended from time to time. The University may establish such accounts in the Note Program Fund as are necessary or desirable to carry out the requirements of the Third Supplement.

The Treasurer shall maintain such books and records with respect to disbursements from the Note Program Fund so as to enable the Treasurer to determine the name of any payee of any such disbursement, the date on which such disbursement occurred, the amount of such disbursement and the purpose for which such disbursement was made. Investment earnings on the funds on deposit in the Note Program Fund may, at the discretion of the University, be paid to the Trustee for deposit in the Bond Service Account in the Bond Fund to be used to pay Debt Service Charges on the Series C Notes.

There shall be established in the Note Program Fund the following accounts:

- (i) The Costs of Issuance Account, from which shall be paid the costs of issuance of the Series C Notes;
- (ii) The Redemption Account, from which shall be disbursed such portion of the proceeds as shall be necessary to retire such portion of the General Receipts Commercial Paper Notes, Series B, authorized by the Board on June 3, 1998; and
- (iii) The Project Account, from which shall be disbursed such portion of the proceeds of the Series C Notes necessary to pay costs of the Series C Project.

The Treasurer shall designate in writing, either in the Third Supplement or otherwise, the allocation of the proceeds of the Series C Notes to each of the foregoing accounts.

Upon the determination by the Treasurer that the costs incurred in connection with any item listed in Section 1 hereof in the definition of Series C Project (each a "Component") to be paid from the Note Program Fund have been paid in full or provision for such payment has been made, the University may use any remaining moneys on deposit in the Note Program Fund with respect to such Component to fund the costs of other Components or to fund the costs of additional projects constituting University Facilities (each, an "Additional Project"). Moneys

**AUTHORIZATION, ISSUANCE AND SALE OF  
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remaining on deposit in the Note Program Fund after the completion of the Series C Project and any Additional Projects shall be used by the University in any manner which, in the opinion of Bond Counsel, shall be permissible under the Act and the Code.

Application of Bond Proceeds. The University covenants that the use of the proceeds of the Series C Notes will be restricted in such manner and to such extent, if any, as may be necessary, after taking into account reasonable expectations at the time of delivery of and payment for the Series C Notes, so that the Series C Notes will not constitute "arbitrage bonds" under Sections 103(c) and 148 of the Code. The Treasurer of the University, or any other officer having responsibility with respect to the issuance of the Series C Notes, alone or in conjunction with any other officer or employee of or consultant to the University, will give an appropriate certificate of the University for inclusion in the transcript of proceedings for the Series C Notes setting forth the reasonable expectations of the University regarding the amount and use of those proceeds and the facts and estimates on which they are based, all as of the date of original delivery of and payment for the Series C Notes.

The University further covenants that it will take all actions required to maintain the exclusion from gross income for purposes of federal income taxation of interest on the Series C Notes and will not take or permit to be taken, any actions which would adversely affect such exclusion under the provisions of the Code that apply to the Series C Notes; and the Chairman or the Treasurer and other appropriate officers are hereby authorized to take such actions and give such certifications as may be appropriate to assure such exclusion from gross income of interest on the Series C Notes.

Third Supplement and Other Documents. In order to better secure the payment of the Debt Service Charges as the same shall become due and payable, the Chairman, the President of the University, the Secretary of the Board and the Treasurer, or any one or more of them, are authorized and directed to execute, acknowledge and deliver to the Trustee and the Dealer, in the name and on behalf of the University and pursuant to the Indenture, the Third Supplement and the Dealer Agreement, respectively, each in substantially the form submitted to this Board, or to such officers on behalf of this Board and the University, and such documents are hereby approved, with such changes therein as are not substantially adverse to the University and as may be permitted by the Act and approved by the officers executing the same on behalf of the University. The Third Supplement shall also include the form of the Series C Notes (the "Form of Note"), attached thereto as Exhibit A. The execution of such documents by any of the officers shall conclusively evidence that the officers and the Board approve such changes and that such changes are not substantially adverse to the University.

Each of such officers is further authorized to execute and deliver on behalf of the University such other certificates, documents and instruments as are necessary in connection with the acts authorized by this Resolution.

Open Meeting Determination. It is found and determined that all formal actions of the Board concerning and relating to the adoption of this Resolution were adopted in an open meeting of the Board, and that all deliberations of the Board and of any of its committees that resulted in such formal action were in meetings open to the public, in compliance with all legal requirements including Section 121.22 of the Ohio Revised Code.

Effective Date. This Resolution shall take effect and be in force immediately upon its adoption.

December 1, 2000 meeting, Board of Trustees

**AUTHORIZATION, ISSUANCE AND SALE OF  
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SERIES C OF THE OHIO STATE UNIVERSITY (contd)**

Upon motion of Mr. McFerson, seconded by Ms. Hendricks, the Board of Trustees adopted the foregoing resolution with six affirmative votes cast by Messrs. Brennan, Sofia, Slane, and McFerson, Ms. Longaberger, and Ms. Hendricks, and two abstentions cast by Messrs. Skestos and Patterson.

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President Kirwan:

Finally, I would like to recommend:

**TENANT IMPROVEMENTS FOR THE MICROMD LABORATORY  
SCIENCE VILLAGE**

Resolution No. 2001-78

Synopsis: Authorization for tenant improvements for the MicroMD Laboratory in the new Science Village facility is requested.

WHEREAS the University's MicroMD Laboratory supports needed research and development in bio-microelectromechanical systems; and

WHEREAS to provide needed space for the MicroMD Laboratory, the University will lease from the Science and Technology Campus Corporation (SciTech) approximately 20,000 square feet of shell space in the new Science Village building at 1381 Kinnear Road and provide for tenant improvements for the Lab; and

WHEREAS the total estimated project cost for the tenant improvements is \$9,000,000 and the total estimated construction cost is approximately \$7,300,000, with funding provided by the College of Engineering (\$1,500,000), College of Medicine and Public Health (\$1,500,000), Office of Research (\$1,500,000), and the President's Strategic Investment Fund (\$4,500,000), except to the extent that the costs are covered by grants, contracts, and private gifts; and

WHEREAS the tenant improvements will be undertaken by SciTech, with the work to be performed to appropriate University specifications and requirements:

NOW THEREFORE

BE IT RESOLVED, That, subject to the execution of a memorandum of understanding among the offices involved, the President and/or Vice President for Business and Finance be authorized to enter into an agreement with the Science and Technology Campus Corporation for the necessary tenant improvements to support the MicroMD Laboratory at the Science Village Facility at 1381 Kinnear Road at a total project cost not to exceed \$9,000,000.

Upon motion of Mr. Slane, seconded by Mr. Sofia, the Board of Trustees adopted the foregoing resolution with six affirmative votes cast by Messrs. Patterson, Sofia, Slane, and McFerson, Ms. Longaberger, and Ms. Hendricks, and two abstentions cast by Messrs. Skestos and Brennan.

(See Appendix XXIV for map, page 529.)

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## CGS PRESIDENT'S REPORT

Mr. Ronald Meyers: [PowerPoint Presentation]

Good morning. I really appreciate the opportunity to address the Board and everyone else who is here. On behalf of the 10,000 graduate students at Ohio State, I'll give you a very brief update on graduate student outreach and engagement efforts at the University. If I may take a note to very quickly say that, in consultation with my fellow student government presidents, we have been talking quite a bit about the student activity east of High. We were quite upset over the behavior of those students who are engaged in that and we are absolutely committed to working with the administration on finding responses and continuing to work with them on that. So, President Kirwan, I appreciate your comments on that.

In fact, that ties very nicely into the presentation today. We know, historically, that the issue of how to get the land grant mission to be updated and what Ohio State would do with this is something that the University has been engaged with for some time. We also know that from scholarship, from folks like Dr. Boyer whom I believe a fair number of Board members are somewhat familiar with. We've talked about broadening the definition of scholarship. These are things that graduate students look at as the theory and the research that's done and then try to tie that back to what we do.

Of course, as we know from Dr. Moser, outreach and engagement is a huge initiative at OSU and he often talks about a definition of it. So I'll provide this one to you: Outreach and engagement could be called "that aspect of teaching that enables learning beyond the campus walls, research that makes what we discover useful beyond the academic community, and service that directly benefits the public." Many of the things that we do as a university fall into this. In particular, the technology efforts to develop applied technology – many other examples. One of the biggest things that a university can do in order to engage students in this is to do service learning. So, this is one definition of service learning that's provided and in the literature. Of course, one must also, if you're going to increase service learning, look at the best strategies. And the Campus Compact, which Ohio State has been involved with, has identified several of these strategies. So we provide these for you today.

As we know, the revitalization of the University district is extremely important. In light of the events this weekend, these words are just as fresh and important to us today. I remember that speech, Dr. Kirwan – it moved me then, and I was glad I could find it to share it now.

Our vision on outreach and engagement – given this context that we have of research and the importance of this to the University and to the nation – CGS has looked at what's important and we share these with you: broadening graduate application; increasing academic excellence; involving graduate students in outreach and engagement; increasing undergraduate service learning; and revitalizing the neighborhoods east of High. These are all part of the University-wide outreach and engagement effort, and are necessary to achieve the Academic Plan.

Our personal goals for our own organization to challenge ourselves? We want to increase our own student leadership on this. In fact, that is part of what I'm trying to do today, in some small way, is to take time to share what is important. We need to promote outreach and engagement across the University, advocate for sustained and increased University support for this and for our piloted programs of the BuckEyes Watch. We had six additional blocks of students approach us in the last week to form a new BuckEyes Watch. We want to expand this program



**CGS PRESIDENT'S REPORT (contd)**

Mr. Meyers: (contd)

and, of course, be assured continued support for a grant program that universities have set up.

Outreach sometimes is thought to be contrary to academic excellence. Many of us know that this is not true. As an example, I share with you Elizabeth Lentz, a Ph.D., now at the University of Chicago, a prestigious institution, who won a distinguished dissertation award, and she was a CGS secretary. We know that they go directly hand-in-hand. So for graduate students, what we do in outreach and engagement is often research, service, and administrative support – to do these types of things.

What we want to do with graduate research at the moment, in addition to these things, is to target how to increase student safety and to stop these riots. So social capital research is one area – we can see how engaged students are in the neighborhood, how much they believe in the importance of being a responsible member of the neighborhood, and how willing they are to engage in that. That all goes under social capital research. Then you can see the other initiatives that are up there.

I wanted to share real quickly the kinds of research that we have actually done. This profile has been used extensively in the revitalization effort and the key finding I put up there because it's startling to see sometimes. In community development – this was a several-hundred page document that was developed that's been used extensively with extension agents across the state to help them with their research. Through the grants program that students are doing, Weinland Park Revitalization Project plan was developed. You see the existing site location at Eleventh and Fourth Avenues, it's an abandoned city lot. These are the proposals that the students developed with Dale Burch. They've been presented to Campus Partners. It's fine research.

Then we looked at employment in the neighborhood and we see that we had recommendations for that, and for teaching in the neighborhoods. The P-12 initiative is very important, and it's going on in education to improve it in the neighborhoods in many ways. This partnership – it's almost a disservice to cover it in 15 seconds -- is a very large effort. They've developed great recommendations in order to improve education in the University district.

Hundreds of students are involved in these programs, and some of my snapshots show the student involvement. Students are also involved in leadership on these programs; not only to do research, but to help administer the organization of undergraduates in service learning. We can see this is a distinguished award that the University won.

We also do service directly in the community. This is the type of administrative service and leadership that's helping to transform the look of the neighborhood, also through a variety of programs, some of which you heard before last month in the outreach and engagement report.

Finally, I'll conclude with some of our recommendations. We really need a serious commitment to broaden graduate education if we're to achieve the goals that Boyer identified and we're calling for. We need to establish significant administrative and program support for service learning and outreach efforts in the neighborhood, and establish a community research evaluation education service fund for graduate projects. So graduate students who want to do their

**CGS PRESIDENT'S REPORT (contd)**

Mr. Meyers: (contd)

dissertation or their master's work on conditions in the neighborhood, can find the cash in order to do that, and to help the P-12 initiative and the University achieve its goals.

With that, I'll take any questions that you have.

Mr. Filiatraut:

First of all I'd like to say it's always great to hear about students going out into the community and doing great things. But I think, today, having this presentation is very good, simply because you show that there is a connection between revitalizing the neighborhood east of High and the Academic Plan. I think if you could just briefly say something about that. I think that in and of itself shows the breadth of the Plan and its importance in OSU's growth.

Mr. Meyers:

As we know, attracting the best students here is very important to us. And we all know, anecdotally, on occasion, some of those best students don't come here because of the condition east of High. In fact, on rare occasions, faculty decline to attend.

We also know that we can change these neighborhoods through service learning, which is the type of learning in the classroom that helps students not only learn from the book, but learn from practice and to put the two together. So that students, through a great educational model with service learning, can have the chance to improve the neighborhoods and then attract more good students.

Personally, I had the opportunity to do this type of work and have a publication in an international journal that's come out of the work that I can add to my vitae. These are the types of things that will really help me in my academic career and is one of reasons why I'm so excited about it.

Mr. Skestos:

Any more questions of Ron? Thank you very much, Ron.

Mr. Meyers:

Thank you.

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Thereupon the Board adjourned to meet Friday, February 2, 2001, at The Ohio State University Younkin Success Center, Columbus, Ohio.

Attest:

William J. Napier  
Secretary

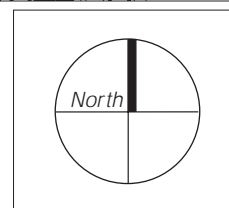
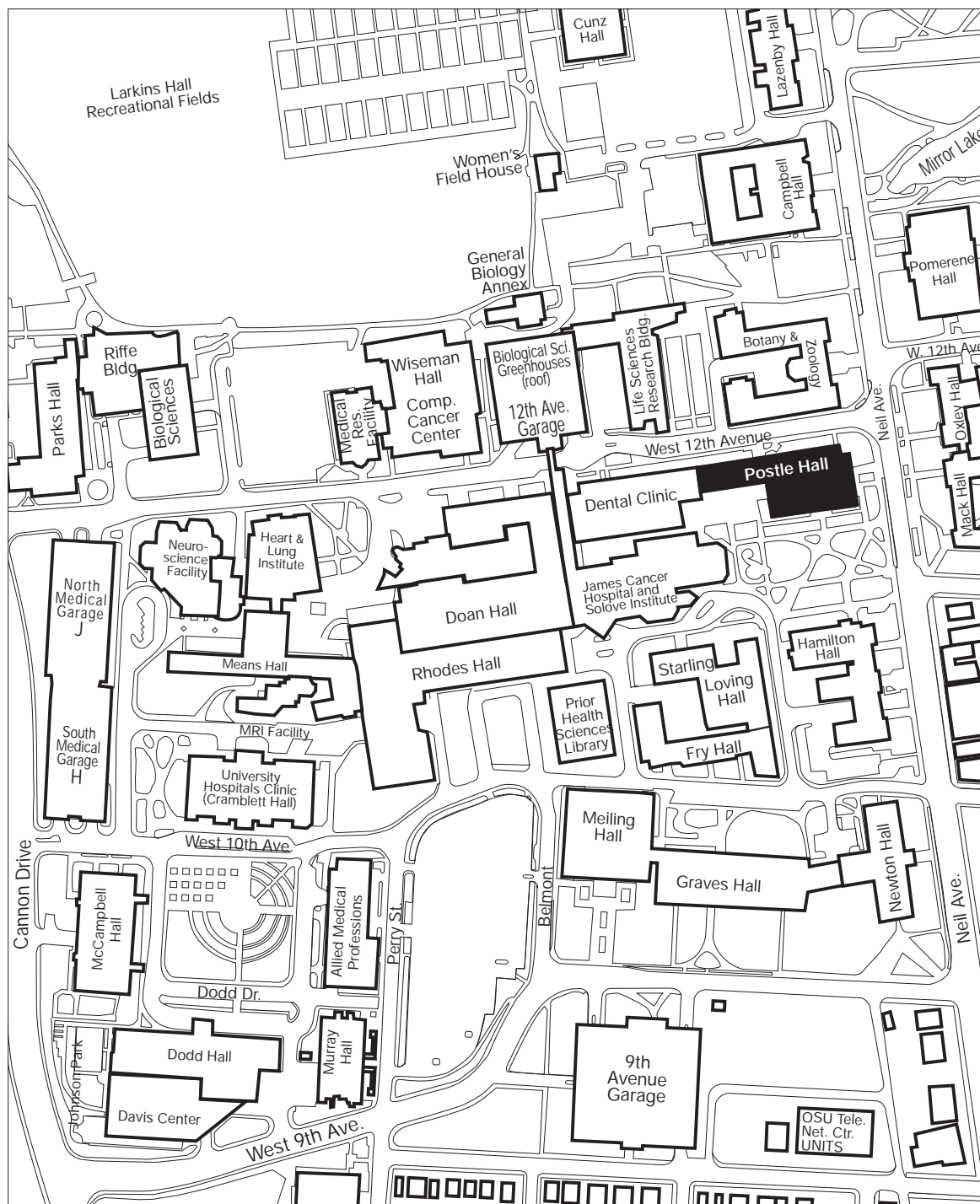
George A. Skestos  
Chairman

Waivers of Competitive Bidding Requirements July-September 2000

<u>Category</u>	<u>Total</u>	<u>Sole Source</u>	<u>Emergency</u>	<u>Sufficient Economic Reason</u>
Hospitals - Professional Health Care Services	\$2,497,342	\$75,000	\$0	\$2,422,342
Hospitals - Equipment and Services	\$4,055,024	\$2,754,284	\$300,000	\$1,000,740
Instructional and Research Equipment and Services	\$883,231	\$352,593	\$0	\$530,638
Administrative Support Equipment and Services	\$9,549,367	\$1,190,611	\$1,983,913	\$6,374,843
<b>TOTAL</b>	<b>\$16,984,964</b>	<b>\$4,372,488</b>	<b>\$2,283,913</b>	<b>\$10,328,563</b>

(APPENDIX XX)

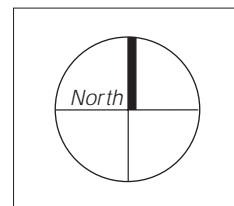
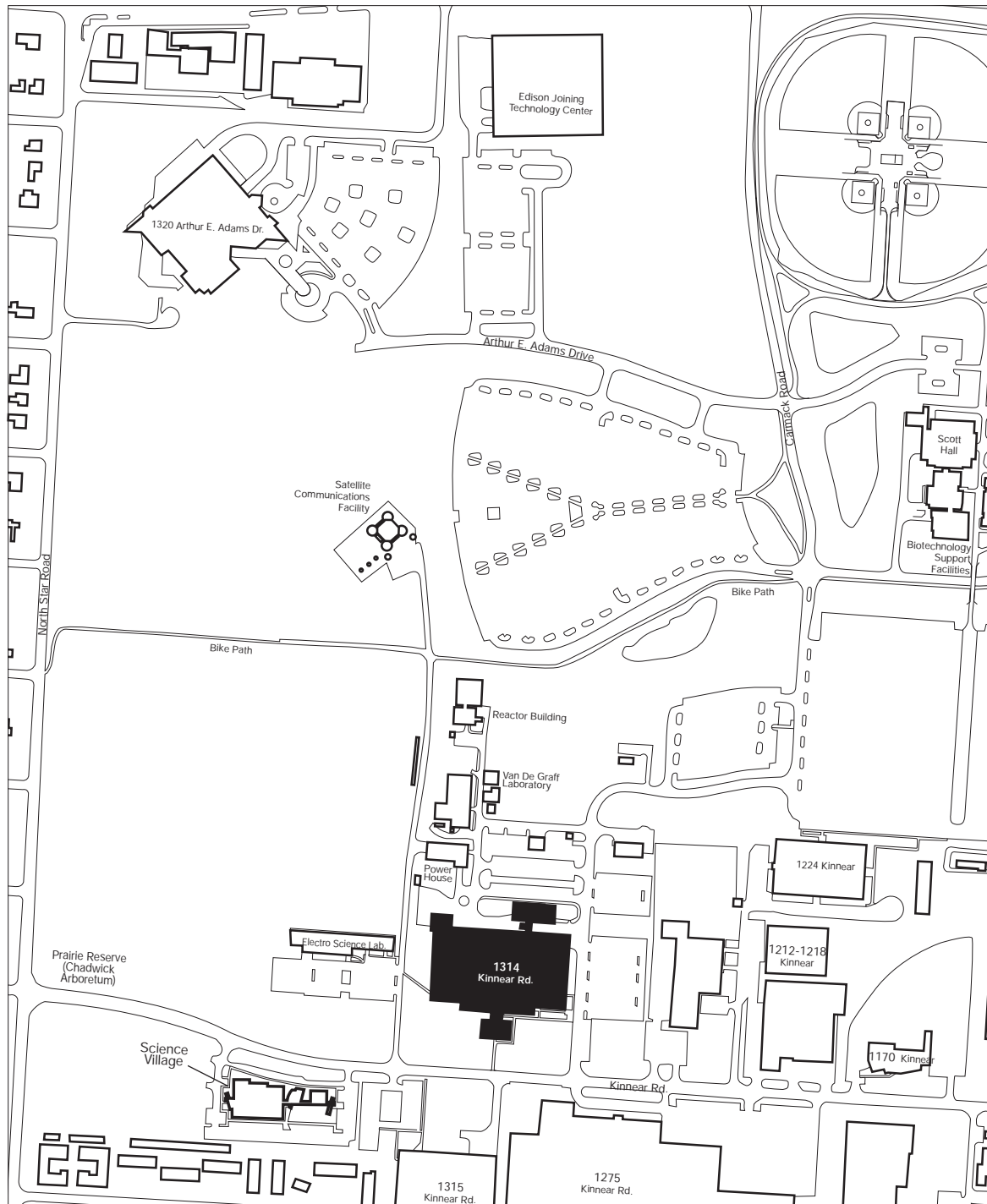
## Postle Hall - Oral and Maxillofacial Surgery



Office of Business and Finance  
Office of Facilities Planning and Development

November 10, 2000

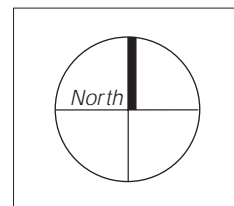
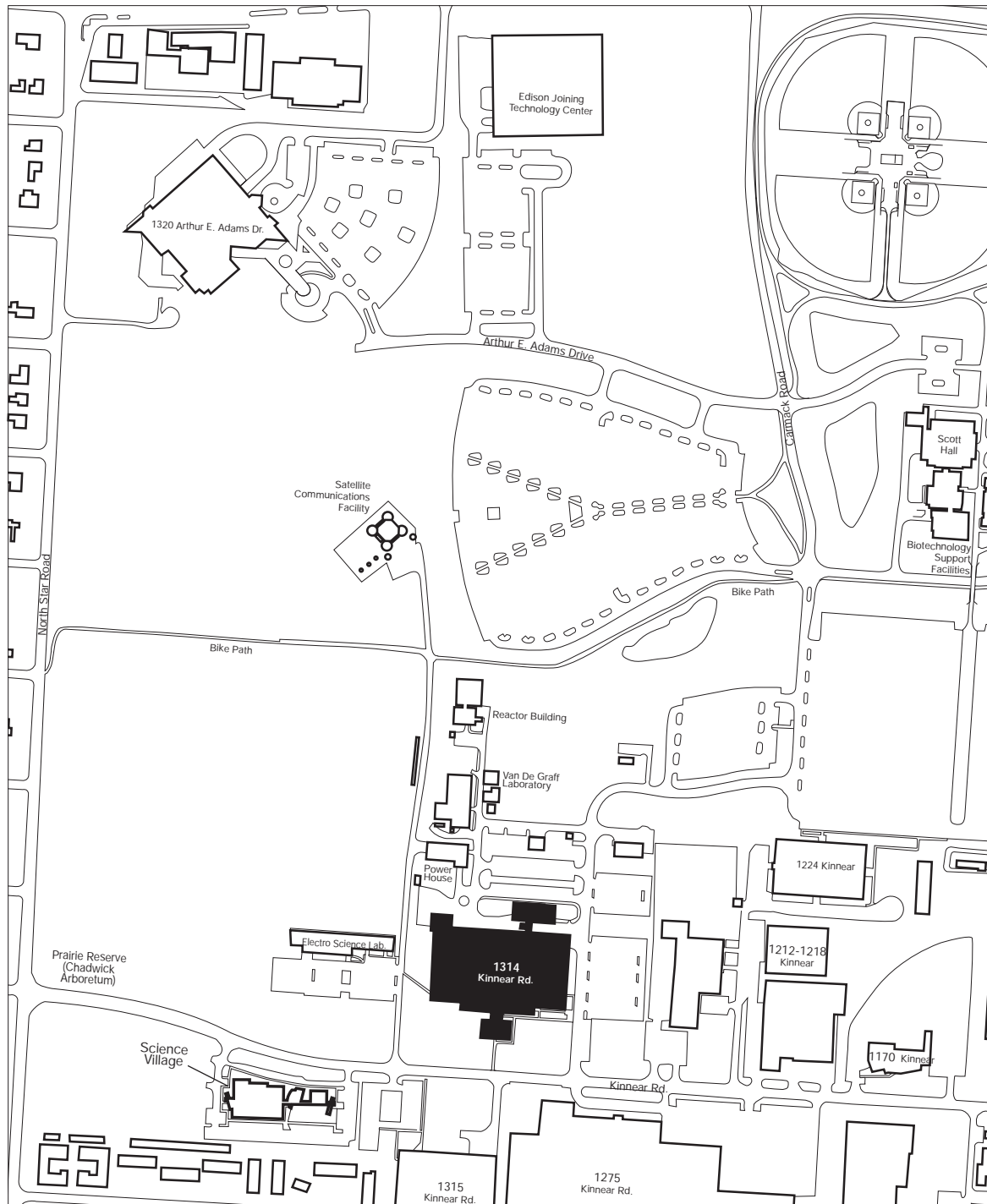
## 1314 Kinnear Road Building Improvements



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Office of Facilities Planning and Development

November 10, 2000

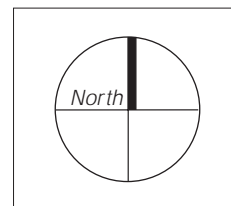
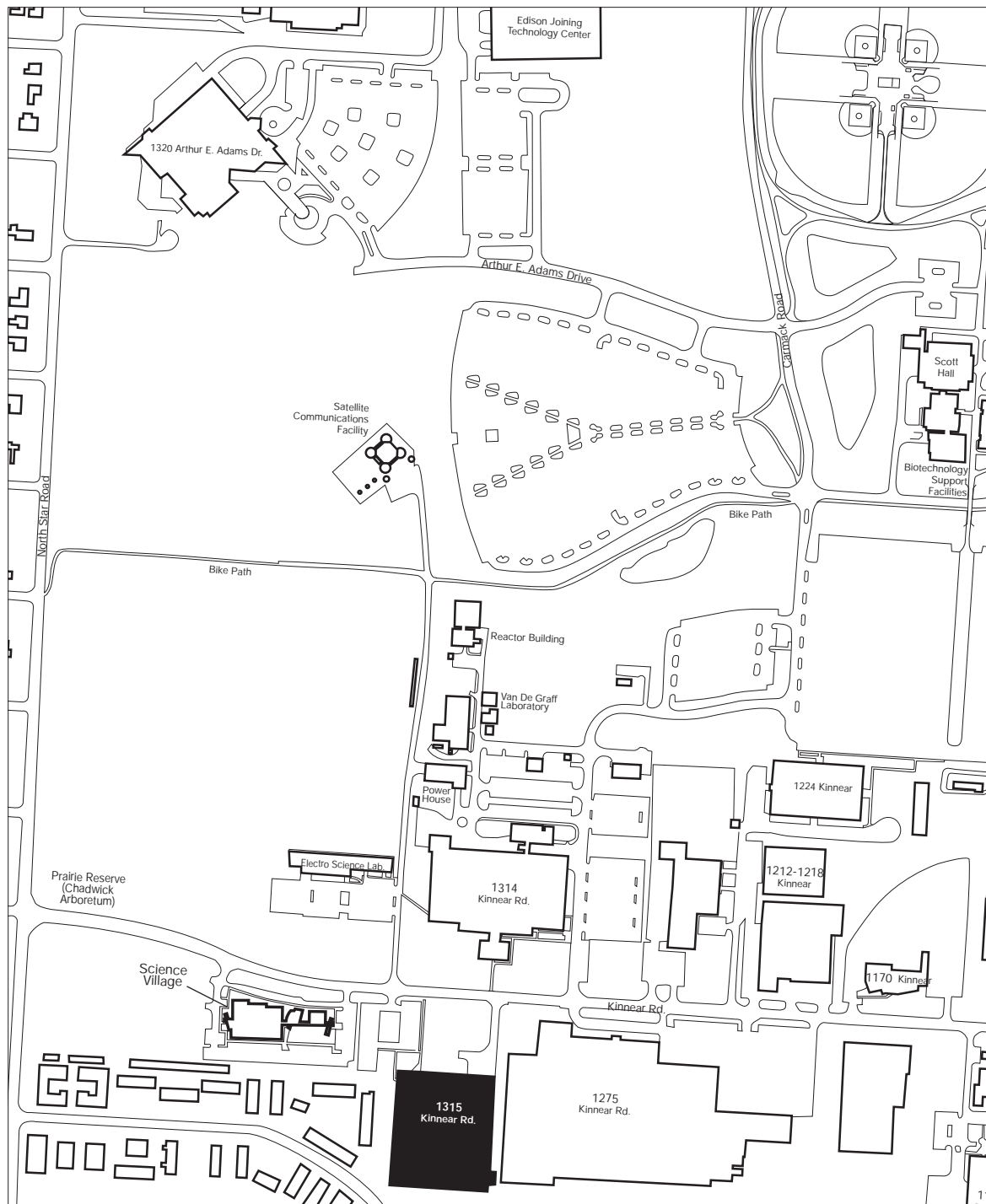
## 1314 Kinnear Road Chiller Replacement



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Office of Facilities Planning and Development

November 10, 2000

# 1315 Kinnear Road Roof Replacement

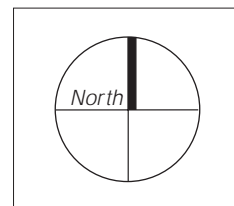


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# ADA Compliant Restrooms 1997

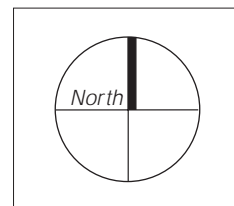
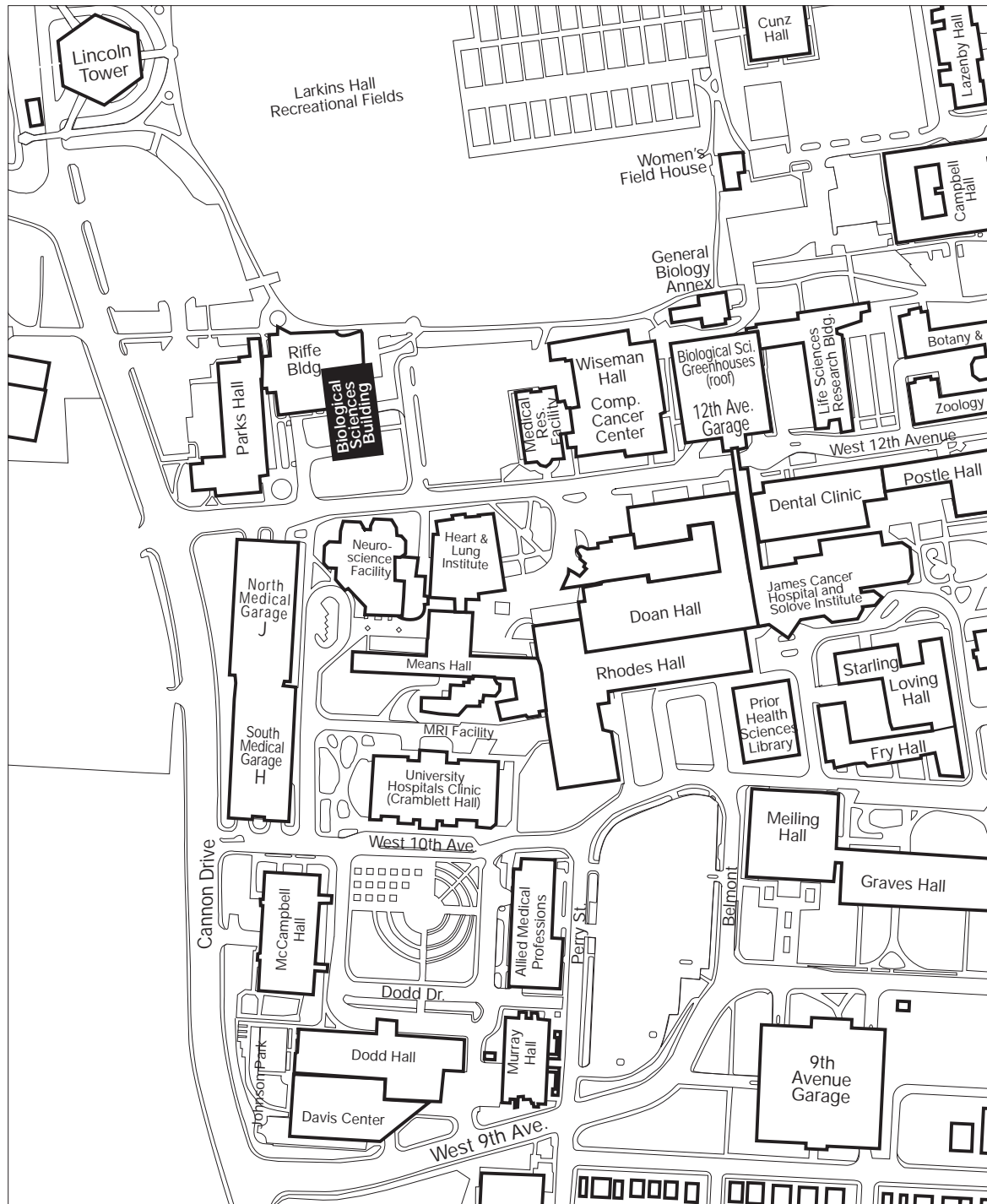


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November 10, 2000



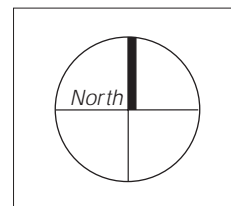
## Biological Sciences Cooling Tower Replacement



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Office of Facilities Planning and Development

November 10, 2000

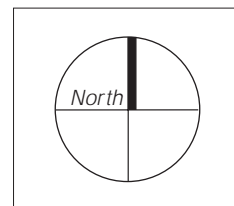
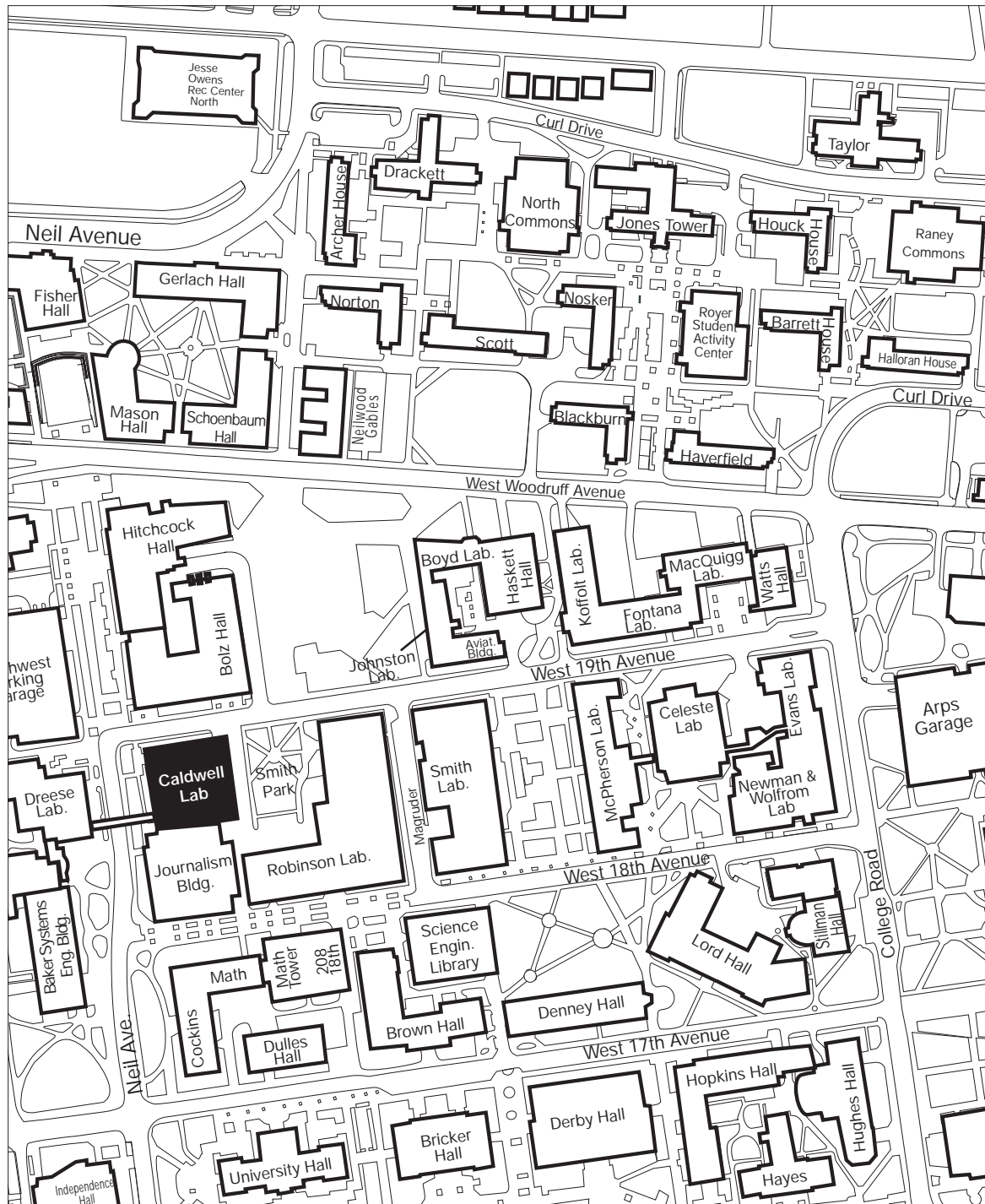
## Biological Sciences Lab Renovation, Phase I



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Office of Facilities Planning and Development

November 10, 2000

## Caldwell Lab - Asbestos Abatement



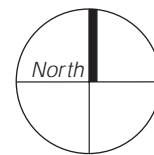
Office of Business and Finance  
Office of Facilities Planning and Development

November 10, 2000

## Campus Lighting Phase VI



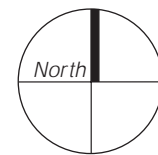
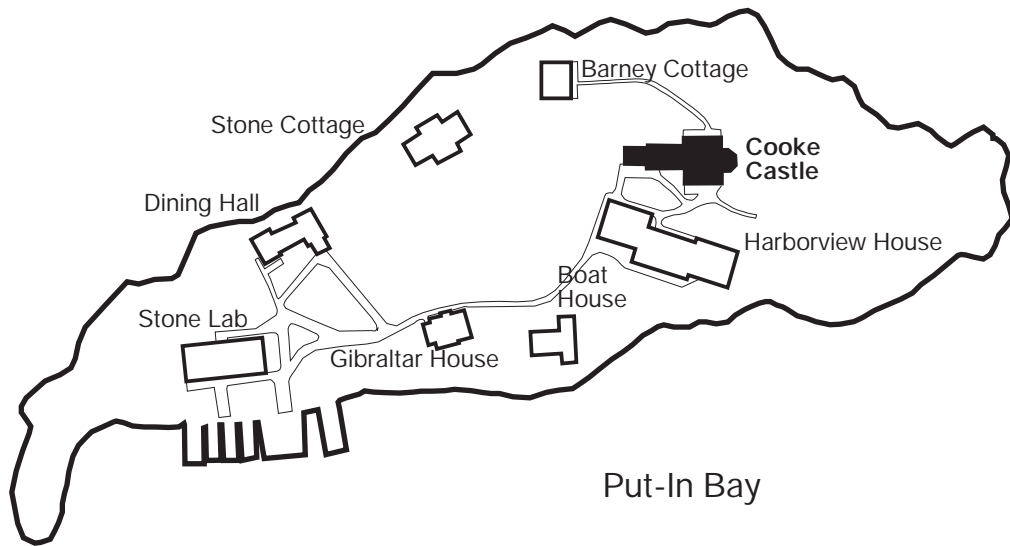
*Project engaged in many sites all over the Columbus Campus*



Office of Business and Finance  
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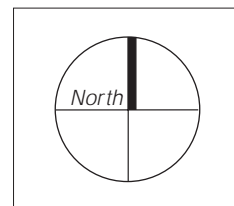
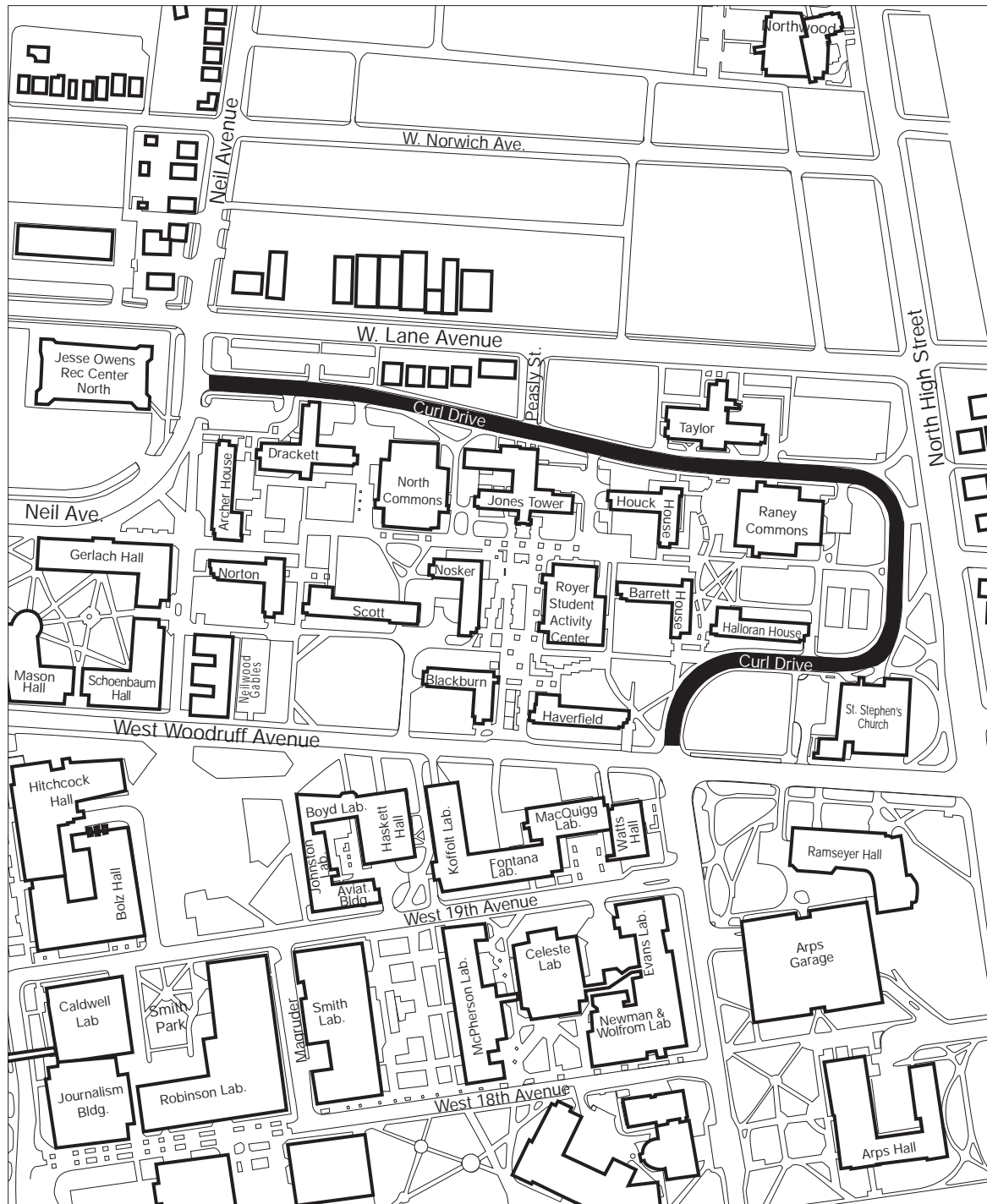
November 16, 2000

## Cooke Castle Renovation





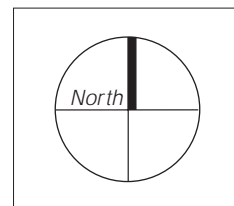
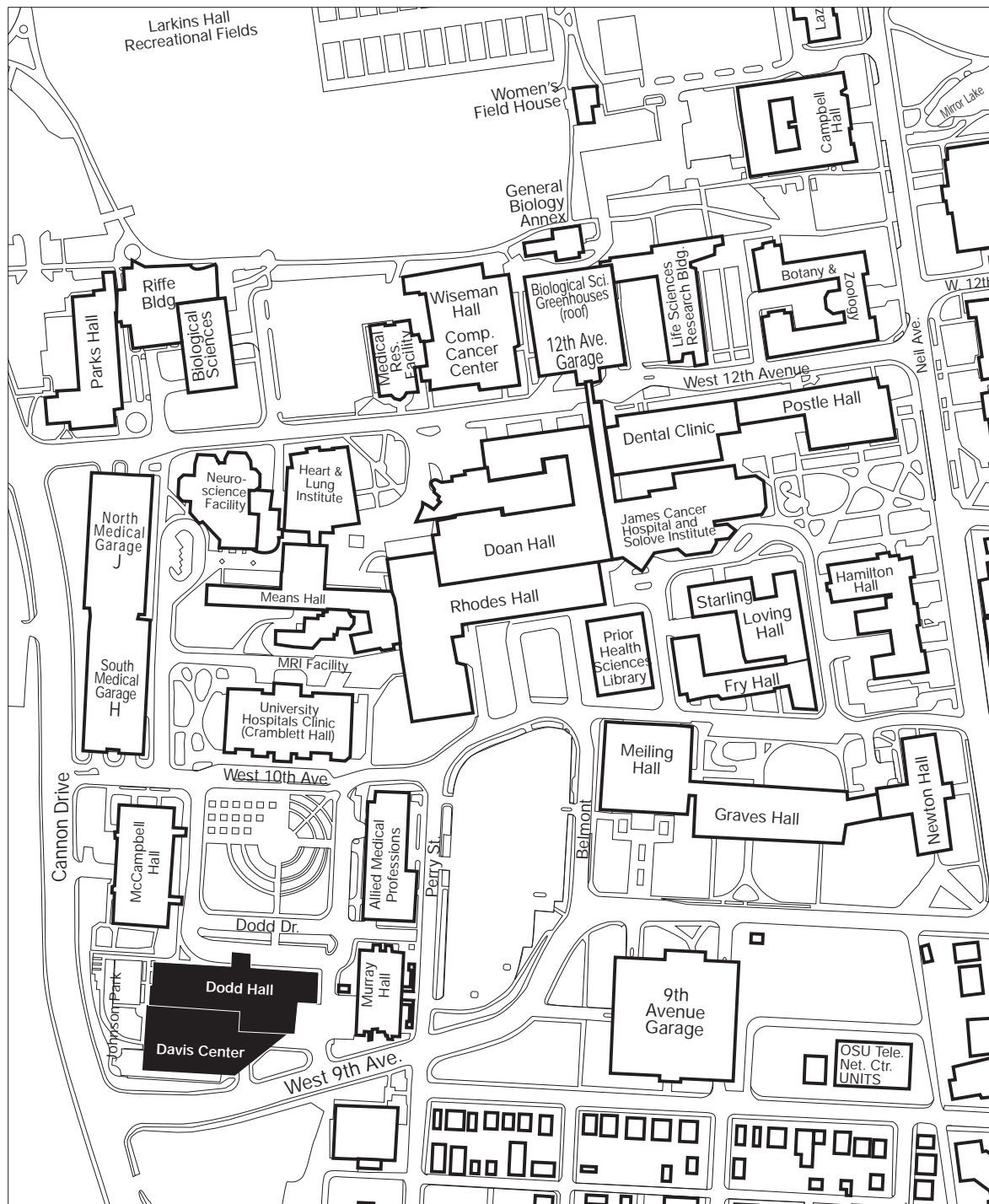
## Curl Drive Mill and Overlay



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Office of Facilities Planning and Development

November 10, 2000

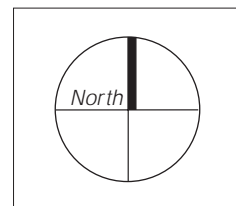
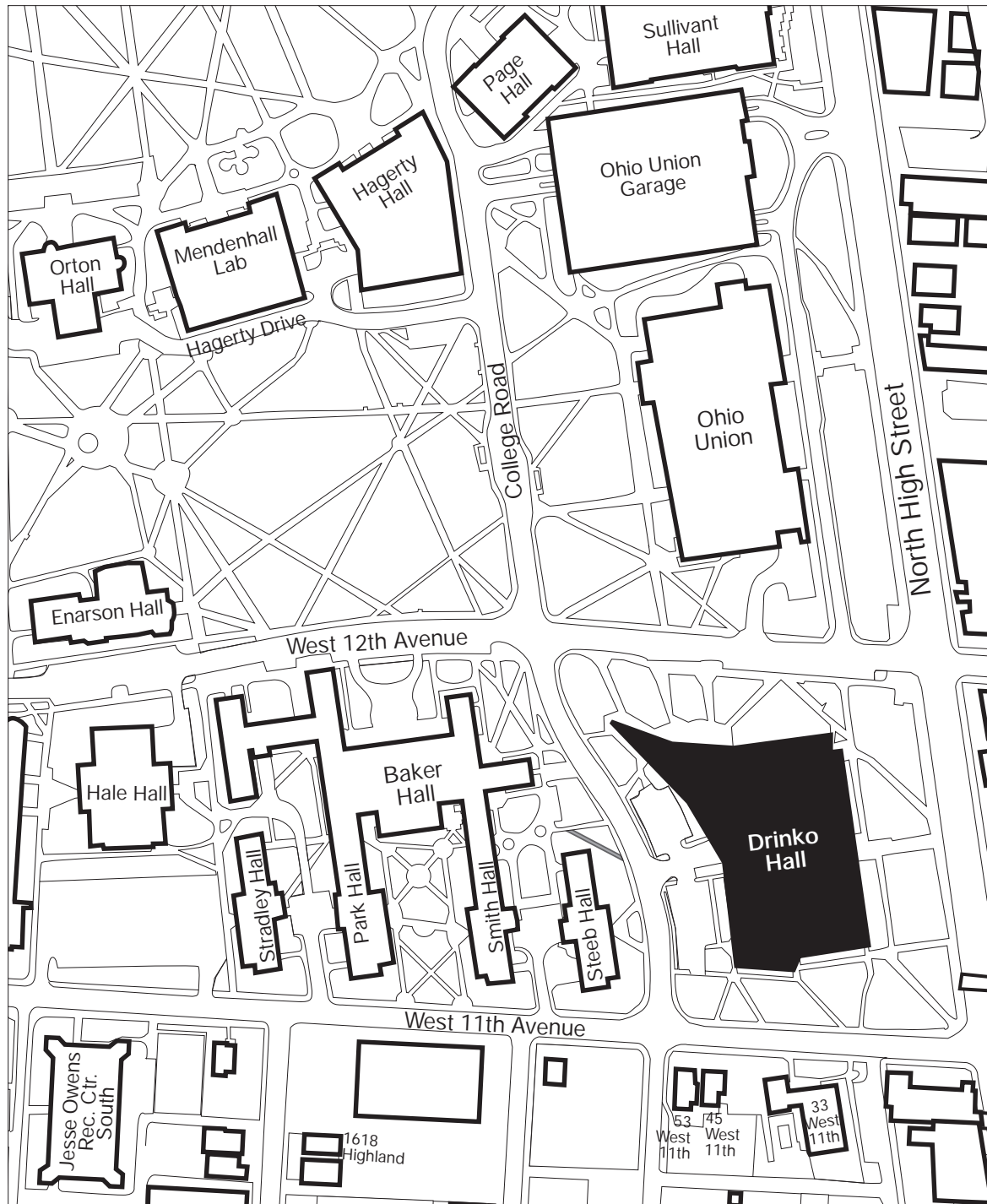
## Dodd Hall And Davis Center - Roof Replacements



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November 11, 2000

## Drinko Hall - Air Conditioning Upgrade

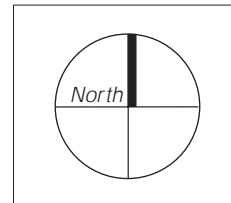
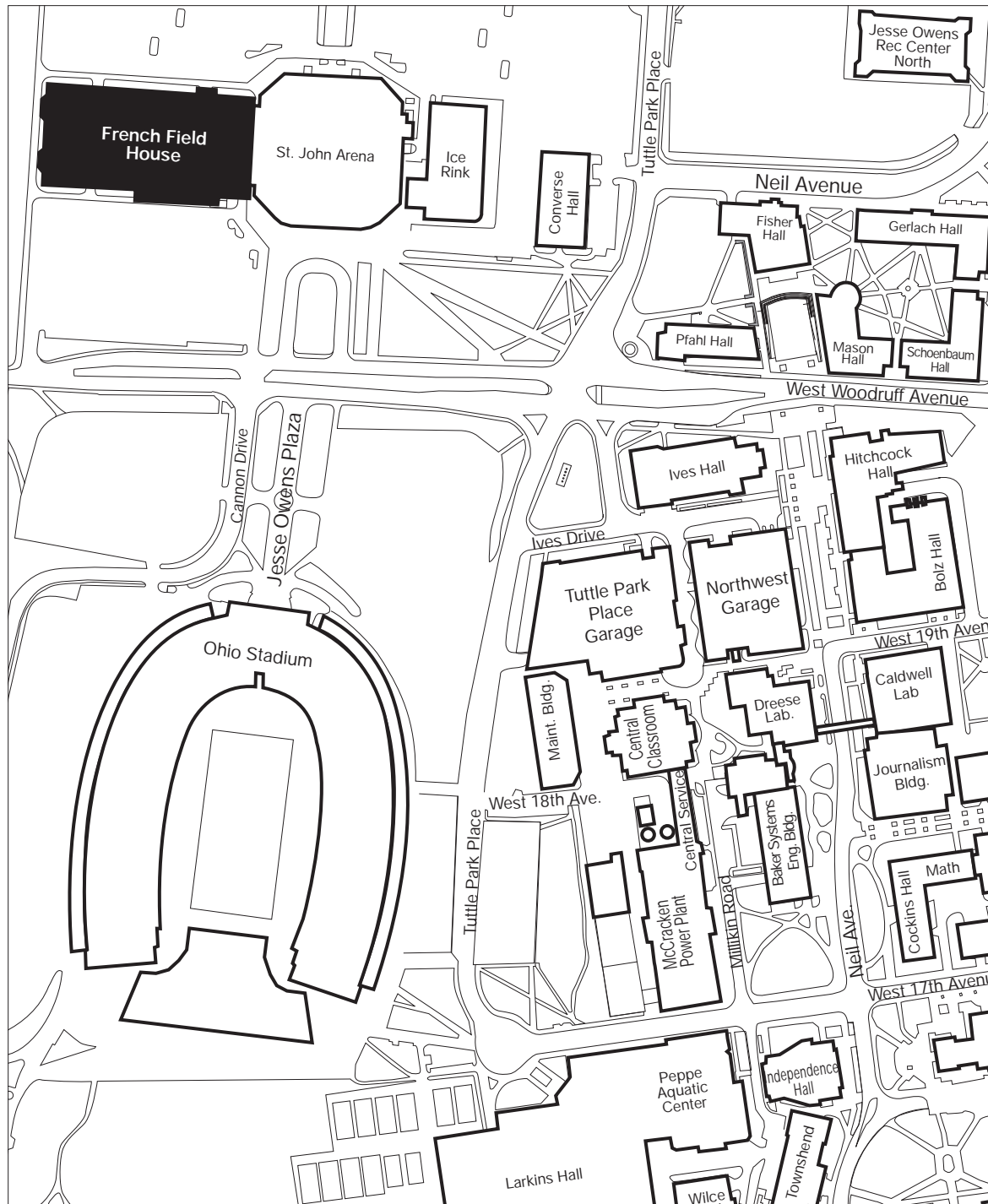


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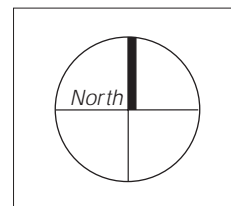
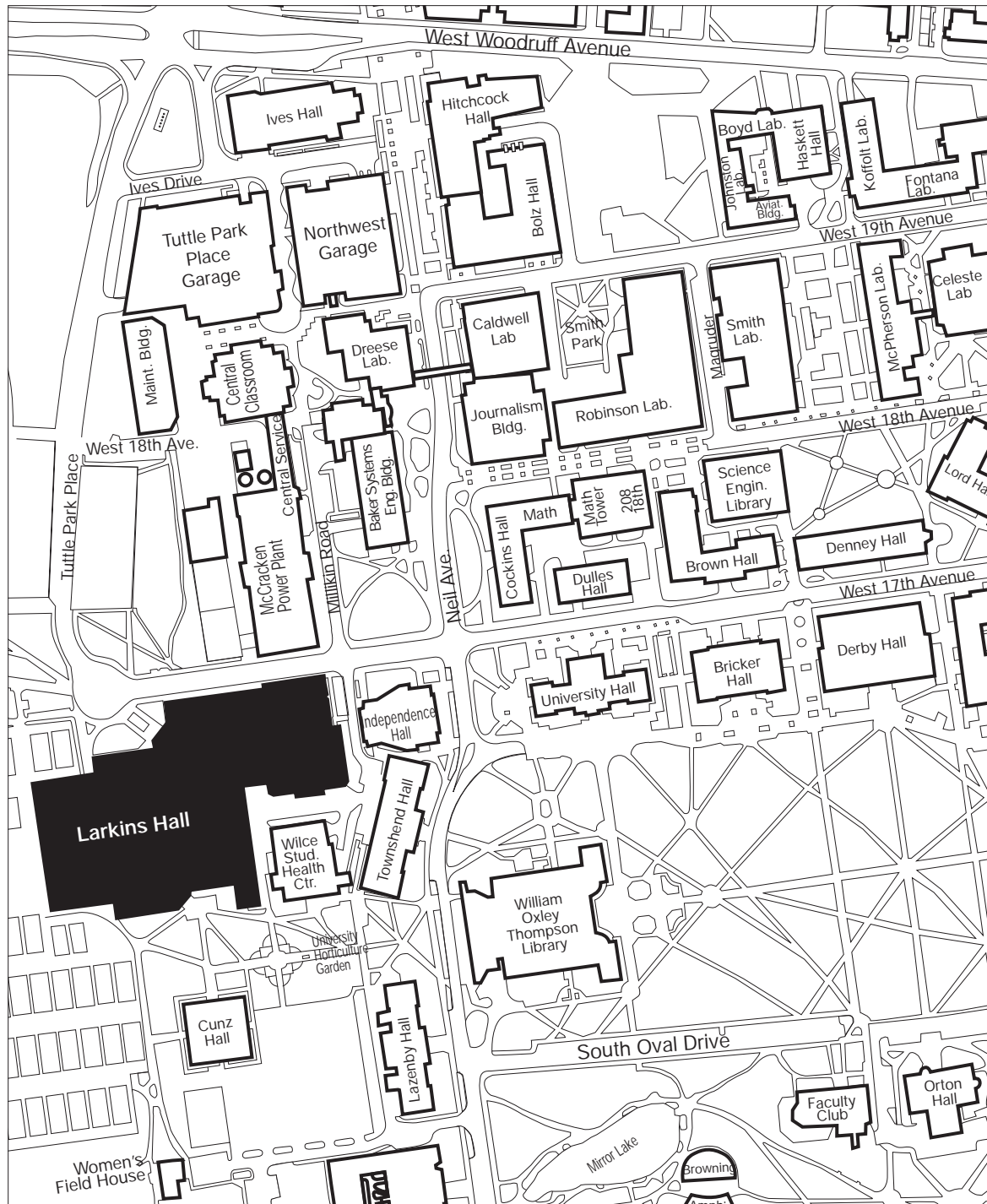
## French Field House - Glass Replacement



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November 10, 2000

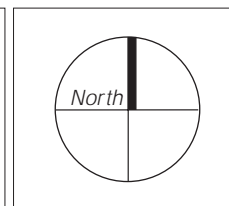
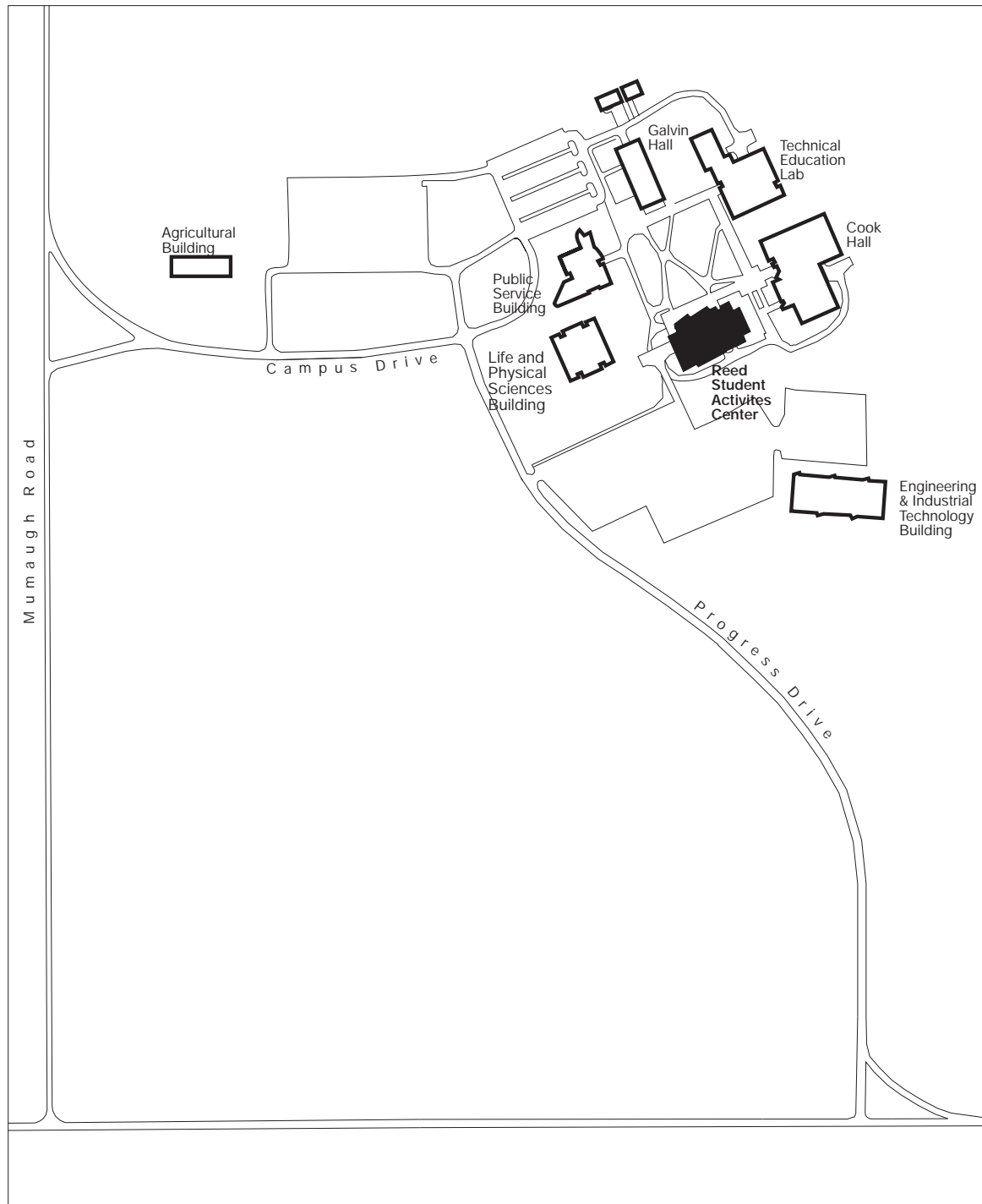
## Larkins Hall - Window Replacements



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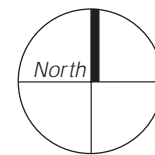
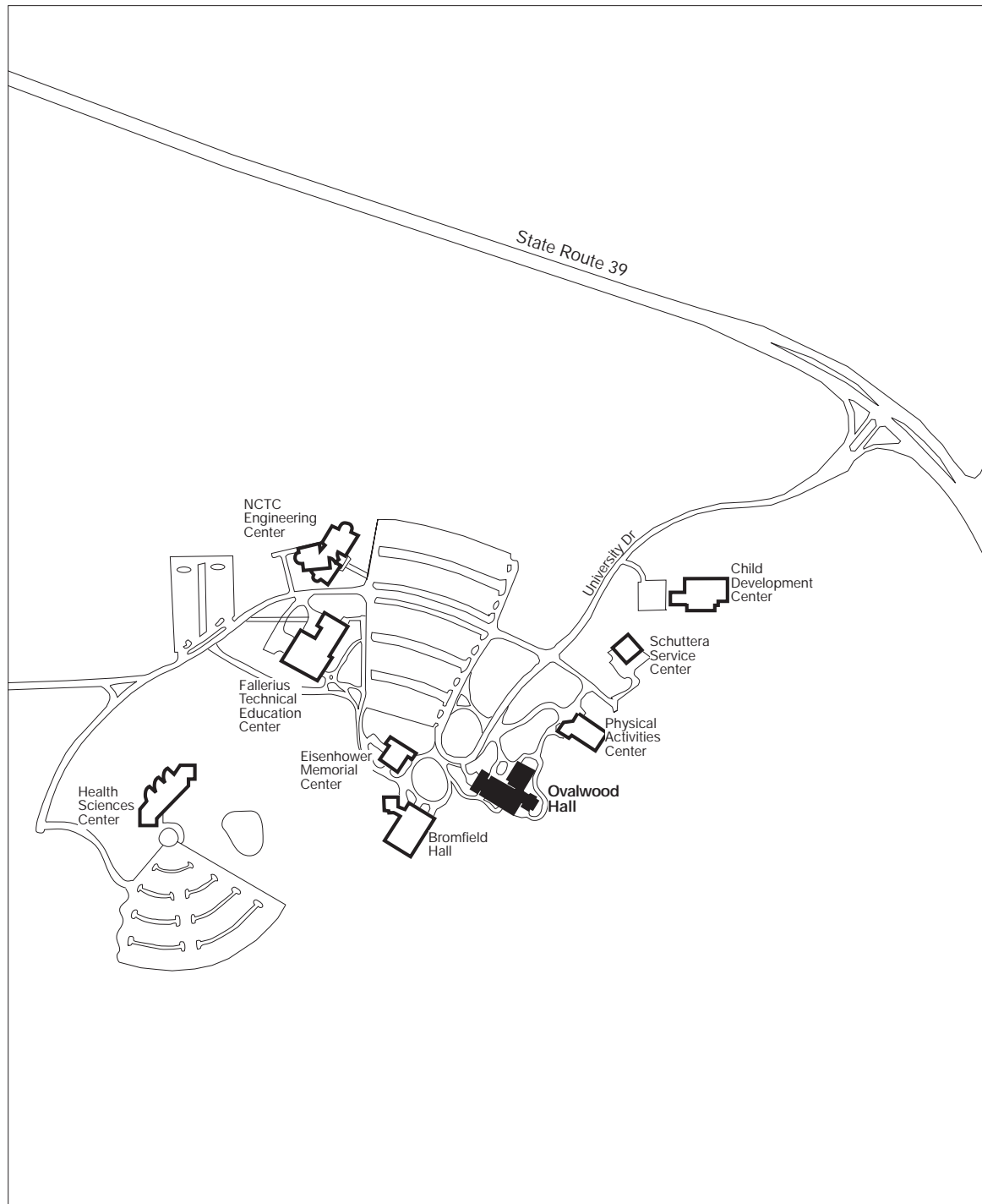
## Lima Campus - Reed Cooling Tower



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November 16, 2000

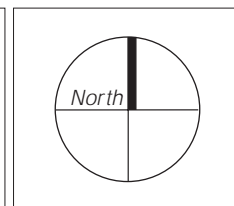
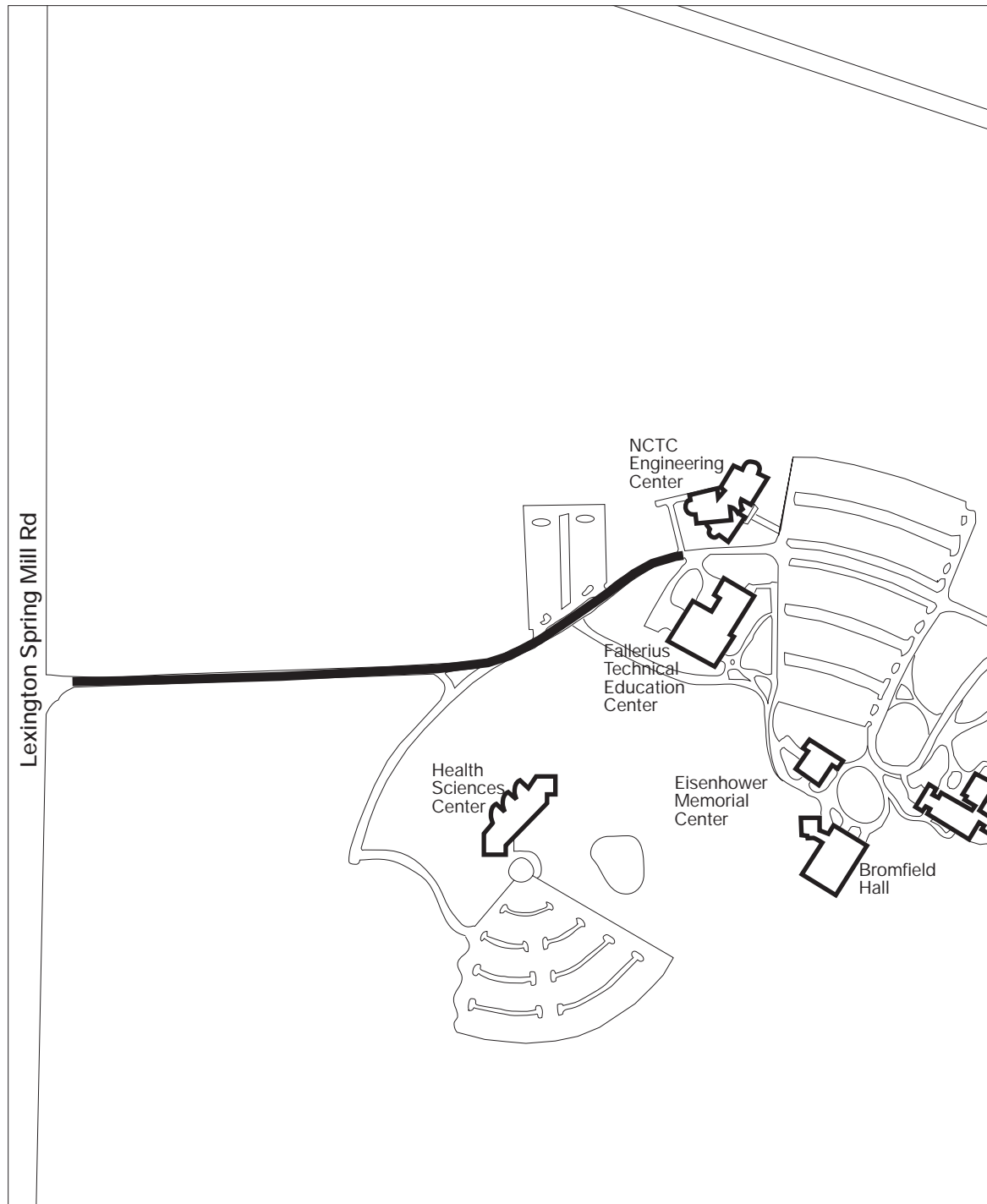
## Mansfield Campus - Founders Auditorium Renovation



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November 16, 2000

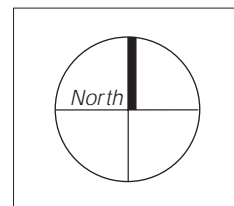
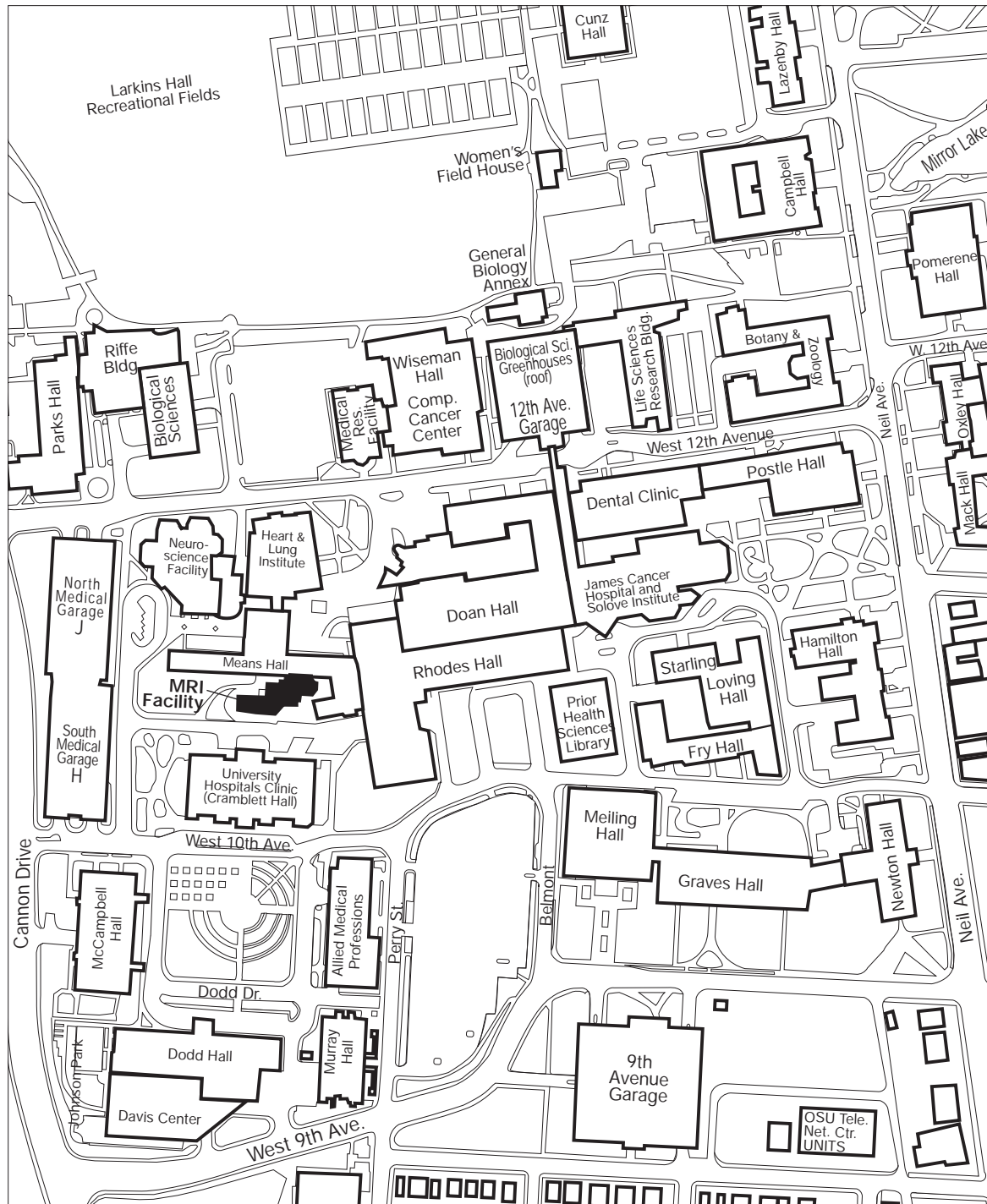
## Mansfield - Roadway and Tennis Court Paving



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Office of Facilities Planning and Development

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## MRI - Clinical Facility Expansion

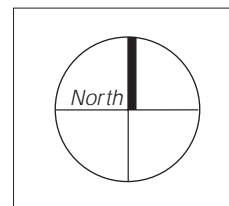
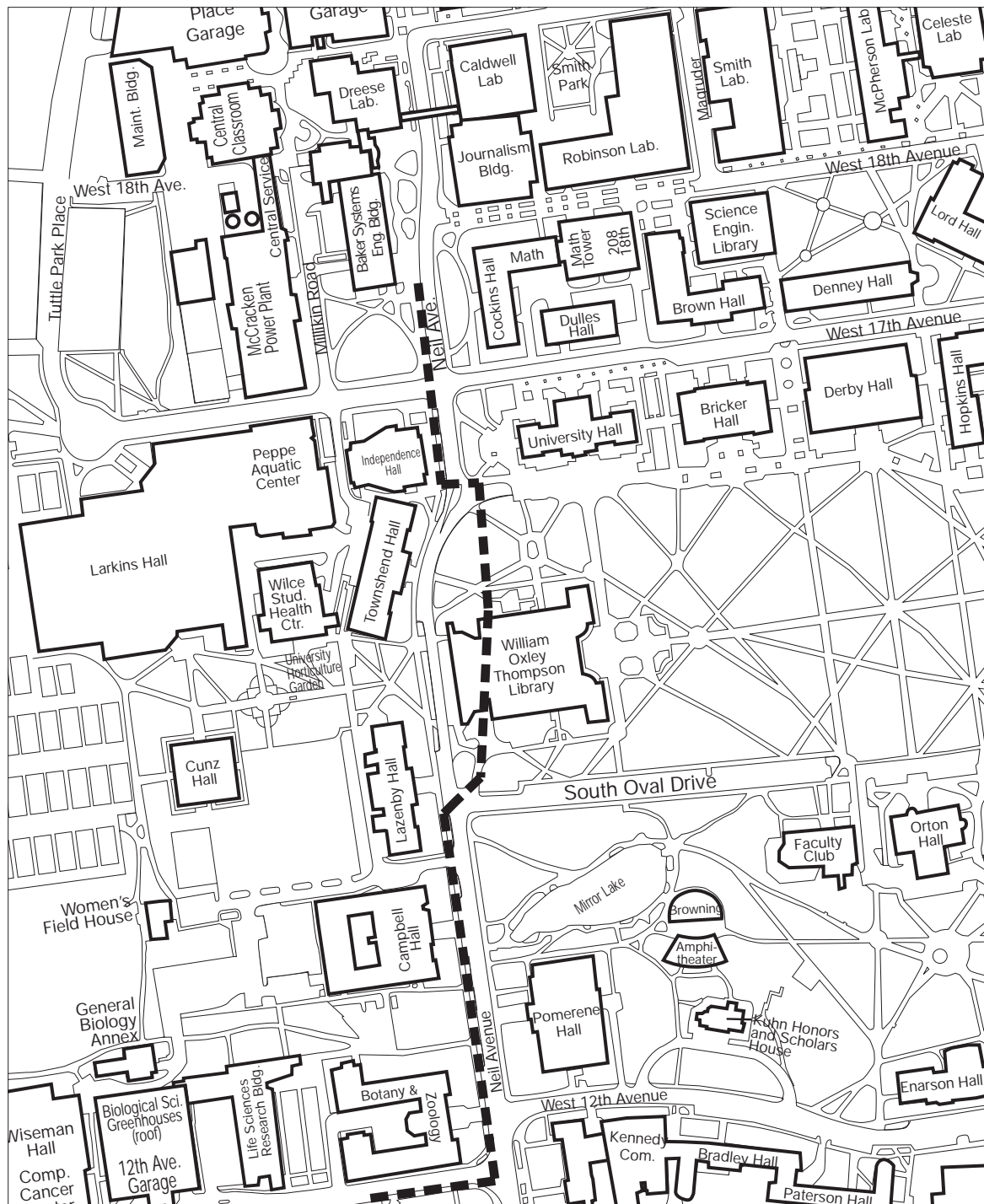


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November 11, 2000



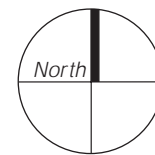
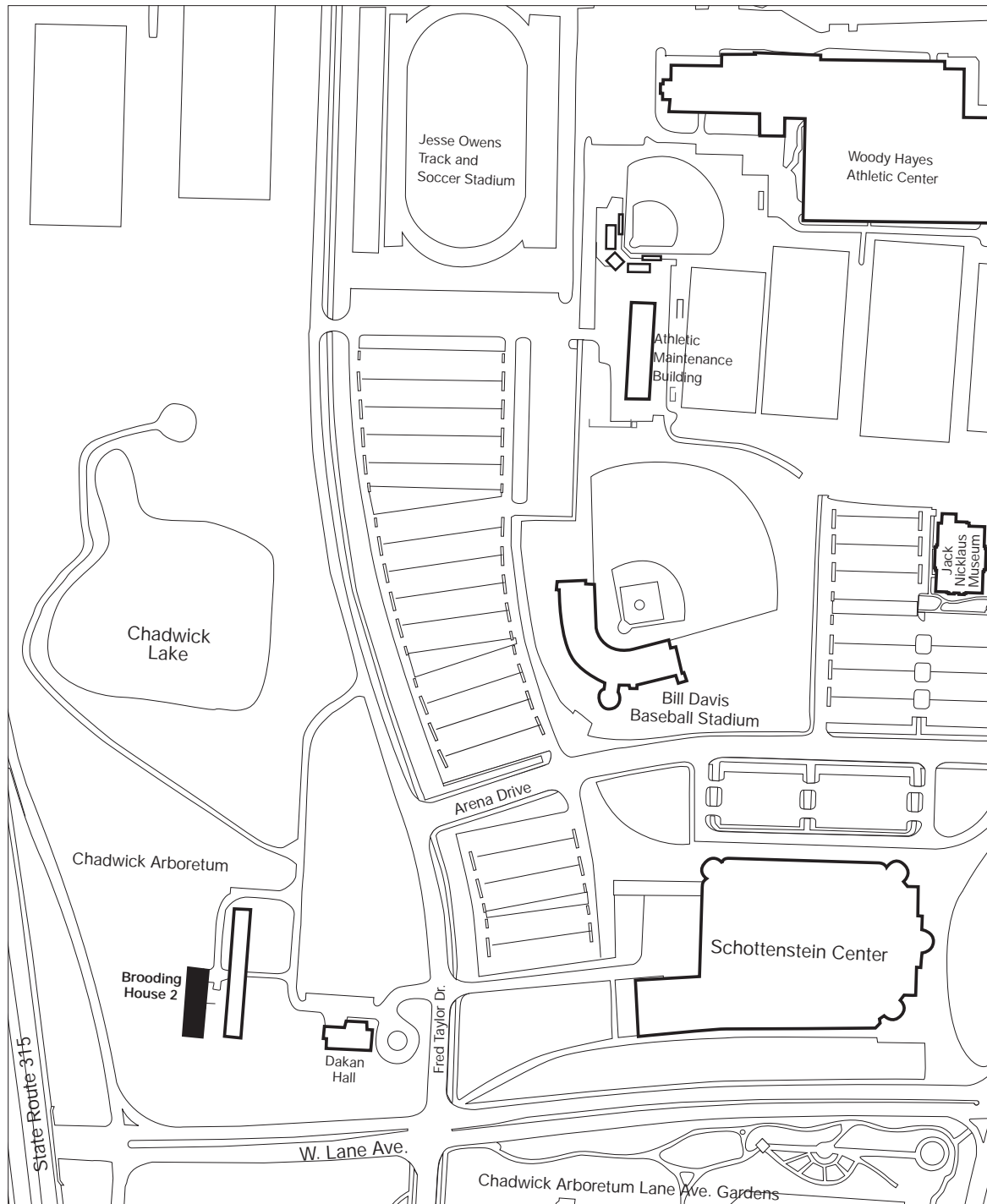
## Neil Avenue Tunnel - Asbestos Abatement



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November 10, 2000

## OARDC - Poultry Research Facility - Columbus

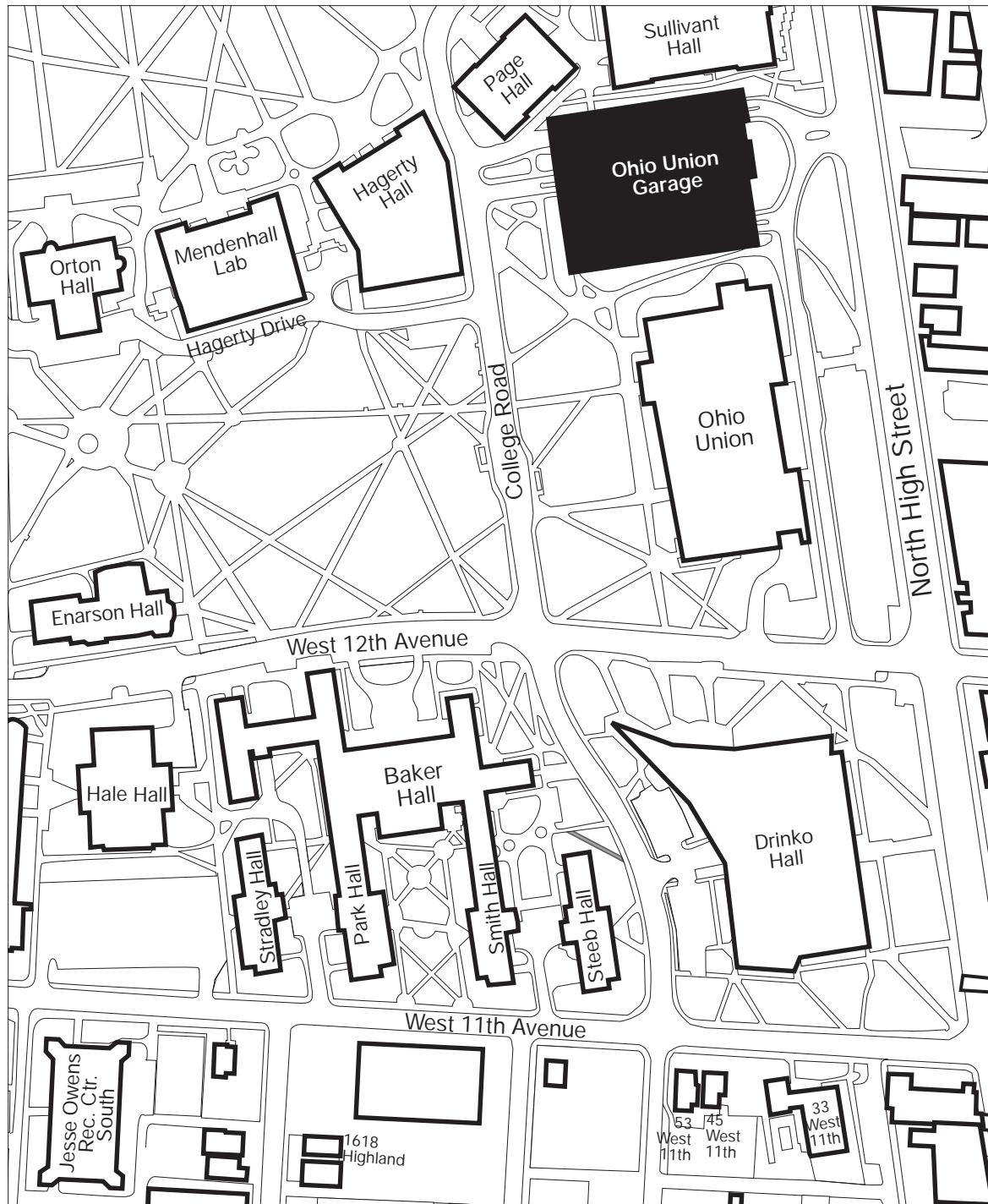


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November 10, 2000



## Ohio Union Parking Garage - Elevator Renovation



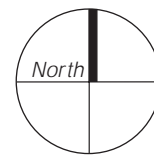
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Office of Facilities Planning and Development

November 10, 2000

## Removal of Barriers for the Physically Impaired



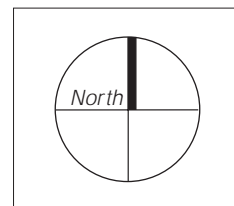
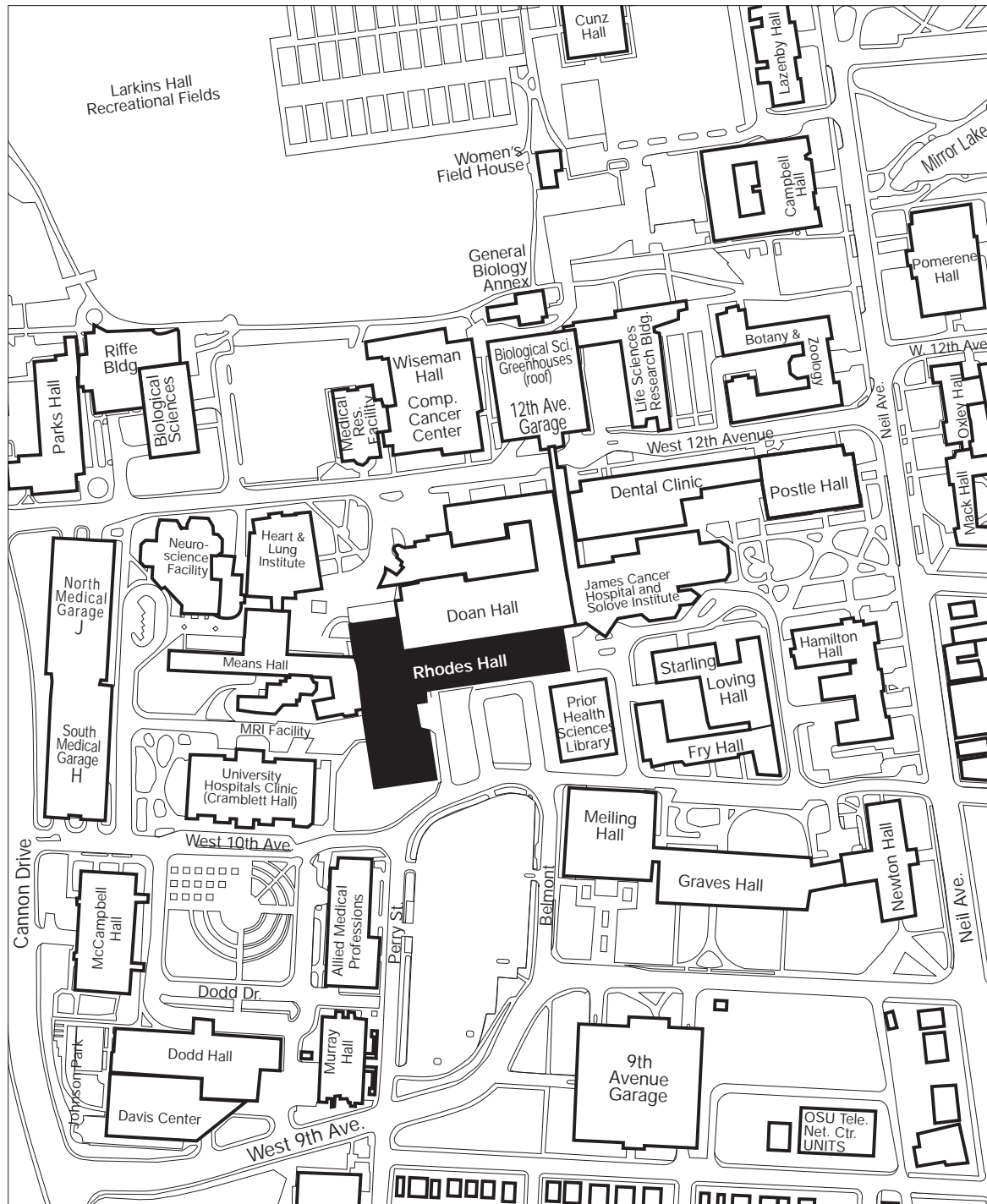
*Project engaged in many sites all over the Columbus Campus*



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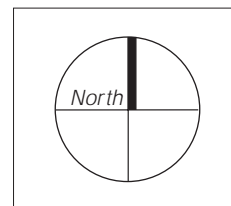
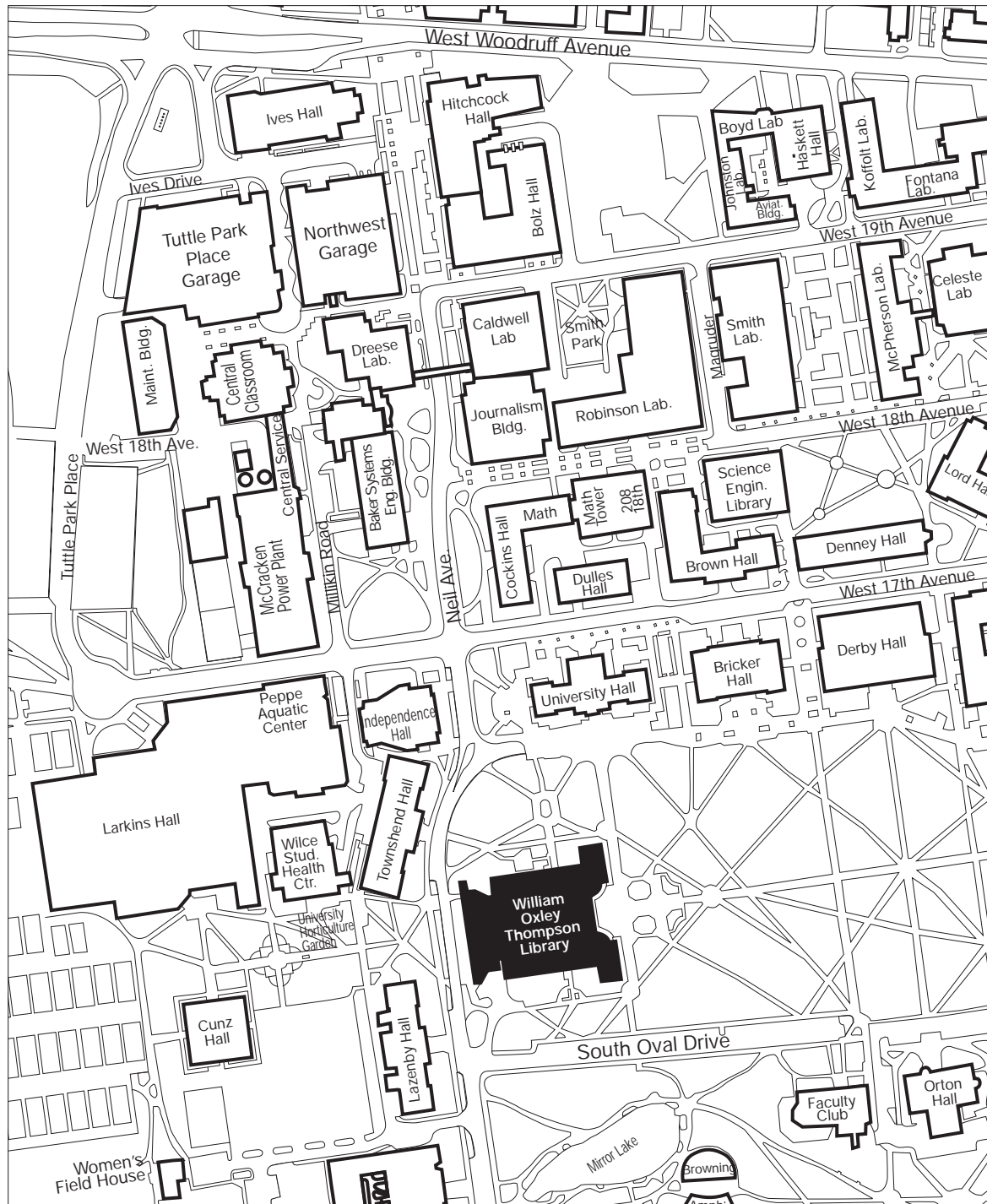
## Rhodes Hall - Operating Rooms 15 and 15 Renovation



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Office of Facilities Planning and Development

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# Thompson Library HVAC Upgrade

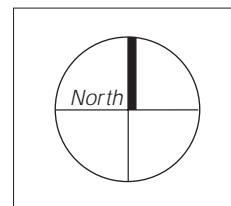
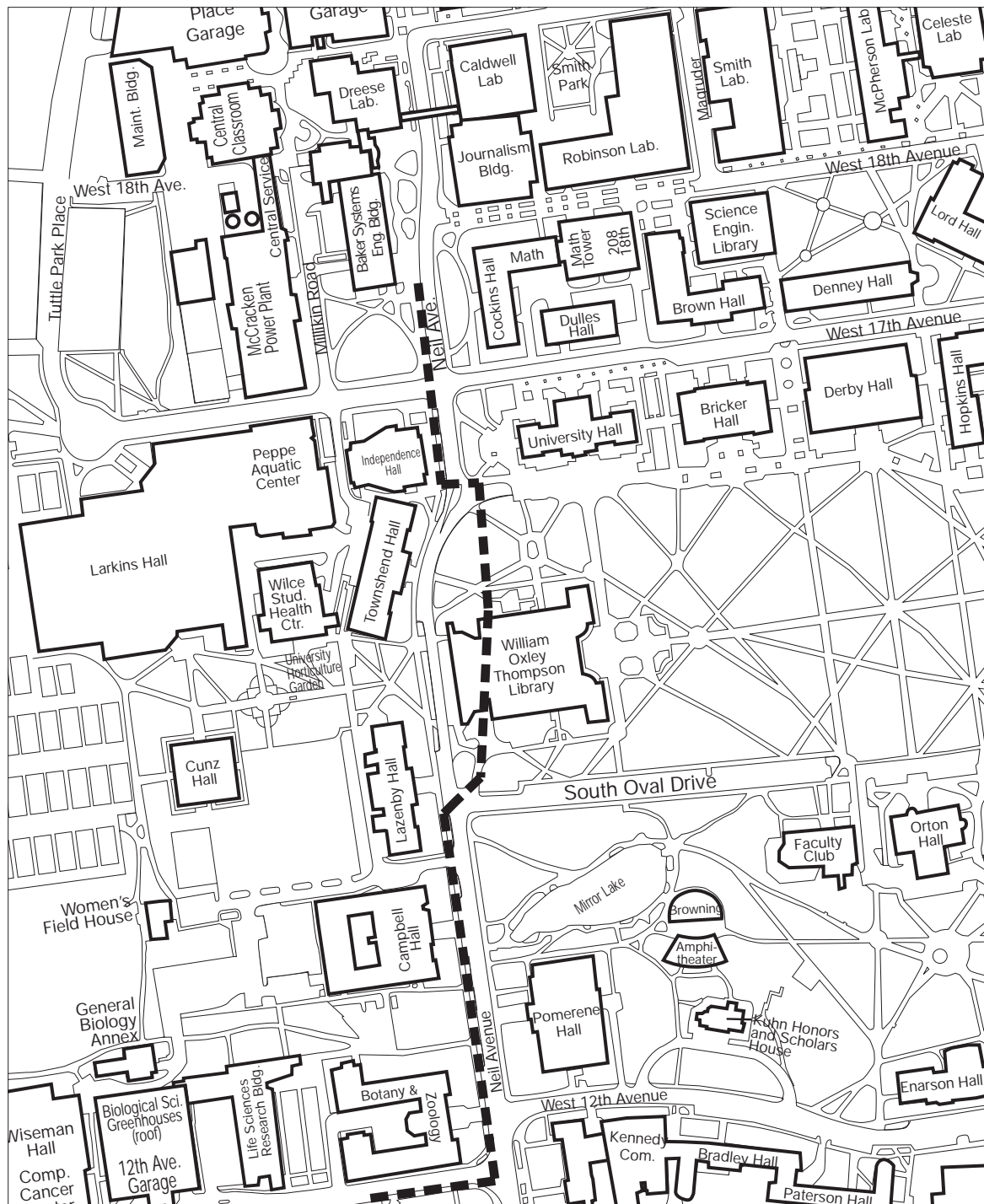


Office of Business and Finance  
Office of Facilities Planning and Development

November 16, 2000



## Utilities Steam Line Upgrade



Office of Business and Finance  
Office of Facilities Planning and Development

November 10, 2000

**THE OHIO STATE UNIVERSITY  
REPORT TO THE BOARD OF TRUSTEES  
NON-MANDATORY TRANSFERS BETWEEN CURRENT FUNDS AND NON-CURRENT FUNDS  
FISCAL YEAR 2000**

<b>Non-Current Account Description</b>	<b>Account Number</b>	<b>Transfer From Account Number</b>	<b>Amount</b>
<b>ENDOWMENT FUNDS</b>			
Endowment Clearing Account	070002	032120	(250,000.00)
Endowment Clearing Account	070002	010889	10,000.00
Endowment Clearing Account	070002	031600	964,586.38
Endowment Clearing Account	070002	031678	20,100.10
Endowment Clearing Account	070002	031969	29,924.94
Endowment Clearing Account	070002	032636	1,000.00
Endowment Clearing Account	070002	033752	25.00
Endowment Clearing Account	070002	035260	2,300.00
Endowment Clearing Account	070002	036095	22,432.80
Endowment Clearing Account	070002	037035	4,455.00
Endowment Clearing Account	070002	039298	4,587.33
Endowment Clearing Account	070002	039529	3,225.90
Endowment Clearing Account	070002	050635	2,996.00
Agriculture Discretionary	070035	033008	10,000.00
Andersons Chair/Agriculture	070168	010099	(323,286.00)
Campbell Hall Building Fund	071060	032910	30,000.00
Davis, Jacob E., Professorship	071582	035399	83,811.72
Enarson Professorship	071968	015032	150,000.00
Hayes, Wayne Woodrow	073025	031441	25,000.00
Journalism & Communications	073501	031121	50,000.00
Medical Alumni Society	074803	032795	(25,000.00)
Meeker Scholarship	074831	038890	22,913.93
Fund-Nelson Colloquium	075130	033607	15,000.00
Ohio 4-H Foundation	075354	032887	1,572.64
Ohio 4-H Foundation	075354	033590	141,510.96
OSU Name & Seal Scholarship	075427	015102	286,000.00
Pathology Faculty Support Principal	075658	050512	500,000.00
Pierce, AK & Judith, Fund	075801	035838	12,500.00
Plough Pharmacy Scholarship	075818	010000	(25,000.00)
Political Science Development Fund	075840	032330	10,000.00
Rudolph E&A Fund	076225	011465	48,402.00
Stone Lab School F&T	077012	033701	1,923.00
Stout, W.B. and T.T. Scholarship Fund	077020	038712	710.00
Student Organization Support	077053	015102	251,000.00
WOSU Stations Fund	077719	033227	29,978.60
Undistributed Gains	077799	020000	(32,039,525.90)
Various Endowment Funds	07XXXX	02XXXX	7,055,965.23
<b>Net Transfers from Endowment Funds</b>			<b>(22,870,890.37)</b>

THE OHIO STATE UNIVERSITY  
REPORT TO THE BOARD OF TRUSTEES  
NON-MANDATORY TRANSFERS BETWEEN CURRENT FUNDS AND NON-CURRENT FUNDS  
FISCAL YEAR 2000

Non-Current Account Description	Account Number	Transfer From Account Number	Amount
<b>PLANT FUNDS</b>			
<b>Unexpended Funds</b>			
Land Purchase Account	082027	016014	319,370.00
Law Building Addition Plans	082073	038023	504,620.42
Alumni Addition	082097	038617	1,958,168.76
Alumni Addition	082097	039990	95,764.45
Bill Davis Baseball Stadium	082107	016019	250,000.00
College of Business	082110	039305	60,000.00
VA Clinic Purchase	082122	010000	148,374.48
APO Local Administration	082125	010000	45,066.23
Wetland Research Building	082126	039710	440.60
Park / Harding Line of Credit	082129	019300	2,395,896.00
Don Scott	082133	010000	108,306.00

**Net Transfers to Unexpended Plant Funds** **5,886,006.94**

**Renewal and Replacement Funds**

Denny Hall Renovation	084013	010000	29,300.00
Asbestos Removal Fry Hall	084020	010000	6,278.66
Campbell Hall Building Fund	084034	021060	(4,954.45)
Wexner Center Renovation	084043	010000	11,461.01
Wexner Center Renovation	084043	010999	(2,031.00)
1315 Kinnear Road Renovation	084044	010000	34,000.00
Apple Creek Farm - ATI	084048	010000	4,568.28
Main Library Renovation	084049	011085	33,500.00
Dental Faculty Practice	084050	050249	25,000.00
Converse Hall Rooms 139 and 141	084052	010000	53,400.00
Food Science and Technology Renovation	084054	010000	34,500.00
UNITS Sidewalk	084056	014007	41,600.00
Ag Administration Remodeling	084057	057801	45,500.00
Page Hall - Center for Cognitive Science	084066	010000	66,700.92
1315 Kinnear Road Roof	084067	010000	108,902.00
Main Library Renovation	084068	010000	18,324.25
Postle Hall Renovation	084069	050249	58,400.00
Lord Hall Renovation	084070	010000	25,000.00
Postle Hall Renovation	084071	050249	46,800.00
Postle Hall Renovation	084075	050249	62,000.00
Goss Lab Renovation	084077	010000	28,000.00
930 Kinnear Road Renovation	084078	012126	46,000.00
930 Kinnear Road Renovation	084078	012952	12,120.00
930 Kinnear Road Renovation	084078	027323	165,000.00
930 Kinnear Road Renovation	084078	032380	10,000.00

**THE OHIO STATE UNIVERSITY**  
**REPORT TO THE BOARD OF TRUSTEES**  
**NON-MANDATORY TRANSFERS BETWEEN CURRENT FUNDS AND NON-CURRENT FUNDS**  
**FISCAL YEAR 2000**

Non-Current Account Description	Account Number	Transfer From Account Number	Amount
Derby Hall Plaza	084079	010000	15,407.76
Starling Loving Renovation	084081	010000	10,850.00
Starling Loving Renovation	084081	011035	26,225.00
Goss Lab Renovation	084082	010000	72,800.00
Research Center Renovation	084083	050050	1,920.00
Research Center Renovation	084083	050442	37,040.00
Campus Grounds Exterior Lighting	084085	010000	110,000.00
Hitchcock Renovation	084086	010000	25,000.00
Utility Connection - Oval	084087	017200	63,000.00
ATI Improvements	084090	010000	7,406.00
Marion campus - Abler Student Center	084093	010956	50,000.00
Tennis Center Feasibility	084094	010000	12,000.00
Drinko Classroom Renovation	084095	010000	135,000.00
Page Hall Renovation	084098	010000	29,800.00
TNC Restroom Renovation	084099	014007	26,500.00
Fry Hall Restroom Renovation	084101	010000	21,406.32
Larkins Renovation	084103	010000	49,319.41
Faculty Club Renovation	084105	015037	200,000.00
Enarson Hall Renovation	084106	010000	25,000.00
Clark Grave Vault	084107	010000	4,448.89
Postle Hall Renovation	084108	050249	85,000.00
Postle Hall Renovation	084109	050249	34,500.00
Ag Administration Remodeling	084111	010000	26,000.00
ADA Elevator Upgrade	084112	010000	17,078.97
Biocontainment Account	084113	057189	47,800.00
Baker Systems - Networking	084114	010000	35,550.00
Newton Hall Renovation	084118	010000	72,500.00
Ag Administration Remodeling	084120	010000	95,100.00
Doan Hall Renovation	084121	050442	25,900.00
Meiling Hall Renovation	084122	010000	51,960.00
Arps Garage	085042	010000	(190.10)
Dormitory Contingency Fund	085049	017200	670,258.50
Professional Student Housing	085057	057801	29,143.92
Stillman Hall Addition	085060	010000	40,520.65
Stillman Hall Addition	085060	035916	70,000.00
Stillman Hall Addition	085060	035918	100,000.00
Carmack Overlay	085076	010000	34,688.45
Sisson Replacement	085089	011046	(16,508.14)
Sisson Replacement	085089	015108	150,000.00
Sisson Replacement	085089	021729	5,000.00
Sisson Replacement	085089	025066	35,000.00
Sisson Replacement	085089	027601	15,000.00
Sisson Replacement	085089	032404	100,000.00
Sisson Replacement	085089	035812	85,000.00
Sisson Replacement	085089	038617	50,000.00
Sisson Replacement	085089	039766	265,000.00
Postle Hall Renovation	085096	050249	104,150.90



**THE OHIO STATE UNIVERSITY**  
**REPORT TO THE BOARD OF TRUSTEES**  
**NON-MANDATORY TRANSFERS BETWEEN CURRENT FUNDS AND NON-CURRENT FUNDS**  
**FISCAL YEAR 2000**

Non-Current Account Description	Account Number	Transfer From Account Number	Amount
Dreese Lab Upgrade	085106	057374	157,341.00
Kottman Hall Renovation	085113	010000	(8,868.07)
Campbell Hall Renovation	085115	011024	4,977.14
Education Administration	085116	031705	12,000.00
ATI Farm Management	085121	010889	115,000.00
Center for Education and Economics - ATI	085122	010889	90,000.00
Center for Education and Economics - ATI	085122	021493	73,540.00
Center for Education and Economics - ATI	085122	039049	34,698.25
UNITS	085126	010000	68,322.01
Sisson Replacement	085127	010000	150,000.00
Sisson Replacement	085127	035812	135,000.00
Caldwell Renovation	085131	010000	17,000.00
Caldwell Renovation - 4th floor	085135	036284	30,650.15
Smith Lab Renovation	085136	010000	(986.14)
Postle Hall Renovation	085137	050249	(8,738.31)
ADA Elevator Upgrade	085139	010000	7,294.24
Food Science and Technology Renovation	085154	010000	927,037.00
Food Science and Technology Renovation	085154	010000	(75,000.00)
Food Science and Technology Renovation	085154	022254	30,000.00
Ramseyer Renovation	085155	010000	6,014.00
Postle Hall Renovation	085156	050249	(1,775.75)
Goss Lab Renovation	085168	010000	(8,656.79)
Bevis Renovation	085169	010000	9,191.22
Derby Renovation	085171	010000	(1,649.20)
Postle Hall Renovation	085248	050249	(4,251.50)
Cockins Hall Renovation	085262	010000	6,086.21
Postle Hall Renovation	085270	050249	2,937.67
Postle Hall Renovation	085275	050249	(6,418.20)
Watts Remodeling	085302	010000	10,000.00
Watts Remodeling	085302	032693	5,000.00
Watts Remodeling	085302	035659	5,000.00
Fawcett Center Capital Improvements	085327	016004	260,000.00
Fawcett Center Capital Improvements	085327	017200	(110,000.00)
Pomerene Improvements	085329	010000	31,210.63
Cockins Hall Renovation	085338	010000	(704.85)
Vet Hospital Improvements	085390	010000	(9,257.11)
Jesse Owens Rec Center	085660	010000	7,961.74
Larkins/Cunz Landscaping	085736	010002	26,631.65
Child Care Center Addition	085869	011086	49,905.80
Schottenstein Center	085884	037676	(1,436,636.00)
Ohio Stadium Renovation	085933	010000	(1,000,000.00)
Recreation / Intramural Fields	085935	010000	312,161.70
Grave Hall Projects	085946	024409	45,858.63
Grave Hall Projects	085946	024592	9,501.61
Grave Hall Projects	085946	025726	32,000.00
Grave Hall Projects	085946	027034	25,760.32
Grave Hall Projects	085946	035144	24,463.17

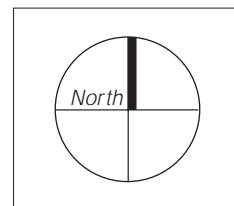
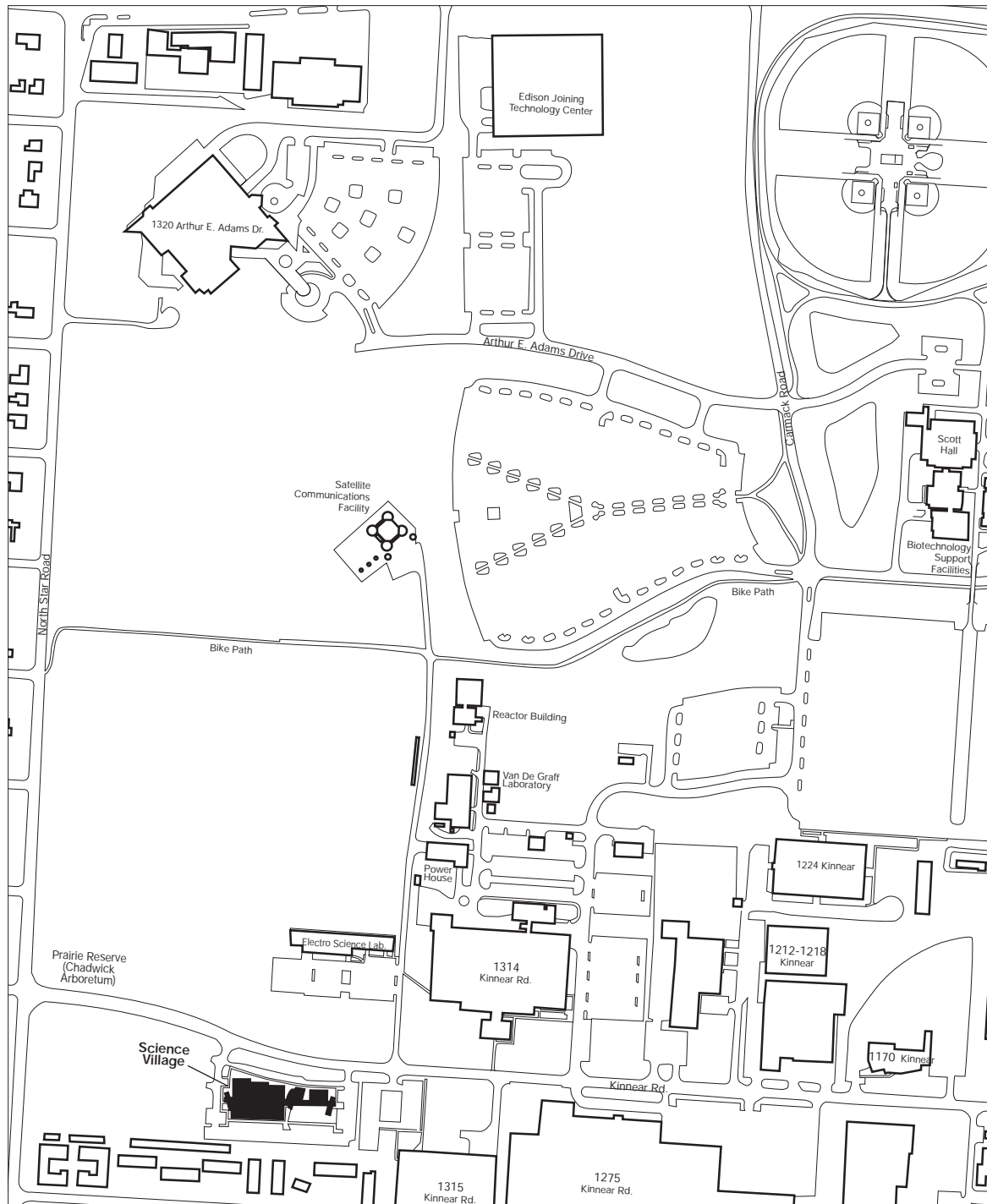
**THE OHIO STATE UNIVERSITY**  
**REPORT TO THE BOARD OF TRUSTEES**  
**NON-MANDATORY TRANSFERS BETWEEN CURRENT FUNDS AND NON-CURRENT FUNDS**  
**FISCAL YEAR 2000**

<b>Non-Current Account Description</b>	<b>Account Number</b>	<b>Transfer From Account Number</b>	<b>Amount</b>
Neil Replacement	085953	014007	1,047.36
Lima Agriculture Building	085999	010928	387,917.00
ATI Village Apartments	086030	016030	995,026.12
Nursing Reserve	086817	010000	48,855.59
OHR Equipment Reserve	086826	010000	122,698.00
OHR Equipment Reserve	086826	015065	2,000.00
OHR Equipment Reserve	086826	015202	1,000.00
OHR Equipment Reserve	086826	015203	500.00
OHR Equipment Reserve	086826	015204	500.00
OHR Equipment Reserve	086826	015213	1,120.00
OHR Equipment Reserve	086826	015214	420.00
OHR Equipment Reserve	086826	015215	9,940.00
OHR Equipment Reserve	086826	015216	2,520.00
ULR Cage Replacement Reserve	086827	010000	3,994.35
Parking Facility Reserve	086828	010000	(17,865.00)
Parking Facility Reserve	086828	014005	(320,000.00)
Parking Facility Reserve	086828	016035	(1,300,000.00)
Dental Practice Plan Reserve	086832	010000	317,221.02
Dental Practice Plan Reserve	086832	050249	(552,380.41)
Schottenstein Construction	086843	021322	(105,000.00)
Schottenstein Construction	086843	039705	400,000.00
Photocopy Reserve	086848	010000	2,910.00
O/P Fixed Asset Reserve	086852	011045	(18,769.55)
Golf Course Improvements	086853	010000	(200,000.00)
Golf Course Improvements	086853	016019	300,000.00
GTRI Indirect Cost Recovery	086855	010000	372,776.00
President's Office Equipment Reserve	086857	010000	20,000.00
Board of Trustee's Office Equipment Reserve	086865	010000	75,000.00
Capital Improvements Reserve	086899	010000	1,266,621.50
Capital Improvements Reserve	086899	014010	(187,500.00)
UTS Equipment Reserve	086906	010000	(251,647.87)
Police Equipment Reserve	086910	010000	(36,238.00)
Traffic and Parking Equipment Reserve	086911	010000	(32,000.00)
Stores Equipment Reserve	086913	010000	(50,000.00)
Print Services Equipment Reserve	086916	014002	10,276.23
Transportation Equipment Reserve	086917	010000	1,000,000.00
Bookstore Equipment Reserve	086918	016201	(47,546.04)
Bookstore Equipment Reserve	086918	016203	(16,477.90)
Bookstore Equipment Reserve	086918	016205	(14,610.46)
Bookstore Equipment Reserve	086918	016207	(8,862.36)
Bookstore Equipment Reserve	086918	016208	(11,171.76)
Bookstore Equipment Reserve	086918	016209	(4,098.12)
Bookstore Equipment Reserve	086918	016213	(51,369.20)
Telecommunications Equipment Reserve	086919	014007	300,000.00
Airport Equipment Reserve	086921	016014	232,579.32
Bookstore Improvement Reserve	086937	016201	(235,009.07)
Bookstore Improvement Reserve	086937	016203	(81,446.43)

THE OHIO STATE UNIVERSITY  
REPORT TO THE BOARD OF TRUSTEES  
NON-MANDATORY TRANSFERS BETWEEN CURRENT FUNDS AND NON-CURRENT FUNDS  
FISCAL YEAR 2000

Non-Current Account Description	Account Number	Transfer From Account Number	Amount
Bookstore Improvement Reserve	086937	016205	(72,216.14)
Bookstore Improvement Reserve	086937	016207	(43,804.59)
Bookstore Improvement Reserve	086937	016208	(55,219.44)
Bookstore Improvement Reserve	086937	016209	(20,256.01)
Bookstore Improvement Reserve	086937	016213	(253,906.08)
Telecommunications Equipment Reserve	086938	011064	425,000.00
Print Services Improvement Reserve	086957	014002	100,000.00
Inst Management Equipment Reserve	086961	015115	39,118.00
Capital Improvements - Turf	086962	010000	(160,000.00)
Ohio Union Improvements Reserve	086963	010000	50,000.00
Ohio Union Improvements Reserve	086963	016020	168,975.96
VA Clinic Improvements Reserve	086966	016103	(18,678.00)
Reprographics Equipment Reserve	086969	014002	(27,388.59)
University Health Services Equipment Reserve	086973	011473	200,000.00
Athletic Contingency Fund	086988	016019	140,000.00
University Hospitals - Various Projects	08XXXX	019300	8,436,406.00
<b>Net Transfers to Renewal and Replacement Funds</b>			<b>15,401,509.85</b>
<b>Retirement of Indebtedness Funds</b>			
Internal Bond Reserve	087006	016914	206,582.04
Internal Bond Reserve	087006	037676	117,126.72
1985 B Debt Service Pool	087060	010000	6,791.02
1986 Debt Service Pool	087063	010000	66,694.60
1997 Bonds Debt Service	087083	010000	241,765.43
1997 Debt Service Pool	087079	010000	114,953.01
<b>Net Transfers to Retirement of Indebtedness Funds</b>			<b>753,912.82</b>
<b>Net Transfers to Plant Funds</b>			<b>22,041,429.61</b>
<b>NET NON-MANDATORY TRANSFERS FROM NON-CURRENT FUNDS TO CURRENT FUNDS</b>			<b>(829,460.76)</b>

## 1381 Kinnear Road - Tenant Improvements



Office of Business and Finance  
Office of Facilities Planning and Development

November 20, 2000